

10-09-2002

Substitute Form PTO-1595
Attorney Docket No.: 07039-339001

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PATENTS ONLY

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Commissioner for Patents. Please record the attached original document(s) or copy(ies).

1. Name of conveying party(ies):

Chunfeng Zhao, Bradford L. Currier, Fredrick M. Schultz and Kai-Nan An

Additional name(s) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Mayo Foundation for Medical Education and Research
200 First Street S.W.
Rochester, MN 55905Additional names/addresses attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒
- Assignment
-
- ☐
- Merger
-
- ☐
- Security Agreement
-
- ☐
- Change of Name
-
- ☐
- Other:

Execution Date: 08/26/2002; 08/12/2002;
08/13/2002; 08/13/2002

4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is:

A. Patent Application No(s).:

10/185,322

B: Patent No(s).:

Additional numbers attached? ☐ Yes ☒ No

5. Name/address of party to whom correspondence concerning document should be mailed:

M. ANGELA PARSONS, PH.D.
Fish & Richardson P.C., P.A.
60 South Sixth Street
Suite 3300
Minneapolis, MN 55402

6. Total number of applications/patents involved: 1

7. Total fee (37 CFR §3.41): \$40

- ☒
- Enclosed
-
- ☐
- Authorized to charge Deposit Account.

8. Deposit Account No.: 06-1050

Please apply any additional charges, or any credits, to our
Deposit Account No. 06-1050.

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9. Statement and Signature: *To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*M. Angela Parsons, Ph.D.
Reg. No. 44,282
Name of Person SigningM. Angela Parsons
SignatureOctober 3, 2002
Date

Total number of pages including coversheet, attachments and document: 9

60107620.doc

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EV115428779USDate of Deposit: October 3, 2002PATENT
REEL: 013354 FRAME: 0565

ASSIGNMENT

For valuable consideration, I, Chunfeng Zhao, of 2130 9th Avenue NE, Rochester, MN 55906, an inventor of the inventions and improvements that are the subject of an application for United States Patent entitled *Spinal Fixation Support Device and Methods of Using*, filed June 28, 2002, and assigned U.S. Serial Number 10/185,322, hereby assign to Mayo Foundation for Medical Education and Research, a Minnesota corporation having a place of business at: 200 First Street SW, Rochester, MN 55905, and its successors and assigns (collectively hereinafter called "the Assignee") the entire right, title and interest throughout the world of the inventions and improvements which are the subject of the above-referenced application for United States Patent.

This Assignment includes said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions and improvements. This Assignment includes the right to claim priority based upon the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes.

I authorize the Assignee to apply in all countries in my name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements.

I agree for myself and my respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, Assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this Assignment.

I, Chunfeng Zhao, inventor of the above-referenced inventions and improvements, hereby execute this Assignment on this 26 day of August, 2002, in the presence of the below-identified notary.

Chunfeng Zhao

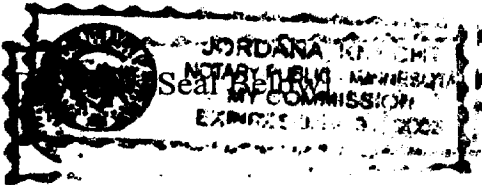
Chunfeng Zhao

County of: OLMSTED }
: SS.
State of: MINNESOTA }

Before me on this 26 day of AUGUST, 2002, personally appeared Chunfeng Zhao, known to me to be the person whose name is subscribed to the foregoing Assignment, and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Jordana R. Knecht L.S.
Notary Public

My Commission Expires: JAN. 31, 2005



ASSIGNMENT

For valuable consideration, I, Bradford L. Currier, of 2005 Merrihills Dr. SW, Rochester, MN 55902, an inventor of the inventions and improvements that are the subject of an application for United States Patent entitled *Spinal Fixation Support Device and Methods of Using*, filed June 28, 2002, and assigned U.S. Serial Number 10/185,322, hereby assign to Mayo Foundation for Medical Education and Research, a Minnesota corporation having a place of business at: 200 First Street SW, Rochester, MN 55905, and its successors and assigns (collectively hereinafter called "the Assignee") the entire right, title and interest throughout the world of the inventions and improvements which are the subject of the above-referenced application for United States Patent.

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I agree for myself and my respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, Assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this Assignment.

I, Bradford L. Currier, inventor of the above-referenced inventions and improvements,
hereby execute this Assignment on this 12th day of August, 2002, in the presence of
the below-identified notary.

Bradford L. Currier
Bradford L. Currier

County of: Olmsted }
: SS.
State of: Minnesota }

Before me on this 12th day of August, 2002, personally appeared
Bradford L. Currier, known to me to be the person whose name is subscribed to the foregoing
Assignment, and acknowledged that he executed the same as his free act and deed for the
purposes therein contained.

Rose M. Garmers L.S.
Notary Public

My Commission Expires: 01-31-05

[Notary's Seal Below]



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ASSIGNMENT

For valuable consideration, I, Fredrick M. Schultz, of 2446 Northern Hills Ct. NE, Rochester, MN 55906, an inventor of the inventions and improvements that are the subject of an application for United States Patent entitled *Spinal Fixation Support Device and Methods of Using*, filed June 28, 2002, and assigned U.S. Serial Number 10/185,322, hereby assign to Mayo Foundation for Medical Education and Research, a Minnesota corporation having a place of business at: 200 First Street SW, Rochester, MN 55905, and its successors and assigns (collectively hereinafter called "the Assignee") the entire right, title and interest throughout the world of the inventions and improvements which are the subject of the above-referenced application for United States Patent.

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I agree for myself and my respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, Assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this Assignment.

I, Fredrick M. Schultz, inventor of the above-referenced inventions and improvements,
 hereby execute this Assignment on this 13 day of August, 2002, in the presence of
 the below-identified notary.

Fredrick M. Schultz

Fredrick M. Schultz

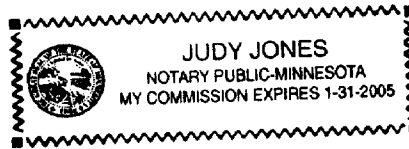
County of: OLMSTED }
 : SS.
 State of: MINNESOTA }

Before me on this 13 day of August, 2002, personally appeared
 Fredrick M. Schultz, known to me to be the person whose name is subscribed to the foregoing
 Assignment, and acknowledged that he executed the same as his free act and deed for the
 purposes therein contained.

Judy Jones L.S.
 Notary Public

My Commission Expires:

[Notary's Seal Below]



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ASSIGNMENT

For valuable consideration, I, Kai-Nan An, of 685 Itasca Ct., Rochester, MN 55901, an inventor of the inventions and improvements that are the subject of an application for United States Patent entitled *Spinal Fixation Support Device and Methods of Using*, filed June 28, 2002, and assigned U.S. Serial Number 10/185,322, hereby assign to Mayo Foundation for Medical Education and Research, a Minnesota corporation having a place of business at: 200 First Street SW, Rochester, MN 55905, and its successors and assigns (collectively hereinafter called "the Assignee") the entire right, title and interest throughout the world of the inventions and improvements which are the subject of the above-referenced application for United States Patent.

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I authorize the Assignee to apply in all countries in my name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements.

I agree for myself and my respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, Assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this Assignment.

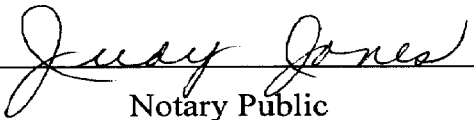
I, Kai-Nan An, inventor of the above-referenced inventions and improvements, hereby execute this Assignment on this 13 day of August, 2002, in the presence of the below-identified notary.



Kai-Nan An

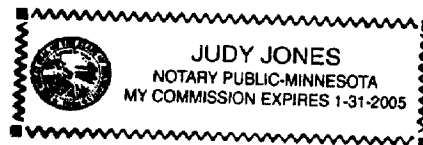
County of: OLMSTED }
: SS.
State of: MINNESOTA }

Before me on this 13 day of August, 2002, personally appeared Kai-Nan An, known to me to be the person whose name is subscribed to the foregoing Assignment, and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

 L.S.
Notary Public

My Commission Expires:

[Notary's Seal Below]



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