

10-15-2002

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TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

**Submission Type**

New

Resubmission (Non-Recordation)  
Document ID # \_\_\_\_\_

Correction of PTO Error  
Reel # \_\_\_\_\_ Frame # \_\_\_\_\_

Corrective Document  
Reel # 012671 Frame # 0107

**Conveyance Type**

Assignment  Security Agreement

License  Change of Name

Merger  Other Correction of Assignee from two inventors

**U.S. Government**  
(For Use ONLY by U.S. Government Agencies)

Departmental File  Secret File

**Conveying Party(ies)**

Mark if additional names of conveying parties attached

Name (line 1) Zhongtao Li Execution Date  
Month Day Year  
6 5 2002

Name (line 2) \_\_\_\_\_

**Second Party**

Name (line 1) Frederick J. McGarry Execution Date  
Month Day Year  
6 21 2002

Name (line 2) \_\_\_\_\_

**Receiving Party**

Mark if additional names of receiving parties attached

Name (line 1) Massachusetts Institute of Technology

Name (line 2) \_\_\_\_\_

Address (line 1) 77 Massachusetts Avenue

Address (line 2) \_\_\_\_\_

Address (line 3) Cambridge Massachusetts/USA 02142

City State/Country Zip Code

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name \_\_\_\_\_

Address (line 1) \_\_\_\_\_

Address (line 2) \_\_\_\_\_

Address (line 3) \_\_\_\_\_

Address (line 4) \_\_\_\_\_

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Mail documents to be recorded with required cover sheet(s) information to:  
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RECORDATION FORM COVER SHEET  
CONTINUATION  
PATENTS ONLY

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Name (line 1)

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Month Day Year

Name (line 2)

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**Application Number(s) or Patent Number(s)**

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

<input type="text" value="10/091,685"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**Correspondent Name and Address**

Area Code and Telephone Number

248-689-3500

Name Reising, Ethington, Barnes, Kisselle, Learman & McCulloch, P.C.

Address (line 1) P.O. Box 4390

Address (line 2) Troy, Michigan 48099-4390

Address (line 3)

Address (line 4)

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments

# 18

**Application Number(s) or Patent Number(s)**

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Patent Application Number(s)

Patent Number(s)

10/091,685

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Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number only if a U.S. Application Number as not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

**Number of Properties**

Enter the total number of properties involved.

# One

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41):

\$

Method of Payment:

Enclosed

Deposit Account

Deposit Account

Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

# 50-0852

Authorization to charge additional fees:

Yes

No

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Kevin S. MacKenzie, Reg. No. 45,639

Name of Person Signing

Kevin MacKenzie  
Signature

10/2/02  
Date

REGISTRATION FORM COVER SHEET  
PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

**Submission Type** 7-15-02

New

Resubmission (Non-Recordation)  
Document ID # \_\_\_\_\_

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Merger  Other Correction of Assignee from two inventors

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(For Use ONLY by U.S. Government Agencies)

Departmental File  Secret File

**Conveying Party(ies)**  Mark if additional names of conveying parties attached

Name (line 1) Zhongtao Li Execution Date: Month 6 Day 5 Year 2002

Name (line 2) \_\_\_\_\_

**Second Party**

Name (line 1) Frederick J. McGarry Execution Date: Month 6 Day 21 Year 2002

Name (line 2) \_\_\_\_\_

**Receiving Party**  Mark if additional names of receiving parties attached

Name (line 1) Massachusetts Institute of Technology

Name (line 2) \_\_\_\_\_

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07/16/2002 TBIAZI 00000044 10091685  
01 FC:581 40.00 00

RECORDS SECTION  
JUL 15 AM 7:36

**Correspondent Name and Address**

Area Code and Telephone Number

248-689-3500

Name Reising, Ethington, Barnes, Kisselle, Learman & McCulloch, P.C.

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Kevin S. Mackenzie, Reg. No. 45,639

Name of Person Signing

Kevin Mackenzie  
Signature

7/8/02  
Date

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PATENTS ONLY

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Execution Date  
Month Day Year

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Month Day Year

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<input type="text"/>	<input type="text"/>	<input type="text"/>

**ASSIGNMENT OF APPLICATION**

Docket Number (Optional)

7019-3005-001

Whereas, I, Zhongtao Li, of 4831 Russell Street, Midland, Michigan 48640, hereafter referred to as applicant, have invented certain new and useful improvements in HYDROSILYATION CURED SILICONE RESIN CONTAINING COLLOIDAL SILICA AND A PROCESS FOR PRODUCING THE SAME.

for which an application for a United States Patent was filed on March 5, 2002,  
Application Number 10/091,685.

for which an application for a United States Patent was executed on June 5, 2002, and

Whereas, Massachusetts Institute of Technology of Cambridge, Massachusetts herein referred to "assignee" whose post office address is 77 Massachusetts Avenue, Cambridge, Massachusetts 02142 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of one dollars (\$1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 5<sup>th</sup> day of JUNE, 2002.

at Midland, Michigan.


  
(Signature)

State of MICHIGAN ) SS:

County of BAY )

Before me personally appeared said ZHONGTAO LI

and acknowledged the foregoing instrument to be his free act and deed this 5  
day of JUNE, 2002.

  
(Notary Public)

Seal

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents and Trademarks, Washington, DC 20231.



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**ASSIGNMENT OF APPLICATION**

Docket Number (Optional)

7019-3005-001

Whereas, I, Frederick J. McGarry, of 90 Bakers Hill Road, Weston, Massachusetts 02193, hereafter referred to as applicant, have invented certain new and useful improvements in HYDROSILYATION CURED SILICONE RESIN CONTAINING COLLOIDAL SILICA AND A PROCESS FOR PRODUCING THE SAME.

for which an application for a United States Patent was filed on March 5, 2002,  
Application Number 10/091,685.

for which an application for a United States Patent was executed on June 21, 2002, and

Whereas, Massachusetts Institute of Technology of Cambridge, Massachusetts herein referred to "assignee" whose post office address is 77 Massachusetts Avenue, Cambridge, Massachusetts 02142 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of one dollars (\$1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 21 day of June, 2002,

at Weston, Massachusetts.

Frederick J. McGarry  
(Signature)

State of Mass ) SS:  
County of Middlesex )  
Before me personally appeared said \_\_\_\_\_  
and acknowledged the foregoing instrument to be his free act and deed this 21  
day of June, 2002

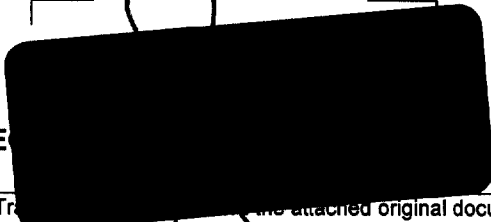
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3/5/02

RE



JCS96 U.S. PTO  
10/09/685  
03/05/02

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**Conveying Party(ies)**

Mark if additional names of conveying parties attached

Name (line 1) Zhongtao Li

Execution Date  
Month Day Year  
2 5 2002

Name (line 2) Frederick J. McGarry

**Second Party**

Name (line 1) Dimitris E. Katsoulis

Execution Date  
Month Day Year  
2 14 2002

Name (line 2) John R. Keryk

10/09/685

**Receiving Party**

Mark if additional names of receiving parties attached

Name (line 1) Dow Corning Corporation

Name (line 2) \_\_\_\_\_

Address (line 1) 2200 W. Salzburg Road, Midland, Michigan 48686-0994

Address (line 2) \_\_\_\_\_

Address (line 3) Midland Michigan/United States 48686-0994

City State/Country Zip Code

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Kevin S. Mackenzie, Reg. No. 45,639

*Kevin Mackenzie*  
Signature

3/5/02  
Date

Name of Person Signing

Date

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U.S. Department of Commerce  
Patent and Trademark Office  
PATENT

10/09/02  
03/05/02

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**ASSIGNMENT OF APPLICATION**

Docket Number (Optional)

7019-3005-001

Whereas, I, Dimitris E. Katsoulis, of Neopas Hachiyama A, 10-15 Hachiyama-cho, Shibuya-ku, Tokyo 150-0035 Japan, hereafter referred to as applicant, have invented certain new and useful improvements in HYDROSILYATION CURED SILICONE RESIN CONTAINING COLLOIDAL SILICA AND A PROCESS FOR PRODUCING THE SAME.

for which an application for a United States Patent was filed on December, 2001, Application Number     /    .

for which an application for a United States Patent was executed on December, 2001, and

Whereas, Dow Corning Corporation of Midland, Michigan herein referred to "assignee" whose post office address is 2200 W. Salzburg Road, Midland, Michigan 48686-0994 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of one dollars (\$1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 14 day of February, 2002 / unk ~~December, 2001,~~

at Tokyo, Japan.

  
(Signature)

State of \_\_\_\_\_ ) SS:  
County of \_\_\_\_\_ )  
Before me personally appeared said \_\_\_\_\_  
and acknowledged the foregoing instrument to be his free act and deed this \_\_\_\_\_  
day of \_\_\_\_\_, 20\_\_\_\_.

Seal

\_\_\_\_\_  
(Notary Public)

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents and Trademarks, Washington, DC 20231.

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**ASSIGNMENT OF APPLICATION**

Docket Number (Optional)

7019-3005-001

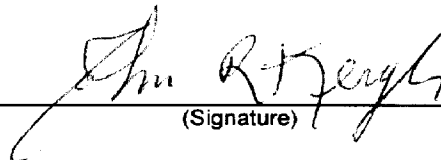
Whereas, I, John R. Keryk, of P.O. Box 1709, Midland, Michigan 48640, hereafter referred to as applicant, have invented certain new and useful improvements in HYDROSILYATION CURED SILICONE RESIN CONTAINING COLLOIDAL SILICA AND A PROCESS FOR PRODUCING THE SAME.

for which an application for a United States Patent was filed on December, 2001,  
Application Number      /     .

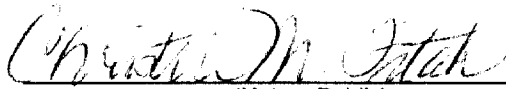
for which an application for a United States Patent was executed on December, 2001, and

Whereas, Dow Corning Corporation of Midland, Michigan herein referred to "assignee" whose post office address is 2200 W. Salzburg Road, Midland, Michigan 48686-0994 is desirous of acquiring the entire right, title and interest in the same; Now, therefore, in consideration of the sum of one dollars (\$1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 7 day of FEBRUARY, ~~2001~~ 2002  
at Midland, Michigan.

  
(Signature)

State of MICHIGAN ) SS:  
County of BAY )  
Before me personally appeared said JOHN ROBERT KERYK  
and acknowledged the foregoing instrument to be his free act and deed this 7th  
day of FEB, 2002.

  
(Notary Public)

Seal

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents and Trademarks, Washington, DC 20231.

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**ASSIGNMENT OF APPLICATION**

Docket Number (Optional)

7019-3005-001

Whereas, I, Debora F. Bergstrom, of 517 W. Meadowbrook, Midland, Michigan 48640, hereafter referred to as applicant, have invented certain new and useful improvements in HYDROSILYATION CURED SILICONE RESIN CONTAINING COLLOIDAL SILICA AND A PROCESS FOR PRODUCING THE SAME.

for which an application for a United States Patent was filed on December, 2001,

Application Number      /     .

for which an application for a United States Patent was executed on December, 2001, and

Whereas, Dow Corning Corporation of Midland, Michigan herein referred to "assignee" whose post office address is 2200 W. Salzburg Road, Midland, Michigan 48686-0994 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of one dollars (\$1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this      day of December, 2001, *February 16, 2002*

at Midland, Michigan.

*Debora F. Bergstrom*  
\_\_\_\_\_  
(Signature)

State of MICHIGAN ) SS:

County of BAV )

Before me personally appeared said DEBORA FRANCES BERGSTROM  
and acknowledged the foregoing instrument to be his free act and deed this 6TH  
day of FEB., 2002.

*Christie M. Judd*  
\_\_\_\_\_  
(Notary Public)

Seal

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**ASSIGNMENT OF APPLICATION**

Docket Number (Optional)

7019-3005-001

Whereas, I, Kermit S. Kwan, of 113 West Collins Street, Midland, Michigan 48640, hereafter referred to as applicant, have invented certain new and useful improvements in HYDROSILYATION CURED SILICONE RESIN CONTAINING COLLOIDAL SILICA AND A PROCESS FOR PRODUCING THE SAME.

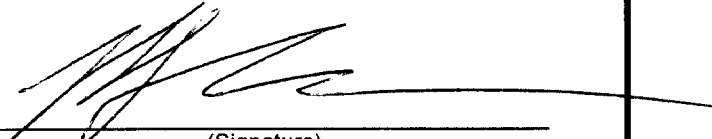
for which an application for a United States Patent was filed on December, 2001,  
Application Number     /    .

for which an application for a United States Patent was executed on December, 2001, and

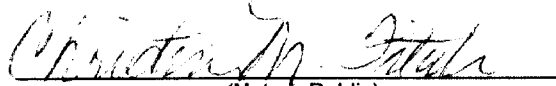
Whereas, Dow Corning Corporation of Midland, Michigan herein referred to "assignee" whose post office address is 2200 W. Salzburg Road, Midland, Michigan 48686-0994 is desirous of acquiring the entire right, title and interest in the same; Now, therefore, in consideration of the sum of one dollars (\$1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 06 day of Feb., 2002,  
~~December, 2001.~~

at Midland, Michigan.

  
(Signature)

State of MICHIGAN ) SS:  
County of LAN )  
Before me personally appeared said KERMIT S. KWAN  
and acknowledged the foregoing instrument to be his free act and deed this 06  
day of FEB., 2002.

  
(Notary Public)

Seal

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents and Trademarks, Washington, DC 20231.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**ASSIGNMENT OF APPLICATION**

Docket Number (Optional)

7019-3005-001

Whereas, I, Bizhong Zhu, of 1212 Marriet Court, Midland, Michigan 48642, hereafter referred to as applicant, have invented certain new and useful improvements in HYDROSILYATION CURED SILICONE RESIN CONTAINING COLLOIDAL SILICA AND A PROCESS FOR PRODUCING THE SAME.

for which an application for a United States Patent was filed on December, 2001,  
Application Number      /     .

for which an application for a United States Patent was executed on December, 2001, and

Whereas, Dow Corning Corporation of Midland, Michigan herein referred to "assignee" whose post office address is 2200 W. Salzburg Road, Midland, Michigan 48686-0994 is desirous of acquiring the entire right, title and interest in the same; Now, therefore, in consideration of the sum of one dollars (\$1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this      day of December, 2001, February 4, 2002  
at Midland, Michigan.

Bizhong Zhu  
\_\_\_\_\_  
(Signature)

State of MICHIGAN ) SS:  
County of WAY )  
Before me personally appeared said BIZHONG ZHU  
and acknowledged the foregoing instrument to be his free act and deed this 4th  
day of FEB, 2002.

Christina M. Jatch  
\_\_\_\_\_  
(Notary Public)

Seal

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