

10-08-2002

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To the Honorable Commissioner of Patent

102243558

... and attached original documents or copy thereof.

1. Name of conveying party(ies):

YAN-HO SHU 9.30.02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

Execution Date: 9/30/02

2. Name and address of receiving party(ies):

Name: Polymerex Medical Corp

Internal Address: _____

Street Address: 7358 Trade St.

City: San Diego State: CA ZIP: 92121

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

Ser. Nr. 09/575,869
filed 5/19/2000

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: YAN-HO SHU

Internal Address: _____

Street Address: 7358 Trade St.

City: San Diego State: CA ZIP: 92121

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41).....\$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

YAN-HO SHU

Name of Person Signing

Yan-Ho Shu

Signature

9/30/02

Date

Total number of pages comprising cover sheet:

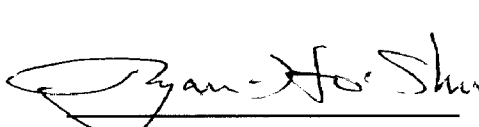
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Assignment of Invention and Patent Application

For value received, Yan-Ho Shu, having an address at 15811 Windrose Way, San Diego, CA 92127, USA (hereinafter ASSIGNOR), hereby sells, assigns, transfers, and sets over unto Polymerex Medical Corp. of San Diego, California and its successors or assigns (hereinafter ASSIGNEE) 100% of the following: (A) ASSIGNOR'S right, title and interest in and to the invention entitled "Balloon Catheter Having Adjustable Centering Capabilities and Methods Thereof" invented by ASSIGNOR; (B) the application for United States patent thereof, signed by ASSIGNOR on 5/19/2000, U.S. Patent and Trademark Office Serial Number 09/575,869, filed 5/19/2000; (C) any patent or reissues of any patent that may be granted thereon; and (D) any applications which are continuations, substitutes, or divisions of said application. ASSIGNOR authorizes ASSIGNEE to enter the date of signature and/or Serial Number and Filing Date in the spaces above. ASSIGNOR also authorizes and requests the Assistant Commissioner for Patents to issue any resulting patent(s) as follows: 0% to ASSIGNOR and 100% to ASSIGNEE.

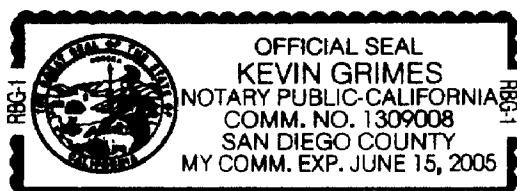
ASSIGNOR hereby further sells, assigns, transfers and sets over unto ASSIGNEE, the above percentage of ASSIGNOR'S entire right, title and interest in and to said invention in each and every country foreign to the United States; and ASSIGNOR further conveys to ASSIGNEE the above percentage of all priority rights resulting from the above-identified application for United States patent. ASSIGNOR agrees to execute all papers, give any required testimony and perform other lawful acts, at ASSIGNEE'S expense, as ASSIGNEE may require to enable ASSIGNEE to perfect ASSIGNEE'S interest in any resulting patent of the United States and countries foreign thereto, and to acquire, hold, enforce, convey, and uphold the validity of said patent and reissues and extensions thereof, and ASSIGNEE'S interest therein.


In testimony whereof ASSIGNOR has hereunto set its hand and seal on the date below.


Signature

9/30/02
Date

Subscribed and sworn before me this 30th day of September ²⁰⁰⁰2000.




Notary Public