

10-03-2002

Docket No.: FIS920020065

FORM PTO-1595 (Modified)
(Rev. 03-01)
OMB No. 0651-0027 (exp 5/31/2002)
P08/REV03

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



Tab settings

102239821

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Lawrence Jacobowitz
John U. Knickerbocker
Ronald P. Luijten
Subhash L. Shinde

09/25/02

Additional names(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Internal Address:

Street Address: New Orchard Road

City: Armonk State: NY ZIP: 10504

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other

Execution Date: 09-12-02, 09-12-02, 09-13-02, 09-12-02

4. Application number(s) or patent numbers(s):

10/254955

If this document is being filed together with a new application, the execution date of the application is: 09-12-02, 09-12-02, 09-13-02, 09-12-02

A. Patent Application No.(s)

B. Patent No.(s)

U.S. POSTAL SERVICE AS EXPRESS MAIL IN A REGISTERED MAIL ENVELOPE ADDRESSED TO: COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20231 APPLICANT AND/OR ATTORNEY REQUESTS THE DATE OF DEPOSIT TO BE THE FILE DATE.

DATE OF RECEIPT: 9/25/02

BY SIGNATURE & DATE: [Signature] 9/25/02

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: James J. Cioffi



32074

Internal Address:

PATENT TRADEMARK OFFICE

Street Address:

10/02/2002 DBYRNE 00000032 090458 10254955

01 FC:581 40.00 CH

City: State: ZIP:

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

- Enclosed - Any excess or insufficiency should be credited or debited to deposit account
- Authorized to be charged to deposit account

8. Deposit account number:

09-0458 FI-389

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ira D. Blecker, Reg. No. 29,894

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 6

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT

REEL: 013337 FRAME: 0616

U.S. PTO
10/254955
09/25/02

ASSIGNMENT

Whereas, we

Inventor
and City

(1) Lawrence Jacobowitz
County of Dutchess

City of Wappingers Falls
and State of New York

(2) John U. Knickerbocker
County of Dutchess

City of Wappingers Falls
and State of New York

(3) Ronald P. Luijten

City of Horgen
and Country of Switzerland

and

(4) Subhash L. Shinde
County of Westchester

City of Cortlandt Manor
and State of New York

have invented certain improvements in

Title

MANUFACTURABLE OPTICAL CONNECTION ASSEMBLIES

Dates That
Inventors

and have executed, respectively, a United States patent application therefor on

Signed the
Declaration

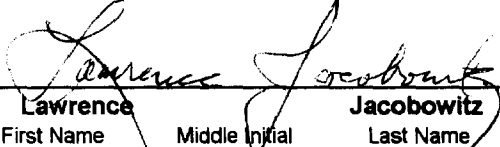
(1) Sept. 12 2002, and (2) Sept. 12 2002
(3) 2002, and (4) Sept. 12 2002

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.


City
Date

(1) Signed at East Fishkill, N.Y.
on Sept. 12, 2002


(Lawrence Jacobowitz) signature
First Name Middle Initial Last Name) inventor

City
Date

(2) Signed at East Fishkill, N.Y.
on Sept. 12, 2002


(John U. Knickerbocker) signature
First Name Middle Initial Last Name) inventor

City
Date

(3) Signed at
on

(Ronald P. Luijten) signature
First Name Middle Initial Last Name) inventor

City
Date

(4) Signed at *East Fishkill, NY*
on *09/12/02*

Subhash L. Shinde signature
(**Subhash** **L.** **Shinde**) inventor
First Name Middle Initial Last Name

(IBM Docket No.: FIS920020065US1)

ASSIGNMENT

Whereas, we

Inventor
and City

(1) Lawrence Jacobowitz
County of Dutchess

City of Wappingers Falls
and State of New York

(2) John U. Knickerbocker
County of Dutchess

City of Wappingers Falls
and State of New York

(3) Ronald P. Luijten

City of Horgen
and Country of Switzerland

and

(4) Subhash L. Shinde
County of Westchester

City of Cortlandt Manor
and State of New York

have invented certain improvements in

Title

MANUFACTURABLE OPTICAL CONNECTION ASSEMBLIES

Dates That
Inventors
Signed the
Declaration

and have executed, respectively, a United States patent application therefor on

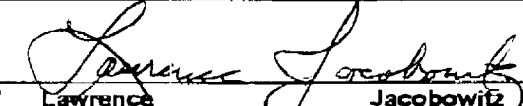
(1) Sept. 12 2002, and (2) Sept. 12 2002
(3) Sept. 13, 2002 2002, and (4) Sept. 12- 2002

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

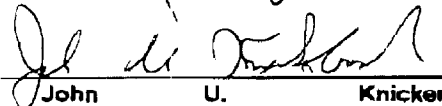
City
Date

(1) Signed at East Fishkill, N.Y.
on Sept. 12, 2002


(Lawrence Jacobowitz) signature
First Name Middle Initial Last Name) inventor


City
Date

(2) Signed at East Fishkill, N.Y.
on 9/12/02


(John U. Knickerbocker) signature
First Name Middle Initial Last Name) inventor

City
Date

(3) Signed at Horgen, Switzerland
on 12. Sept. 2002


(Ronald P. Luijten) signature
First Name Middle Initial Last Name) inventor

(IBM Docket No.: FIS920020065US1)

City
Date

(4) Signed at *Evree Fishkill, NY*
on *09/12/02*

<i>Subhash</i>	<i>L.</i>	<i>Shinde</i>	signature) inventor
First Name	Middle Initial	Last Name	