



10-03-2002



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(Rev. 03/01)  
OMB No. 0651-0027 (exp. 5/31/2002)

Atty Docket No.: UCAL-248

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
**CREWS, PHILLIP  
CARROLL, JENNIFER  
HOLMAN, THEODORE  
MILLER, GUY  
BOBZIN, STEVE  
BROWN, LESLEY**  
*9.26.02*  
Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies)  
Name: **The Regents of the University of California**  
  
Internal Address:  
Street Address: **1111 Franklin Street, 12<sup>th</sup> Floor**  
  
City: **Oakland** State: **CA** Zip: **94607**  
 Other  
  
Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_  
  
Execution Date: Aug. 8, 2002; July 30, 2002; Aug. 28, 2002;  
Sept. 17, 2002; Sept. 17, 2002 and Sept. 10, 2002.

4. Application number(s) or patent number(s):  
If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_  
A. Patent Application No.(s)  
**10/159,772, May 31, 2002**  
  
Additional number(s) attached  Yes  No

B. Patent Registration No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:  
  
Name: **Bozicevic, Field & Francis LLP**  
  
Internal Address: **200 Middlefield Road, Suite 200**  
  
City: **Menlo Park** State: **California** Zip: **94025**

6. Total number of applications and patents involved **1**  
  
7. Total fee (37 CFR 3.41)..... **\$40.00**  
 Enclosed  
 Authorized to be charged to deposit account  
  
8. Deposit account number:  
**50-0815**  
  
(Attach duplicate copy of this page if paying by deposit account)

10/02/2002 BYRNE 00000105 500815 10159772  
01 FC:581 40.00 CH

DO NOT USE THIS SPACE

9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*  
  
**Carol L. Francis, Reg. No. 36,513** **09/23/2002**  
*Carol L. Francis*  
Name of Person Signing \_\_\_\_\_ Signature \_\_\_\_\_ Date \_\_\_\_\_

Total number of pages including cover sheet, attachments, and document: **9**

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patent & Trademarks, Box Assignments  
Washington, D.C. 20231

ASSIGNMENT

U.C. Case No. 2001-354-2

Atty. Docket No. UCAL-248

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

- |    |                  |    |                   |
|----|------------------|----|-------------------|
| 1. | CREWS, PHILLIP   | 2. | CARROLL, JENNIFER |
| 3. | HOLMAN, THEODORE | 4. | MILLER, GUY       |
| 5. | BOBZIN, STEVE    | 6. | BROWN, LESLEY     |

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

“SPONGE-DERIVED TERPENOIDS AND METHODS OF USE”

and which is found in

- (a) \_\_\_ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) \_\_\_ U.S. patent application filed herewith and listing the above named persons as inventors
- (c) X U.S. application serial no. 10/159,772, filed on May 31, 2002
- (d) \_\_\_ U.S. Patent No.:, issued

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;


ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

8<sup>th</sup> day of August, 2002   
Phillip Crews

\_\_\_\_\_ day of \_\_\_\_\_, 2002 \_\_\_\_\_  
Jennifer Carroll

\_\_\_\_\_ day of \_\_\_\_\_, 2002 \_\_\_\_\_  
Theodore Holman

\_\_\_\_\_ day of \_\_\_\_\_, 2002 \_\_\_\_\_  
Guy Miller

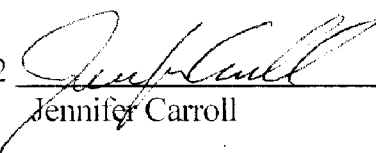
\_\_\_\_\_ day of \_\_\_\_\_, 2002 \_\_\_\_\_  
Steve Bobzin

\_\_\_\_\_ day of \_\_\_\_\_, 2002 \_\_\_\_\_  
Lesley Brown

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this \_\_\_\_\_ Signature of Inventor(s)

\_\_\_\_\_ day of \_\_\_\_\_, 2002 \_\_\_\_\_  
Phillip Crews

30 day of July, 2002   
Jennifer Carroll

\_\_\_\_\_ day of \_\_\_\_\_, 2002 \_\_\_\_\_  
Theodore Holman

\_\_\_\_\_ day of \_\_\_\_\_, 2002 \_\_\_\_\_  
Guy Miller

\_\_\_\_\_ day of \_\_\_\_\_, 2002 \_\_\_\_\_  
Steve Bobzin

\_\_\_\_\_ day of \_\_\_\_\_, 2002 \_\_\_\_\_  
Lesley Brown

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this \_\_\_\_\_ day of \_\_\_\_\_, 2002 \_\_\_\_\_  
Signature of Inventor(s)  
Phillip Crews

\_\_\_\_\_ day of \_\_\_\_\_, 2002 \_\_\_\_\_  
Jennifer Carroll

*28* day of *August*, 2002 *Theodore Holman*  
Theodore Holman

\_\_\_\_\_ day of \_\_\_\_\_, 2002 \_\_\_\_\_  
Guy Miller

\_\_\_\_\_ day of \_\_\_\_\_, 2002 \_\_\_\_\_  
Steve Bobzin

\_\_\_\_\_ day of \_\_\_\_\_, 2002 \_\_\_\_\_  
Lesley Brown

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.


executed this

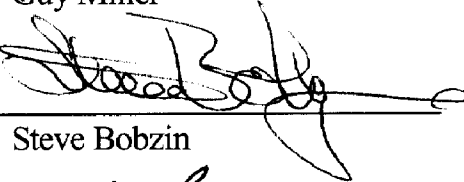
Signature of Inventor(s)


\_\_\_\_\_ day of \_\_\_\_\_, 2002 \_\_\_\_\_  
Phillip Crews

\_\_\_\_\_ day of \_\_\_\_\_, 2002 \_\_\_\_\_  
Jennifer Carroll

\_\_\_\_\_ day of \_\_\_\_\_, 2002 \_\_\_\_\_  
Theodore Holman

17<sup>th</sup> day of September, 2002   
Guy Miller

17 day of September, 2002   
Steve Bobzin

10<sup>th</sup> day of September, 2002   
Lesley Brown

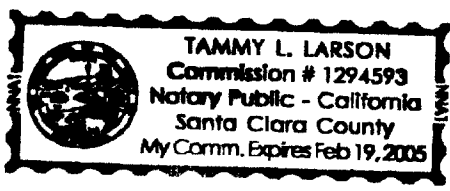


**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

State of California }  
County of Santa Clara } ss.

On September 17, 2002, before me, Tammy L. Larson, Notary Public  
Date Name and Title of Officer (e.g. "Jane Doe, Notary Public")

personally appeared Guy Miller & Steve Bobzin  
Name(s) of Signer(s)



- personally known to me
- proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) ~~is/are~~ subscribed to the within instrument and acknowledged to me that ~~he/she/it~~ they executed the same in ~~his/her/its~~ their authorized capacity(ies), and that by ~~his/her/it~~ their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.  
Tammy L. Larson  
Signature of Notary Public

Place Notary Seal Above

**OPTIONAL**

*Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.*

**Description of Attached Document**  
Title or Type of Document: Assignment

Document Date: 9/17/02 SB+ Em Signature Number of Pages: 2

Signer(s) Other Than Named Above: Lesley Brown

**Capacity(ies) Claimed by Signer**

- Signer's Name: \_\_\_\_\_
- Individual
  - Corporate Officer — Title(s): \_\_\_\_\_
  - Partner —  Limited  General
  - Attorney in Fact
  - Trustee
  - Guardian or Conservator
  - Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_



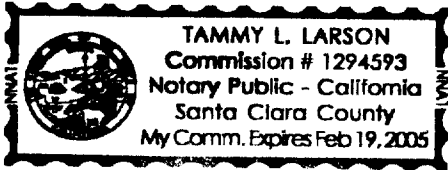
O.I.P.E. JUNE 1997  
SEP 26 2002  
PATENT

**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

State of California }  
County of Santa Clara } ss.

On September 10, 2002, before me, Tammy L. Larson, Notary Public  
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")  
personally appeared Lesley Brown  
Name(s) of Signer(s)

- personally known to me
- proved to me on the basis of satisfactory evidence



to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that ~~he/she/they~~ executed the same in ~~his/her/their~~ authorized capacity(ies), and that by ~~his/her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Tammy L. Larson  
Signature of Notary Public

Place Notary Seal Above

**OPTIONAL**

*Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.*

**Description of Attached Document** Assignment  
Title or Type of Document:

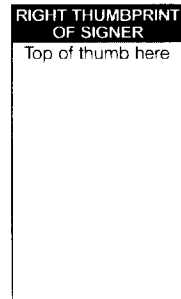
Document Date: 9/10/02 - LB signature Number of Pages: 2

Signer(s) Other Than Named Above: \_\_\_\_\_

**Capacity(ies) Claimed by Signer**

- Signer's Name: \_\_\_\_\_
- Individual
  - Corporate Officer — Title(s): \_\_\_\_\_
  - Partner —  Limited  General
  - Attorney in Fact
  - Trustee
  - Guardian or Conservator
  - Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_



attached document bears embossment