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U.S. Department of Commerce  
Patent and Trademark Office  
PATENT

36927 U.S. PTO  
10/26/030  
09/26/02

# RECORDATION FORM COVER SHEET PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

## Submission Type

- ☒ New **09/30/02**
- ☐ Resubmission (Non-Recordation)  
Document ID#
- ☐ Correction of PTO Error  
Reel #  Frame #
- ☐ Corrective Document  
Reel #  Frame #

## Conveyance Type

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other

### U.S. Government

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☐ Departmental File ☐ Secret File

## Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Execution Date  
Month Day Year  
**09-30-2002**

Execution Date  
Month Day Year

Name (line 1) **Himamshu V. Prasad**

Name (line 2)

Second Party  
Name (line 1) **10/26/030**

Name (line 2)

## Receiving Party

☐ Mark if additional names of receiving parties attached

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 1) **OSRAM SYLVANIA INC.**

Name (line 2)

Address (line 1) **100 Endicott St.**

Address (line 2)

Address (line 3) **Danvers** **MA** **01923**  
City State/Country Zip Code

## Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

10/07/2002 DRYNE 00000165 150685 10261030

01 FC:581 40.00 CH

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**Correspondent Name and Address**

Area Code and Telephone Number

(847) 726-6448

Name Kenneth D. Labudda

Address (line 1) OSRAM SYLVANIA INC.

Address (line 2) 800 N. Church St.

Address (line 3) Lake Zurich, IL 60047

Address (line 4)

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

# 2

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)


If this document is being filed together with a new Patent Application, enter the date the patent application was

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT  PCT  PCT   
PCT  PCT  PCT

**Number of Properties**

Enter the total number of properties involved.

# 1

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$

40.00

Method of Payment:

Enclosed ☐

Deposit Account ☒

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the

Deposit Account Number:

# 15-0685

Authorization to charge additional fees:

Yes



No



**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Kenneth D. Labudda

*Kenneth D. Labudda*

30 September 2002

Name of Person Signing

Signature

Date

**Assignment of Rights, Title and Interest in Invention  
(Multiple inventors; single assignee)**

**Docket No.**  
**01-1-440**

*This is an Assignment of the following rights, title and interest: (check all that apply):*

☒ *United States of America rights, title and interest in the invention*

☒ *Foreign rights, title and interest in the invention*

☐ *United States Patent Application Serial No.* \_\_\_\_\_

*Date of Execution:* \_\_\_\_\_ *Date of Filing:* \_\_\_\_\_

☐ *United States Provisional Patent Application Serial No.* \_\_\_\_\_

☐ *United States Patent No(s).* \_\_\_\_\_

☐ *International (PCT) Patent Application Serial No.* \_\_\_\_\_

☐ *Other (specify)* \_\_\_\_\_

**Title of the Invention**

HIGH-INTENSITY DISCHARGE LAMP BALLAST WITH LIVE RELAMPING FEATURE (Docket No. 01-1-440)

**Inventors (assignors)**

<i>Name</i>	<i>Address</i>
Himamshu V. Prasad	5600 Astor Ln., Apt. 203, Rolling Meadows, IL 60008 U.S.A.

**Assignee**

<i>Name</i>	<i>Address</i>
OSRAM SYLVANIA, INC.	100 Endicott St. Danvers, MA 01923 U.S.A.

### **Assignment of Rights, Title and Interest in Invention (Multiple inventors; single assignee)**

Docket No.  
01-1-440

Whereas, we, the above-identified Inventors, have invented certain new and useful improvements in the Invention identified above and described in the above-identified patent application(s) and/or patent(s) (hereinafter referred to as "Invention");

***And, whereas we desire to assign our above-identified rights, title and interest in the Invention to the above-identified Assignee;***

*Now, this indenture witnesseth, that for good and valuable consideration, the receipt whereof is hereby acknowledged;*

*We hereby assign, sell and transfer our above-identified rights, title and interest in said Invention, said application(s) as identified above, including any divisions, continuations, and continuations-in-part thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted or have granted for said Invention, and in and to any and all reissues and reexaminations thereof, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to us with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, unto said Assignee;*

*And we hereby authorize and request the Commissioner of Patents and Trademarks to issue any United States Letters Patent which may issue for said Invention to said Assignee, as assignee of the whole right, title and interest thereto;*

*And we further agree to sign and execute all necessary and lawful future documents, including applications for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid Invention, as the Assignee or its Designee(s) may from time to time require and prepare at its own expense.*

***Inventors' Signatures (if Notarization is desired, do not sign here and proceed to next page)***

[illegible]