

10-11-2002

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(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

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10/8/02 REC



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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

GE Investments, Inc.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 8/12/2002

2. Name and address of receiving party(ies)

Name: General Electric Company

Internal Address: 3135 Easton Turnpike

Street Address: Same

City: Fairfield State: CT Zip: 06431

Additional name(s) & address(es) attached? ☐ Yes ☒ NoOFFICE OF PRIORITY RECORDS
2002 OCT -8 AM 9:15
FINANCE SECTION

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) _____

See Attached Schedule A

B. Patent No.(s) _____

Additional numbers attached? ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert P. Ducatman

Internal Address: Jones, Day, Reavis & Pogue

North Point

901 Lakeside Avenue

Street Address: Same

City: Cleveland State: OH Zip: 44114

6. Total number of applications and patents involved: 31

7. Total fee (37 CFR 3.41).....\$ 1240.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:

501432 (Ref. 361438600003)

DO NOT USE THIS SPACE

9. Signature.

10/10/2002 LNUELLER 00000210 501432 09748143

01 FC:381 1240.00 CH

Robert P. Ducatman

Name of Person Signing

Signature

October 3, 2002

Date

Total number of pages including cover sheet, attachments, and documents: 6

Mail documents to be recorded with required cover sheet information to:
 Commissioner of Patents & Trademarks, Box Assignments
 Washington, D.C. 20231

PATENT
 REEL: 013363 FRAME: 0579

SCHEDULE A**GEIS INTELLECTUAL PROPERTY****A. Patents and Patent Applications**

Title	Country	Patent No./Serial No.
A Process for Creating a Trading Partner Profile	US	09/748,143
Abstract Initiator	US	09/506,367
System and Method for Using Composite Scoring in an Auction Process	US	09/722,607
Provision of Electronic Commerce Services	US	09/741,994
Provision of Electronic Commerce Services	US	60/186,685
Method, System and Software for Enterprise Access Management Control	US	09/722,611
Trading Partner Data Management	US	09/722,668
Trading Partner Data Management	US	60/187,216
A Process for Generating a User Interface in a Data Processing System	US	60/258,288
Tracking Status of Inbound Trading Partner Documents	US	09/748,125
Document Management	US	60/257,980
Generating Responses in EDI Systems	US	09/748,134
Import and Export of Data	US	60/186,684
Integration of Software Applications	US	60/186,653
A Trading Information Exchange System	US	09/593,687
XML Auto-Map Generator	US	09/750,287
Method, System and Software for Transferring Data Between Vertical Flow Applications	US	09/722,701
System and Method for Transferring Data Between a Request Engine and an Auction Engine	US	09/617,117
Method, System and Software for Generating and Displaying Custom Views for Accessing Multiple Applications	US	09/942,840

Title	Country	Patent No./Serial No.
Context Sensitive Personal Identification Number	US	09/681,781
An Interface Between Front-End Systems and Back-End Systems	US	09/741,860
System and Method for Running a Dynamic Auction	US	09/948,902
Method of Conducting an Electronic Rolling Auction Permitting the Auction Sponsor to Make Changes to the Auction-Item	US	09/920,323
Document Management	US	10/024,051
Architecture for Context-Based Adaptable Behavior	US	10/022,359
Process for Generating a User Interface in a Data Processing System	US	10/026,676
System and Method for Transforming Documents to and from an XML Format	US	10/026,773
Electronic Document Interchange Document Object Model	US	10/038,657
Automated Method, System and Software for Storing Data in a General Format in a Global Network	US	10/042,260
Auto-Expiring Picture on Internet Control	US	10/024,050
Automated Method, System and Software for Transforming Data Between Extensible Markup Language Format and Electronic Data Interchange Format	US	10/023,857

B. Trademark Registrations and Applications

Mark	Country	Reg. No./Appl. No
TPN	US	2,181,744

C. Copyright Registrations

Title	Country	Reg. No.
GEIS TABOL 2	US	TXu 532-378
GEIS TABOL 3	US	TXu 532-377

INTELLECTUAL PROPERTY ASSIGNMENT

This INTELLECTUAL PROPERTY ASSIGNMENT (this "Assignment"), effective as of August 12, 2002 (the "Effective Date"), is made and entered into by and between GE Investments, Inc., a Nevada corporation ("GEII"), and General Electric Company, a New York corporation ("GE") (each a "Party," and collectively, the "Parties").

WHEREAS, pursuant to that certain Plan of Complete Liquidation of GEIS, as of even date herewith, and that certain Intellectual Property Assignment, effective as of even date herewith (the "GEIS IP Assignment"), by and between GEII and GE Information Services, Inc., a Delaware corporation ("GEIS"), GEII acquired all right, title and interest in and to the GEIS Intellectual Property (as defined in the GEIS IP Assignment);

WHEREAS, the Board of Directors of GEII has determined that it is in the best interests of GEII and its shareholders to declare a dividend of certain assets of GEII, including, without limitation, the GEIS Intellectual Property acquired by GEII from GEIS; and

WHEREAS, in order to effectuate the aforementioned dividend, GEII agrees to assign, transfer and convey to GE, the sole holder of Class A Common Shares of GEII, all of GEII's right, title and interest in and to the GEIS Intellectual Property.

NOW THEREFORE, the Parties agree as follows:

1. Assignment. GEII hereby, effective as of the Effective Date, assigns, transfers, conveys and quitclaims to GE all of GEII's right, title and interest in and to all GEIS Intellectual Property, including, without limitation, those items of GEIS Intellectual Property listed on Schedule A attached hereto.

2. Recordation of Patents, Trademarks and Copyrights. If GE elects to record this Assignment with the U.S. Patent & Trademark Office, U.S. Copyright Office or applicable state or foreign governmental or international authorities or registries, GE shall bear all costs and fees associated with such recording. GEII hereby authorizes and requests the Commissioner of Patents and Trademarks of the United States, Register of Copyrights of the United States and any official of any state or foreign country whose duty it is to issue intellectual property registrations, to issue all registrations from any applications for registration included in the GEIS Intellectual Property to GE.


3. Governing Law and Forum. This Assignment shall be governed by, and construed exclusively in accordance with, the laws of the State of New York.

4. Counterparts. This Assignment may be executed in one or more counterparts, and by the different parties hereto in separate counterparts, each of which when executed shall be deemed to be an original but all of which taken together shall constitute one and the same agreement.

IN WITNESS WHEREOF, the Parties have executed this Assignment to be made effective as of the date first written above.

GENERAL ELECTRIC COMPANY

GE INVESTMENTS, INC.

By: 
Name: Gary M. Reiner
Title: Senior Vice President and Chief
Information Officer

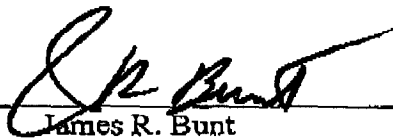
By: _____
Name: _____
Title: _____

IN WITNESS WHEREOF, the Parties have executed this Assignment to be made effective as of the date first written above.

GENERAL ELECTRIC COMPANY

GE INVESTMENTS, INC.

By: _____
Name: _____
Title: _____

By:  _____
Name: James R. Bunt
Title: President