

10-08-2002

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(Rev. 03/01)

RECOF

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J.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

OMB No. 0651-0027 (exp. 5/31/2002)

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Christopher Charles Glynn, Mary Ellen Alford,
Mark Eugene Noe, Toby George Darkins, Jr.Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

09/30/02, 09/30/02

Execution Date: 09/30/02, 09/30/02

2. Name and address of receiving party(ies)

Name: General Electric Company

Internal Address: _____

Street Address: One River Road

City: Schenectady State: NY Zip: 12345

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: General Electric Company

Internal Address: Attn: Andrew C. Hess

Street Address: One Neumann Way, M/D H17

City: Cincinnati State: OH Zip: 45215

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number: 07-0865

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Rodney M. Young

Name of Person Signing

Signature

9/30/02

Date

Total number of pages including cover sheet, attachments, and documents: 4

Mail documents to be recorded with required cover sheet information to:
 Commissioner of Patents & Trademarks, Box Assignments
 Washington, D.C. 20231

10/07/2002 6TOM11 00000103 070865 10260478

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40.00 CH

PATENT
 REEL: 013351 FRAME: 0497

ASSIGNMENT

Docket Number

13DV13749

For good and valuable consideration, of which I acknowledge receipt, I (we)

CHRISTOPHER CHARLES GLYNN

of HAMILTON, OHIO

MARY ELLEN ALFORD

of CINCINNATI, OHIO

MARK EUGENE NOE

of MORROW, OHIO

TOBY GEORGE DARKINS, Jr.

of LOVELAND, OHIO

of

of

of

of

of

of

of

of

of

of

of

of

sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements in:

TURBINE ENGINE SHROUD ASSEMBLY INCLUDING AXIALLY FLOATING SHROUD SEGMENT

invented by me (us), as described in the application for United States patent

☒ executed concurrently herewith, or

☐ U.S. Serial No.: _____, filed _____

and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patents on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I will communicate to said Company or its representatives or nominees any facts known to me respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

9/30/02
Date

CHRISTOPHER CHARLES GLYNN

State of Ohio) SS:

County of Hamilton)

This 30th day of September, 2002, before me personally came the above-named CHRISTOPHER CHARLES GLYNN to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal
Kimberly M. Howard
Notary Public, State of Ohio
My Commission Expires
October 2, 2006
Recorded in Hamilton County

Kimberly M. Howard
(Notary Public)

ASSIGNMENT

Docket Number

13DV13749

9/30/02

Date

Mary Ellen Alford

MARY ELLEN ALFORD

State of Ohio) SS:County of Hamilton)

This 30th day of September, 2002, before me personally came the above-named MARY ELLEN ALFORD to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Kimberly M. Howard
Notary Public, State of Ohio
My Commission Expires
October 2, 2006
Recorded In Hamilton County

Seal

Kimberly M. Howard
(Notary Public)9/30/02

Date

Mark Eugene Noe

MARK EUGENE NOE

State of Ohio) SS:County of Hamilton)

This 30th day of September, 2002, before me personally came the above-named MARK EUGENE NOE to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Kimberly M. Howard
Notary Public, State of Ohio
My Commission Expires
October 2, 2006
Recorded In Hamilton County

Seal

Kimberly M. Howard
(Notary Public)9-30-02

Date

Toby George Darkins Jr.

TOBY GEORGE DARKINS, Jr.

State of Ohio) SS:County of Hamilton)

This 30th day of September, 2002, before me personally came the above-named TOBY GEORGE DARKINS, Jr. to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Kimberly M. Howard
Notary Public, State of Ohio
My Commission Expires
October 2, 2006
Recorded In Hamilton County

Seal

Kimberly M. Howard
(Notary Public)