

Atty Docket No.: 219715US3X/ca

FORM PTO-1595  
1-31-92

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10-01-2002



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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Masashi SHIRAISHI, Takeshi WADA and Takashi HONDA

9-25-02

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of Conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other: Corrected Recordation Form Cover Sheet  
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to correct the 1st Assignee's Name and the 2nd Assignee's  
Name and address.

Execution Date: June 21, 2002

2. Name and address of receiving party(ies):

- Name: 1. TDK Corporation  
2. SAE Magnetics (H.K.) Ltd.
- Address: 1. 1-13-1, Nihonbashi, Chuo-ku,  
Tokyo, 103-8272, Japan
2. SAE Tower,  
38-42 Kwai Fung Crescent,  
Kwai Chung, N.T., Hong Kong

Additional name(s) and address(es) attached?  Yes  No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/077,754

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:



22850

6. Total applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

C. Irvin McClelland

Name of Person Signing

Registration Number: 21,124

Paul A. Sacher

Signature

Paul A. Sacher  
Registration No. 43,418

9/25/02

Date

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PATENT  
REEL: 013329 FRAME: 0932

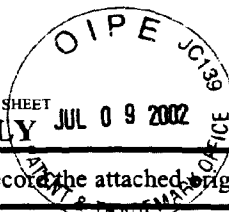
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07-15-2002  
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To the Honorable Commissioner of Patents and Trademarks. Please record the attached.

1. Name of conveying party(ies):  
Masashi SHIRAISHI; Takeshi WADA and Takashi HONDA

2. Name and address of receiving party(ies):  
Name: TDK CORPORATION AND SAE  
MAGNETICS (H.K.) LTD.  
Address: 1-13-1, Nihonbashi,  
Chuo-ku, Tokyo,  
103-8272, JAPAN

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of Conveyance: **MRO**  
 Assignment  Merger  
 Security Agreement  Change of Name **7-09-02**  
 Other

SAE TOWER,  
38-42 Kwai Fung Crescent,  
Kwai Chung, N.T. Hong Kong

Execution Date: June 21, 2002

Additional name(s) and address(es) attached?  Yes  No

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9. Statement and signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

C. Irvin McClelland

Name of Person Signing

Surinder Sachar

Signature

July 9 2002

Date

Registration Number: 21,124

Surinder Sachar  
Registration No. 34,423

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H:\DECLARATIONS\219715 RECOR. ASSGN.DOC

**PATENT**  
**REEL: 013329 FRAME: 0933**

# Assignment Of Application

INSERT NAMES  
AND RESIDENCE  
ADDRESSES OF  
THE INVENTORS:

WHEREAS, I (WE) (1) Masashi SHIRAISHI of c/o SAE Magnetics  
(H.K.) Ltd., SAE Tower, 38-42 Kwai Fung Crescent, Kwai Chung,  
N.T., Hong Kong, and (2) Takeshi WADA of c/o TDK Corporation,  
1-13-1, Nihonbashi, Chuo-ku, Tokyo, 103-8272, Japan, and  
(3) Takashi HONDA of c/o TDK Corporation, 1-13-1, Nihonbashi,  
Chuo-ku, Tokyo, 103-8272, Japan

\_\_\_\_\_, respectively,

INSERT TITLE  
OF INVENTION:

have invented certain new and useful improvements in: HEAD GIMBAL ASSEMBLY

INSERT DATE IN-  
VENTORS SIGNED  
DECLARATION:

for which an application for Letters Patent was executed on June 21, 2002

(Application No. 10/077,754, filed February 20, 2002), and

INSERT NAME  
AND ADDRESS OF  
COMPANY OR  
OTHER ASSIGNEE:

WHEREAS, (1) TDK Corporation, and (2) SAE Magnetics (H.K.) Ltd.  
(hereinafter referred to as "ASSIGNEE") having a place of business at: (1) 1-13-1, Nihonbashi,  
Chuo-ku, Tokyo, 103-8272, Japan, and (2) SAE Tower, 38-42 Kwai  
Fung Crescent, Kwai Chung, N.T., Hong Kong,  
is desirous of acquiring the entire right, title and interest in and to said invention and in and to any  
Letters Patent that may be granted therefore in the United States and its territorial possessions and in  
any and all foreign countries;

NOW, THEREFORE, in consideration of the sum of FIVE DOLLARS (\$5.00), the receipt whereof is hereby acknowledged, and for other good and valuable consideration, I (WE), by these presents do sell, assign and transfer unto said ASSIGNEE, the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.

I (WE) hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE as the assignee of my (our) entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE, its (his) successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me (us) had this Assignment and sale not been made.

Further, I (WE) agree that I (WE) will communicate to said ASSIGNEE or its (his) representatives any facts known to me (us) respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letter Patent to be issued to said ASSIGNEE, make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE, its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.

The undersigned hereby grant(s) the firm of Oblon, Spivak, McClelland, Maier & Neustadt, P.C. of Fourth Floor, 1755 Jefferson Davis Highway, Arlington, Virginia 22202 the power to insert on this assignment any further identification, including the application number and filing date, which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Date: June 21, 2002 Masashi Shiraishi  
 (Signature of Inventor) (1) Masashi SHIRAISHI

Date: June 21, 2002 Takeshi Wada  
 (Signature of Inventor) (2) Takeshi WADA

Date: June 21, 2002 Takashi Honda  
 (Signature of Inventor) (3) Takashi HONDA

Date: \_\_\_\_\_  
 (Signature of Inventor)

Date: \_\_\_\_\_  
 (Signature of Inventor)

Date: \_\_\_\_\_  
 (Signature of Inventor)

Date: \_\_\_\_\_  
 (Signature of Inventor)

Date: \_\_\_\_\_  
 (Signature of Inventor)

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.  
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1755 JEFFERSON DAVIS HIGHWAY  
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