

2002 SEP 30 PM 1: 25

10-15-2002

UNUS #02-D277-TR

Docket #J6786(C)

9/30/02 FINANCE SECTION



102249427

RECORDATION FORM COVER SHEET PATENTS ONLY		U.S. DEPARTMENT OF COMMERCE - PATENT	
To the Honorable Commissioner of Patents: Please record the attached original documents or copy thereof.			
1. NAME OF CONVEYING PARTY(IES): Daniel PEREIRA Robert D. SABIN Rosa PAREDES May SHANA'A ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED? YES [<input type="checkbox"/> <>] NO [<input checked="" type="checkbox"/> >]		2. NAME & ADDRESS OF RECEIVING PARTY(IES): NAME: Unilever Home & Personal Care USA, Division of Conopco, Inc. STREET ADDRESS: 33 Benedict Place CITY: Greenwich STATE: Connecticut ZIP: 06830	
3. NATURE OF CONVEYANCE: [<input checked="" type="checkbox"/> >] ASSIGNMENT [<input type="checkbox"/> <>] SECURITY AGREEMENT [<input type="checkbox"/> <>] MERGER [<input type="checkbox"/> <>] CHANGE OF NAME [<input type="checkbox"/> <>] OTHER EXECUTION DATE : 9/3/02, 9/3/02, 9/3/02, 9/6/2			
4. APPLICATION NUMBER(S) OR PATENT NUMBER(S): 10/218,288 FILED August 14, 2002 IF THIS DOCUMENT IS BEING FILED TOGETHER WITH A NEW APPLICATION, THE EXECUTION DATE OF THE APPLICATION IS: <>			
A. PATENT APPLICATION NO.(S) 10/218,288 ADDITIONAL NUMBERS ATTACHED? [<input type="checkbox"/> <>] YES [<input checked="" type="checkbox"/> >] NO		B. PATENT NO.(S) <> ADDITIONAL NUMBERS ATTACHED? [<input type="checkbox"/> <>] YES [<input checked="" type="checkbox"/> >] NO	
5. NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE CONCERNING DOCUMENT SHOULD BE MAILED: UNILEVER PATENT DEPARTMENT, 45 RIVER ROAD, EDGEWATER, NJ 07020			
6. TOTAL NUMBER OF APPLICATIONS AND PATENTS INVOLVED: 1			
7. TOTAL FEE (37 CFR 3.41): \$40.00 [<input checked="" type="checkbox"/> >] AUTHORIZED TO BE CHARGED TO DEPOSIT ACCOUNT [<input checked="" type="checkbox"/> >] ANY DEFICIENCY OR OVERPAYMENT SHOULD BE CHARGED OR CREDITED TO DEPOSIT ACCOUNT 12-1155.			
8. DEPOSIT ACCOUNT NUMBER: 12-1155			
10/15/2002 TDI A21 00000050 121155 10218288		DO NOT USE THIS SPACE	
9. STATEMENT AND SIGNATURE: TO THE BEST OF MY KNOWLEDGE AND BELIEF, THE FOREGOING INFORMATION IS TRUE AND CORRECT AND ANY ATTACHED COPY IS A TRUE COPY OF THE ORIGINAL DOCUMENT. RONALD A. KOATZ ATTORNEY FOR THE APPLICANT(S) REGISTRATION NO. 31,774			
40.00 CH		9/25/02 DATE	
TOTAL NUMBER OF PAGES INCLUDING COVER SHEET, ATTACHMENTS AND DOCUMENT: 4			
OMB NO. 0651-0011 (EXP. 4/94)			
DO NOT DETACH THIS PORTION			
MAIL DOCUMENTS TO BE RECORDED WITH REQUIRED COVER SHEET INFORMATION TO: COMMISSIONER OF PATENTS AND TRADEMARKS, BOX ASSIGNMENTS, WASHINGTON D.C. 20231			
PUBLIC BURDEN REPORTING FOR THIS SAMPLE COVER SHEET IS ESTIMATED TO AVERAGE ABOVE 30 MINUTES PER DOCUMENTS TO BE RECORDED, INCLUDING TIME FOR REVIEWING THE DOCUMENT AND GATHERING THE DATA NEEDED, AND COMPLETING AND REVIEWING THE SAMPLE COVER SHEET. SEND COMMENTS REGARDING THIS BURDEN ESTIMATE TO THE U.S. PATENT AND TRADEMARK OFFICE, OFFICE OF INFORMATION SYSTEMS, P2-1000C, WASHINGTON, D.C. 20231 AND TO THE OFFICE OF MANAGEMENT AND BUDGET, PAPERWORK REDUCTION PROJECT (0651-0011), WASHINGTON, D.C. 20231			

ASSIGNMENT

WHEREAS,

Daniel PEREIRA, a citizen of The United States, residing at 1744 Main Street, Stratford, Connecticut 06615;

Robert D. SABIN, a citizen of The United States, residing at 9 Knollwood Drive, Newtown, Connecticut 06470;

Rosa PAREDES, a citizen of The United States, residing at 8 Cranberry Lane, Shelton, Connecticut 06484;

May SHANA'A, a citizen of Great Britain, 30 Round Ridge Trail, Trumbull Connecticut 06611,

invented certain improvements in

BIPHASIC COMPOSITION INDUCED BY POLYDEXTROSE AND SUCROSE

bearing Attorney's Docket Number J6786(C), which is found in:

- (a) ☐ U.S. patent application executed on _____
- (b) ☒ To comply with 37 CFR 3.21 for recordal of this assignment, I/WE an ASSIGNOR(S) signing below, hereby authorize and request my/our attorney, as named in the Declaration and Power of Attorney to insert below the filing date and application number when it becomes known.

U.S. application Serial No. 10/218288 filed on August 14, 2002

- (c) ☐ International Application No. PCT _____ / _____.

AND WHEREAS, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, a corporation of New York having a principal place of business at 33 Benedict Place, Greenwich, Connecticut 06830, desires to acquire the entire right, title and interest in the said application and invention, and improvements and to any United States and foreign patents to be obtained therefor;

NOW, THEREFORE, in consideration of one dollar (\$1.00) and other good and valuable consideration in hand paid, the receipt and sufficiency of which is hereby acknowledged, I/We, the undersigned, hereby sell, assign and transfer to the aforesaid, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns, the entire right, title and interest in and to the said application and invention therein disclosed for the United States and all foreign countries, and all rights of priority under the International Convention resulting from the filing of said application, and in and to any and all divisional, continuation, continuation-in-part, reissue, or other applications describing said invention or improvements thereon, and in and to any and all Letters Patent of the United States which may issue from said applications or any of them, and in and to any and all applications for patents describing said invention to countries foreign to the United States and in and to any and all patents which may be granted for said invention in said foreign countries, the same to be held by and for the sole and exclusive use and benefit of the said, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns.

AND I/We do hereby authorize and request the Commissioner of Patents to issue the said Letters Patent of the United States to said, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, as the assignee of the entire right, title and interest in and to the same.

AND I/We do hereby agree to assist said, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, in the prosecution of any and all said applications and in the prosecution of any and all interferences or other proceedings which may arise involving said invention, and to execute and deliver any powers of attorney, affidavits, assignments, applications, reissues, documents, or other written instruments which, in the opinion of counsel for said, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns, may be necessary in this or any foreign country fully to secure to said, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns, any and all said applications, inventions, improvements, Letters Patent, rights, titles and interests hereby sold, assigned, transferred and conveyed.

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 3 day of September, 2002.

STATE OF CT
COUNTY OF Fairfield)

Daniel PEREIRA (Seal)
Daniel PEREIRA

On this 3 day of September, 2002 before me came **Daniel PEREIRA** to me known to be the individual described in and who executed the foregoing instrument, and acknowledged that he/she executed the same.

Albert Merola Jr
Notary Public
ALBERT MEROLA JR
NOTARY PUBLIC
MY COMMISSION EXPIRES APR. 30, 2005

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 3 day of September, 2002.

STATE OF CT
COUNTY OF Fairfield)

Robert D. SABIN (Seal)
Robert D. SABIN

On this 3 day of September, 2002 before me came **Robert D. SABIN** to me known to be the individual described in and who executed the foregoing instrument, and acknowledged that he/she executed the same.

Albert Merola Jr
Notary Public
ALBERT MEROLA JR
NOTARY PUBLIC
MY COMMISSION EXPIRES APR. 30, 2005

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 3 day of September, 2002.

STATE OF CT
COUNTY OF Fairfield)

Rosa PAREDES (Seal)
Rosa PAREDES

On this 3 day of September, 2002 before me came **Rosa PAREDES** to me known to be the individual described in and who executed the foregoing instrument, and acknowledged that he/she executed the same.

Albert Merola Jr
Notary Public
ALBERT MEROLA JR.
NOTARY PUBLIC
MY COMMISSION EXPIRES APR. 30, 2005

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 6 day of September, 2002.

STATE OF CT
COUNTY OF Fairfield)

May SHANA'A (Seal)
May SHANA'A

On this 6 day of September, 2002 before me came **May SHANA'A** to me known to be the individual described in and who executed the foregoing instrument, and acknowledged that he/she executed the same.

Albert Merola Jr
Notary Public
ALBERT MEROLA JR.
NOTARY PUBLIC
MY COMMISSION EXPIRES APR. 30, 2005