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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
STEPHEN T. WELLINGHOFF
DOUGLAS P. HANSON

10-8-02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

OCTOBER 7, 2002; and
SEPTEMBER 30, 2002

Execution Date: _____

2. Name and address of receiving party(ies)

Name: SOUTHWEST RESEARCH INSTITUTE

Internal Address: _____
6220 CULEBRA ROAD

Street Address: _____

SAME

City: SAN ANTONIO State: TEXAS Zip: 78238

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/190,470

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Paula D. Morris

Internal Address: 2925 Briarpark, Suite 930

Street Address: SAME

City: Houston State: TX Zip: 77042-3728

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed (Check No.: 2526)☐ Authorized to be charged to deposit account

8. Deposit account number: 50-0997 (SWRI-2835-05),

maintained by Paula D. Morris & Associates, P.C.

(Attach duplicate copy of this page if paying by deposit account)

10/10/2002 TDIAZ1 00000177 10190470

01 FC:581 40.00 OP

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Paula D. Morris, Reg. 31,516

Name of Person Signing

Signature

OCTOBER 8, 2002

Date

Total number of pages including cover sheet, attachments, and documents: 6

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231PATENT
REEL: 13364 FRAME: 0306

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of Wellinghoff, et al.

Serial No.:	10/190,470	§	Action:	Assignment
Filed:	July 5, 2002	§	Atty. Docket No.:	SWRI-2835-05
Title:	Novel Mesogens and Methods for Their Synthesis and Use			

**To: Commissioner of Patents and Trademarks
Washington, D. C. 20231**

WHEREAS, we, Stephen T. Wellinghoff, a citizen of the United States of America, residing at 7718 Benbrook, San Antonio, Texas; and Douglas P. Hanson, a citizen of the United States of America, residing at 8635 Cross Spring, San Antonio, Texas, are joint inventors of new and useful improvements in Novel Mesogens and Methods for Their Synthesis and Use, for which we have executed the referenced patent application for Letters Patent which was filed as stated above; and WHEREAS, we represent that we are joint owners of said invention, and said application for Letters Patent; and

WHEREAS, SOUTHWEST RESEARCH INSTITUTE, hereinafter called "ASSIGNEE", a Texas Corporation having its principal office at 6220 Culebra Road, San Antonio, Bexar County, Texas, is desirous of acquiring our right, title and interest in and to the said application and the invention therein described and claimed and any Letters Patent that may be issued upon said application or for the invention therein contained;

NOW, THEREFORE, for and in consideration of the sum of Sixty-five Dollars (\$65.00) lawful money of the United States, and other valuable consideration, to us in hand paid, the receipt and sufficiency whereof we hereby acknowledge, we the said Stephen T. Wellinghoff and Douglas P. Hanson have sold, assigned and transferred, and do hereby sell, assign and transfer unto the said ASSIGNEE, its successors and assigns, our entire right, title and interest in and to (a) said invention and worldwide rights therein, (b) said applications, including all divisions, continuations, continuations-in-part and substitutions thereof; and (c) all United States and foreign patents which shall issue on said invention, including all reissues, renewals, divisions and extensions thereof, for the United States, its territories and possessions and all foreign countries, including the right to file applications for Letters Patent on said invention in any and all foreign

SWRI-2835-05

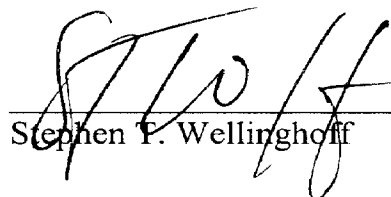
countries, the same to be held and enjoyed by said ASSIGNEE, its assigns and successors, as fully and entirely as the same would have been held and enjoyed by us, had this Assignment and sale not been made.

We request that any and all such Letters Patent for said invention be issued to ASSIGNEE, its successors, assigns and legal representatives, or to such nominees as it may designate.

We covenant and agree that we and our executors or administrators will, at any time upon the request and at the expense of said ASSIGNEE, execute and deliver any and all papers and do all lawful acts that may be necessary or desirable, in the opinion of said ASSIGNEE, to enable and assist said ASSIGNEE to (a) obtain Letters Patent, both domestic and foreign, on said invention; (b) establish, maintain and secure title in said ASSIGNEE, its successors and assigns, to said invention, applications and Letters Patent, including making such title of lawful and public record; and (c) defend, establish or otherwise preserve the validity of said Letters Patent against any and all infringers, and perform such other acts as are necessary to give full force and effect this Assignment.

ASSIGNORS further covenant with ASSIGNEE that the rights and property herein conveyed are free and clear of any encumbrances, and that ASSIGNORS have the full right to convey the same as herein expressed.

IN TESTIMONY WHEREOF, we have fully executed this Assignment this 7 day of October, 2002.




Stephen T. Wellinghoff


Douglas P. Hanson

STATE OF TEXAS §
 §
COUNTY OF BEXAR §

BEFORE ME, the undersigned authority, on this day personally appeared **Stephen T. Wellinghoff** known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.


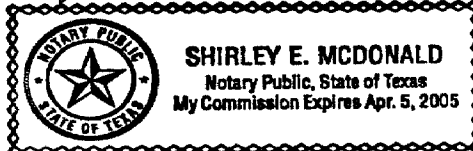
GIVEN under my hand and seal of office this 7th day of October, 2002.


Notary Public, State of Texas


STATE OF TEXAS §
 §
COUNTY OF BEXAR §

BEFORE ME, the undersigned authority, on this day personally appeared **Douglas P. Hanson** known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN under my hand and seal of office this 30th day of September, 2002.


Notary Public, State of Texas


SWRI-2835-05

RECORDED: 10/08/2002

PATENT
REEL: 13364 FRAME: 0309