

10-09-2002

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US Department of Commerce
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To the Assistant Commissioner of Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

(1) Josephine Miu CHENG
(2) Chi-Pei Michael HSING
(3) Frank MENG

9-27-02

Additional name(s) of conveying
party(ies) attached ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: IBM Corporation

Street Address: New Orchard Road
City: Armonk State: NY ZIP: 10504Additional name(s) & address(es) attached?
☐ Yes ☒ No10/25/02 U.S. PTO
10/25/02

3. Nature of conveyance:

☒ AssignmentExecution Date: (1) Aug. 15, 2002,
(2) Aug. 20, 2002, (3) Aug. 26, 2002.

10/25/02

4. Application number(s) or patent number(s):

If this document is being filed together with a new patent application, the execution date of the patent
application is: (1) Aug. 15, 2002, (2) Aug. 20, 2002, (3) Aug. 26, 2002.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom
correspondence concerning document
should be mailed:Name: Joseph A. Sawyer, Jr.
Internal
Address: Sawyer Law Group LLP
Street
Address: P.O. Box 51418
Palo Alto, California 943036. Total Number of applications and patents
involved: One7. Total fee (37 CFR 3.41) \$ 40.00
☐ Enclosed
☒ Authorized to be charged to deposit account8. Deposit Account Number: 09-0460 (IBM Corporation)
(Attach copy of this page)

10/08/2002 TDI A21 00000167 090460 10256730

FC:581 40.00 CH

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is
a true copy of the original document.

September 27, 2002

Date

Joseph A. Sawyer, Jr., Reg. No. 30,801

Total number of pages including cover sheet, attachments, and documents: 3

PATENT
REEL: 013356 FRAME: 0653

ASSIGNMENT

Whereas, we,

- | | | |
|-----|--|---|
| (1) | Josephine Miu CHENG
County of Santa Clara | City of San Jose
State of California |
| (2) | Chi-Pei Michael HSING
County of Santa Clara | City of San Jose
State of California |
| and | | |
| (3) | Frank MENG
County of Orange | City of BREA
State of California |

have invented certain improvements in

TITLE **REMOTE USER SUBSTITUTION AT ODBC/CLI LEVEL AND
STORED PROCEDURE AUTHORIZATION**

and executed a United States Patent Application therefor on the following date(s):

- | | | | |
|-----|--------------------------------------|-----|--------------------|
| (1) | <u>15th August</u> , 2002 | (2) | <u>8/20</u> , 2002 |
| (3) | _____, 2002 | | |

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed:

	<u>LOCATION</u>	<u>DATE</u>	<u>SIGNATURE</u>
(1)	<u>San Jose</u>	<u>15th Aug 02</u>	<u>Josephine Mui Cheng</u> Josephine Mui CHENG
(2)	<u>San Jose</u>	<u>8/20/02</u>	<u>Chi-Pei Michael HSING</u> Chi-Pei Michael HSING
(3)	_____	_____	_____ Frank MENG

ASSIGNMENT

Whereas, we,

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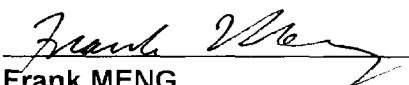
and executed a United States Patent Application therefor on the following date(s):

- | | |
|-----------------------------|-----------------|
| (1) _____, 2002 | (2) _____, 2002 |
| (3) <u>August 26</u> , 2002 | |

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Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed:

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(1)	_____	_____	_____ Josephine Mui CHENG
(2)	_____	_____	_____ Chi-Pei Michael HSING
(3)	<u>BREA, CA</u>	<u>8/26/02</u>	<u></u> Frank MENG