

10-02-2002

Docket No.: 2035.010

2 SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

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Tab settings → → → ▼

102238316

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Maxime N. Lok
David C. Brown
Edward Geiselhart

2. Name and address of receiving party(ies):

Name: Integrated Biosystems, Inc.

Address: 445 Devlin Road

Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

City: Napa

State/Prov.: California

Country: United States

ZIP: 94558

Execution Date: MNL-9-10-02/DCB-07-24-02/EG-8-28-02

Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 9/10, 7/24 & 7/31, 2002

Patent Application No.

Filing date

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Victor A. Cardona, Esq.

Registration No. 44,589

Address: Heslin Rothenberg Farley & Mesiti P.C.

5 Columbia Circle

10/01/2002 TDIAZ1 00000005 10254025

01 FC:581

City: Albany

State/Prov.: New York

Country: United States

ZIP: 12203

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

08-1935

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

VICTOR A. CARDONA

Victor A Cardona

09/23 /2002

Name of Person Signing

Signature

9

Date

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 013332 FRAME: 0787

ASSIGNMENT

WHEREAS, WE,

NICOLAS VOUTE, a citizen of France, residing at Chemin Peygros 13780, Cuges les Pins, France;

MAXIME N. LOK, a citizen of France, residing at Le William Carr 2, avenue de la magalone 13009, Marseille, France,

DAVID C. BROWN, a citizen of the United States, residing at 7706 West Berwyn Avenue, Chicago, Illinois 60656, United States;

JAMES W. KENDALL, a citizen of the United States, residing at 203 West Hiawatha Trail, Mount Prospect, Illinois 60056, United States; and

EDWARD GEISELHART, a citizen of the United States, residing at 5056 N. Wolcott Avenue, #2W, Chicago, Illinois 60640, United States,

respectively, have invented certain new and useful improvements in SYSTEMS AND METHODS FOR FREEZING AND STORING BIOPHARMACEUTICAL MATERIAL for which We have executed an application for Letters Patent of the United States, of even date herewith; and

WHEREAS, INTEGRATED BIOSYSTEMS, INC., having offices at 445 Devlin Road, Napa, California, 94558, is desirous of obtaining the entire right, title and interest in, to and under the said improvements and the said application subject to a Co-Development and Supply Agreement with **STEDIM S.A.** of Aubagne, France, executed on July 11, 2002;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, We the said **NICOLAS VOUTE, MAXIME N. LOK, DAVID C. BROWN, JAMES W. KENDALL, AND EDWARD GEISELHART** have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said **INTEGRATED BIOSYSTEMS, INC.**, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof, and We hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or

countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said **INTEGRATED BIOSYSTEMS, INC.**, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that We have full right to convey the entire interest herein assigned, and that We have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that We will communicate to the said **INTEGRATED BIOSYSTEMS, INC.**, its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said **INTEGRATED BIOSYSTEMS, INC.**, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this ____ day of _____ 2002.

NICOLAS VOUTE

Witnessed By: _____

Witnessed By: _____

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 10th day of September 2002.

Maxime N. Lok
MAXIME N. LOK

Witnessed By:

Witnessed By:

Christian NEUMANN

Eric Bernard Lemaire

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this ____ day of _____ 2002.

DAVID C. BROWN

STATE OF)
) ss.:
COUNTY OF)

On this ____ day of _____, 2002, before me personally came **DAVID C. BROWN**, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Notary Public

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this ____ day of _____ 2002.

JAMES W. KENDALL

STATE OF)
) ss.:
COUNTY OF)

On this ____ day of _____, 2002, before me personally came **JAMES W. KENDALL**, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Notary Public

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this ____ day of _____
_____ 2002.

EDWARD GEISELHART

STATE OF)
) ss.:
COUNTY OF)

On this _____ day of _____, 2002, before me personally came **EDWARD GEISELHART**, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Notary Public

ASSIGNMENT

WHEREAS, WE,

NICOLAS VOUTE, a citizen of France, residing at Chemin Peygros 13780, Cuges les Pins, France;

MAXIME N. LOK, a citizen of France, residing at Le William Carr 2, avenue de la magalone 13009, Marseille, France,

DAVID C. BROWN, a citizen of the United States, residing at 7706 West Berwyn Avenue, Chicago, Illinois 60656, United States;

JAMES W. KENDALL, a citizen of the United States, residing at 203 West Hiawatha Trail, Mount Prospect, Illinois 60056, United States; and

EDWARD GEISELHART, a citizen of the United States, residing at 5056 N. Wolcott Avenue, #2W, Chicago, Illinois 60640, United States,

respectively, have invented certain new and useful improvements in SYSTEMS AND METHODS FOR FREEZING AND STORING BIOPHARMACEUTICAL MATERIAL for which We have executed an application for Letters Patent of the United States, of even date herewith; and

WHEREAS, INTEGRATED BIOSYSTEMS, INC., having offices at 445 Devlin Road, Napa, California, 94558, is desirous of obtaining the entire right, title and interest in, to and under the said improvements and the said application subject to a Co-Development and Supply Agreement with **STEDIM S.A.** of Aubagne, France, executed on July 11, 2002;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, We the said **NICOLAS VOUTE, MAXIME N. LOK, DAVID C. BROWN, JAMES W. KENDALL, AND EDWARD GEISELHART** have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said **INTEGRATED BIOSYSTEMS, INC.**, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and We hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or

countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said **INTEGRATED BIOSYSTEMS, INC.**, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that We have full right to convey the entire interest herein assigned, and that We have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that We will communicate to the said **INTEGRATED BIOSYSTEMS, INC.**, its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said **INTEGRATED BIOSYSTEMS, INC.**, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this ____ day of _____ 2002.

NICOLAS VOUTE

Witnessed By: _____

Witnessed By: _____

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this ____ day of _____ 2002.

MAXIME N. LOK

Witnessed By: _____

Witnessed By: _____

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 24 day of JULY 2002.

David C. Brown
DAVID C. BROWN

STATE OF) Illinois
COUNTY OF) ss.:
) Cook

On this 27th day of August, 2002, before me personally came **DAVID C. BROWN**, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Maria Irizarry
Notary Public



IN TESTIMONY WHEREOF, I hereunto set my hand and seal this ____ day of _____ 2002.

JAMES W. KENDALL

STATE OF)
COUNTY OF) ss.:
)

On this ____ day of _____, 2002, before me personally came **JAMES W. KENDALL**, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Notary Public

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 28 day of
AUGUST 2002.

Edward M. Geiselhart
EDWARD GEISELHART

STATE OF)

) ss.:

COUNTY OF)

On this 28 day of August, 2002, before me personally came **EDWARD
GEISELHART**, to me known and known to me to be the person of that name, who signed and
sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Marie Dee Blackman
Notary Public

