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10-15-2002



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DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

10/7/02

Kenneth K. Li

Additional name(s) of conveying
party(ies) attached? [] Yes [X] No

2. Name and address of receiving party(ies):

NAME: WAVIEN, INC.

ADDRESS: 26145 West Technology Drive
Santa Clarita, CA 91355-1137

3. Name of Conveyance:

[X] Assignment [] Merger

[] Security Agreement [] Change of Name

[] Other

Additional name(s) & addresses(es) attached?
[] Yes [X] No

Execution/Effective Date: July 17, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/155,234

B. Patent No.(s)

Additional numbers attached? [] Yes [X] No

5. Name and address of party to whom correspondence
concerning document should be mailed:Name: George R. Repper
Internal Address: Rothwell, Figg, Ernst & Manbeck
Suite 800
Street Address: 1425 K St., N.W.
City: Washington,
State: D.C. Zip: 20005
Telephone No.: 202/783-6040
Facsimile No.: 202/783-6031

Attorney Docket No.: 2138-256

6. Total number of applications
and patents involved: One

7. Total fee (37 CFR 3.41): . . . \$ 40.00

[X] Enclosed
[] Authorized to be charged to deposit account

8. Deposit account number: 02-2135

Attach duplicate copy of this page if paying by
deposit account

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a
true copy of the original document.*

George R. Repper, Reg. No. 31,414

Name of Person Signing

Signature

October 7, 2002

Date

10/11/2002 6TOW11 00000126 10155234

01 FC:581

40.00 DP

Total number of pages including cover sheet, attachments and documents: 3

ASSIGNMENT

WHEREAS, I, Kenneth K. Li, a citizen of the United States, residing at 217 Laurel Avenue, Arcadia, California 91006 am the inventor of an invention in **LENSED TAPERED OPTICAL WAVEGUIDE**, for which I have executed an application for Letters Patent of the United States, which was filed on May 28, 2002 as U.S. Application Serial Number 10/155,234;

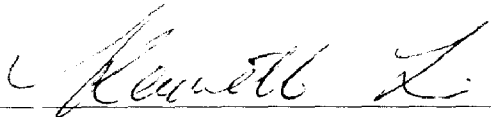
WHEREAS, WAVIEN, INC., incorporated in the state of California, with a place of business at 26145 West Technology Drive, Santa Clarita, California 91355-1137 is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, I, the said Kenneth K. Li have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said WAVIEN, INC., its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said invention in any country or countries foreign to the United States, and all Letters Patent which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof and all rights of priority in any such country or countries based upon the filing of the said application for Letters Patent of the United States which are created by any law, treaty or international convention; and I hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said invention to the said WAVIEN, INC., its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND I HEREBY covenant that I have full right to convey the entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

AND I HEREBY further covenant and agree that I will communicate to said WAVIEN, INC., its successors, legal representatives and assigns, any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said WAVIEN, INC., its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said invention in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 17 day of July, 2002.

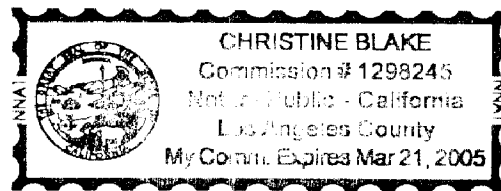


Kenneth K. Li L.S.

State of CA)
) ss:
 County of Los Angeles)

This 17 day of July 2002, before me personally came the above-named Kenneth K. Li, to me ~~personally known~~ ^{identified} as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Ch Blake
 Christine Blake notary



My commission expires:

3.21.2005

2/17/02
Assignment
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