

1013102

10-08-2002

FORM PTO-1595  
1-31-92

RECORD

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Docket No. 704117. (262/280)

To the Honorable Commissioner of Patents and T

102244019

al documents or copy thereof.

## 1. Name of conveying party(ies):

W. Martin Belef, William N. Aldrich, Michael T. Carley,  
Ronald J. Jabba, Stephen M. Salmon, Anthony Pantages,  
and Javier Sagastegui

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name☐ Other \_\_\_\_\_Execution Date: June 27, 2002 & July 15, 2002

## 2. Name and address of receiving party:

Name: Integrated Vascular Systems, Inc.

Internal Address: \_\_\_\_\_

City: Sunnyvale State: CA Zip: 94086-2919Street Address: 743 Pastoria AvenueCity: Sunnyvale State: CA Zip: 94086-2919Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No(s): 10/081,723

B. Patent No(s): \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: James W. GeriakInternal Address: Orrick, Herrington & Sutcliffe LLP  
4 Park Place, Suite 1600  
Irvine, CA 92614-25586. Total number of applications and patents involved: ONE

## 7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed with fees on patent application transmittal form.☒ Charge this Deposit Account in the amount of \$40.00.8. Deposit Account Number: 15-0665

DO NOT USE THIS SPACE

## 9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: September 27, 2002

James W. Geriak, Reg No. 20,233

Total number of pages including cover sheet: four

OMB No. 0651-0011 (exp. 4/94)

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Director — U.S. Patent and Trademark Office

Box Assignments

Washington, D.C. 20231

10/07/2002 LHWELLER 00000174 150665 10081723

01 FC:581

40.00 CH

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project, (0651-0011), Washington, D.C. 20503

RECEIVED  
SECTION  
M 10-53PATENT  
REEL: 013353 FRAME: 0631

ASSIGNMENT OF PATENT APPLICATION

WHEREAS, WE, **W. MARTIN BELEF**, a citizen of UNITED STATES, **WILLIAM N. ALDRICH**, a citizen of UNITED STATES, **MICHAEL T. CARLEY**, a citizen of UNITED STATES, **RONALD J. JABBA**, a citizen of UNITED STATES, **STEPHEN M. SALMON**, a citizen of UNITED STATES, **ANTHONY PANTAGES**, a citizen of UNITED STATES, and **JAVIER SAGASTEGUI**, a citizen of UNITED STATES, (hereinafter referred to as "ASSIGNORS"), have invented a certain invention entitled APPARATUS AND METHODS FOR DELIVERING A CLOSURE DEVICE for which application for Letters Patent of the United States of America has been filed on February 21, 2002, assigned Serial No. 10/081,723; and

WHEREAS, **INTEGRATED VASCULAR SYSTEMS, INC.**, a corporation organized and existing under and by virtue of the laws of the State of **Delaware** and having its place of business at **743 Pastoria Avenue, Sunnyvale, California 94086-2918** (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the exclusive right, title and interest in, to and under said invention and in, to and under any Patent or similar legal protection to be obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells, assigns, transfers and sets over unto the said ASSIGNEE, its successors and assigns, the full and exclusive right, title and interest to said invention and to all Letters Patent or application or similar legal protection, not only in the United States and its territorial possessions, but in all countries foreign thereto to be obtained for said invention by said application, and to any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in the United States or a foreign country for the full term or terms for which the

same may be granted, including all priority rights under the International Convention; and  
ASSIGNOR hereby authorizes and requests the United States Commissioner of Patents and  
Trademarks, and any officials of foreign countries whose duty it is to issue patents or any legal  
equivalent thereof, to issue said patents to ASSIGNEE, its successors and assigns, in accordance  
with this Assignment.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been  
or will be made or entered into which would conflict with this Agreement;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly  
with all pertinent facts and documents relating to said application, said invention and said Letters  
Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to  
the same in any interference or litigation related thereto and will promptly execute and deliver to  
ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply  
for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and  
said equivalents in the United States or in any foreign country, which may be necessary or desirable  
to carry out the purposes thereof.


WITNESS my hand at Sunnyvale, California, this 27<sup>th</sup> day of June, 2002.

  
\_\_\_\_\_  
**W. MARTIN BELEF**

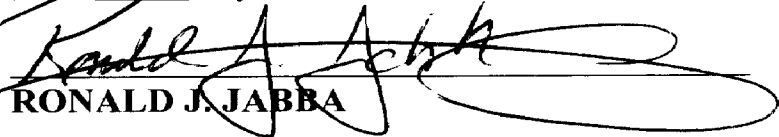
WITNESS my hand at Sunnyvale, California, this 27 day of June, 2002.

  
\_\_\_\_\_  
**WILLIAM N. ALDRICH**

WITNESS my hand at Sunnyvale, California, this 27 day of June, 2002.

  
MICHAEL T. CARLEY

WITNESS my hand at Sunnyvale, California, this 27<sup>th</sup> day of June, 2002.

  
RONALD J. JABBA

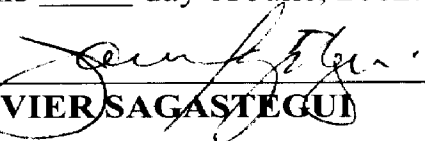
WITNESS my hand at Sunnyvale, California, this 27<sup>th</sup> day of June, 2002.

  
STEPHEN M. SALMON

WITNESS my hand at Sunnyvale, California, this 15 day of <sup>JULY</sup> ~~June~~, 2002.

  
ANTHONY PANTAGES

WITNESS my hand at Sunnyvale, California, this 27<sup>th</sup> day of June, 2002.

  
JAVIER SAGASTEGUI