



10-17-2002

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OMB No. 0651-0027 (exp. 5/31/2002)

RE



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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office
Docket No. 742100-67; 74; 75; 95; 107;
108

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Bauer Spezialtiefbau GmbH

10-8-02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: August 28, 2002

2. Name and address of receiving party(ies)

Name: Bauer Maschinen GmbH

Internal Address: Wittelsbacher Str. 5,

Street Address: Wittelsbacher Str. 5,

City: Schrobenhausen State: _____ Zip: _____

Country: Germany Postal Code: 86529

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s);

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

5,931,235; 5,924,222; 5,964,305; 6,076,290; 6,238,142;
6,199,442

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Attorney Name: Donald R. Studebaker

Firm Name: Nixon Peabody LLP

Internal Address: Suite 800

Street Address: 8180 Greensboro Drive,

City: McLean State: VA Zip: 22102

6. Total number of applications and patents involved: 6

7. Total fee (37 CFR 3.41) \$240.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

19-2380

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Donald R. Studebaker, Reg. No. 32,815

Name of Person Signing

Signature

October 2, 2002

Date

Total number of pages including cover sheet, attachments, and documents: 7

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231, on October 2, 2002.

Name: Jeannie Saxton

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

10/16/2002 AWVED1 00000041 5931235

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NVA241650.1

PATENT
REEL: 013372 FRAME: 0869

ASSIGNMENT OF PATENT

WHEREAS, Bauer Spezialtiefbau GmbH of Wittelsbacher Str. 5, 86529 Schrobenhausen, Germany (hereinafter referred to as the undersigned) has acquired the right, title and interest in and to U.S. Patent No. 5,931,235 (hereinafter referred to as the "Patent") entitled CUTTER HEAD, BORING JIG AND DEVICE AND PROCESS FOR SEA BOTTOM BORING, for which an application for Letters Patent of the United States of America was filed on July 16, 1997, and which issued on August 3, 1999, and;

WHEREAS, Bauer Maschinen GmbH of Wittelsbacher Str. 5, 86529 Schrobenhausen, Germany, its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of acquiring the entire right, title and interest in and to said above-referenced Patent;

NOW, THEREFORE, in consideration of the sum of one dollar (\$1.00) to the undersigned in hand paid, the receipt of which is hereby acknowledged, and other good and valuable consideration, the undersigned has sold, assigned and transferred, and by these presents do sell, assign and transfer unto said Assignee the entire right, title, and interest to the above-referenced Patent.

The undersigned agree to execute all papers necessary in connection with this Patent and this assignment thereof, and to perform any further acts and execute and deliver any documents that may be reasonably necessary to carry out the provisions of this Assignment.

The undersigned hereby covenants that he has the full right to convey the entire interest herein assigned, and that he has not executed, and will not execute, any agreement in conflict herewith.

IN WITNESS THEREOF, this Assignment has been executed by the undersigned on behalf of Bauer Spezialtiefbau GmbH on the date opposite the undersigned name.

Executed this 28 day of August, 2002.

Manfred F. Stocker
Signature

Manfred F. Stocker
Name

Dr.-Ing.
Title

Witness J. Ulan Date 28.08.2002

Witness Maria Stimpl Date 28. August 2002

NVA227875.1

ASSIGNMENT OF PATENT

WHEREAS, Bauer Spezialtiefbau GmbH of Wittelsbacher Str. 5, 86529 Schrobenhausen, Germany (hereinafter referred to as the undersigned) has acquired the right, title and interest in and to U.S. Patent No. 5,924,222 (hereinafter referred to as the "Patent") entitled TRENCH WALL CUTTER, for which an application for Letters Patent of the United States of America was filed on November 13, 1997, and which issued on July 20, 1999, and;

WHEREAS, Bauer Maschinen GmbH of Wittelsbacher Str. 5, 86529 Schrobenhausen, Germany, its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of acquiring the entire right, title and interest in and to said above-referenced Patent;

NOW, THEREFORE, in consideration of the sum of one dollar (\$1.00) to the undersigned in hand paid, the receipt of which is hereby acknowledged, and other good and valuable consideration, the undersigned has sold, assigned and transferred, and by these presents do sell, assign and transfer unto said Assignee the entire right, title, and interest to the above-referenced Patent.

The undersigned agree to execute all papers necessary in connection with this Patent and this assignment thereof, and to perform any further acts and execute and deliver any documents that may be reasonably necessary to carry out the provisions of this Assignment.

The undersigned hereby covenants that he has the full right to convey the entire interest herein assigned, and that he has not executed, and will not execute, any agreement in conflict herewith.

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Executed this 28 day of August, 2002.

Manfred F. Stocker
Signature

Manfred F. Stocker
Name

Dr.-Ing.
Title

Witness J. Haas Date 28.08.2002

Witness Maria Stimpf Date 28. August 2002

ASSIGNMENT OF PATENT

WHEREAS, Bauer Spezialtiefbau GmbH of Wittelsbacher Str. 5, 86529 Schrobenhausen, Germany (hereinafter referred to as the undersigned) has acquired the right, title and interest in and to U.S. Patent No. 5,964,305 (hereinafter referred to as the "Patent") entitled TRENCH WALL CUTTER, for which an application for Letters Patent of the United States of America was filed on December 5, 1997, and which issued on October 12, 1999, and;

WHEREAS, Bauer Maschinen GmbH of Wittelsbacher Str. 5, 86529 Schrobenhausen, Germany, its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of acquiring the entire right, title and interest in and to said above-referenced Patent;

NOW, THEREFORE, in consideration of the sum of one dollar (\$1.00) to the undersigned in hand paid, the receipt of which is hereby acknowledged, and other good and valuable consideration, the undersigned has sold, assigned and transferred, and by these presents do sell, assign and transfer unto said Assignee the entire right, title, and interest to the above-referenced Patent.

The undersigned agree to execute all papers necessary in connection with this Patent and this assignment thereof, and to perform any further acts and execute and deliver any documents that may be reasonably necessary to carry out the provisions of this Assignment.

The undersigned hereby covenants that he has the full right to convey the entire interest herein assigned, and that he has not executed, and will not execute, any agreement in conflict herewith.

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Executed this 28 day of August, 2002.

Manfred F. Stocker
Signature

Manfred F. Stocker
Name

Dr.-Ing.
Title

Witness J. Kraus Date 28.08.2002

Witness Maria Stengl Date 28. August 2002

ASSIGNMENT OF PATENT

WHEREAS, Bauer Spezialtiefbau GmbH of Wittelsbacher Str. 5, 86529 Schrobenhausen, Germany (hereinafter referred to as the undersigned) has acquired the right, title and interest in and to U.S. Patent No. 6,076,290 (hereinafter referred to as the "Patent") entitled DIRECTION CONTROL SYSTEM FOR A SLURRY WALL DEVICE, for which an application for Letters Patent of the United States of America was filed on September 18, 1998, and which issued on June 20, 2000, and;

WHEREAS, Bauer Maschinen GmbH of Wittelsbacher Str. 5, 86529 Schrobenhausen, Germany, its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of acquiring the entire right, title and interest in and to said above-referenced Patent;

NOW, THEREFORE, in consideration of the sum of one dollar (\$1.00) to the undersigned in hand paid, the receipt of which is hereby acknowledged, and other good and valuable consideration, the undersigned has sold, assigned and transferred, and by these presents do sell, assign and transfer unto said Assignee the entire right, title, and interest to the above-referenced Patent.

The undersigned agree to execute all papers necessary in connection with this Patent and this assignment thereof, and to perform any further acts and execute and deliver any documents that may be reasonably necessary to carry out the provisions of this Assignment.

The undersigned hereby covenants that he has the full right to convey the entire interest herein assigned, and that he has not executed, and will not execute, any agreement in conflict herewith.

IN WITNESS THEREOF, this Assignment has been executed by the undersigned on behalf of Bauer Spezialtiefbau GmbH on the date opposite the undersigned name.

Executed this 28 day of August, 2002.

Manfred F. Stodter
Signature

Manfred F. Stodter
Name

Dr.-Ing.
Title

Witness J. Koss

Date 28.08.2002

Witness Maria Stügel

Date 28. August 2002

NVA227878.1

ASSIGNMENT OF PATENT

WHEREAS, Bauer Spezialtiefbau GmbH of Wittelsbacher Str. 5, 86529 Schrobenhausen, Germany (hereinafter referred to as the undersigned) has acquired the right, title and interest in and to U.S. Patent No. 6,238,142 (hereinafter referred to as the "Patent") entitled APPARATUS FOR ERECTING A FOUNDATION ELEMENT IN THE GROUND, for which an application for Letters Patent of the United States of America was filed on March 4, 1999, and which issued on May 29, 2001, and;

WHEREAS, Bauer Maschinen GmbH of Wittelsbacher Str. 5, 86529 Schrobenhausen, Germany, its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of acquiring the entire right, title and interest in and to said above-referenced Patent;

NOW, THEREFORE, in consideration of the sum of one dollar (\$1.00) to the undersigned in hand paid, the receipt of which is hereby acknowledged, and other good and valuable consideration, the undersigned has sold, assigned and transferred, and by these presents do sell, assign and transfer unto said Assignee the entire right, title, and interest to the above-referenced Patent.

The undersigned agree to execute all papers necessary in connection with this Patent and this assignment thereof, and to perform any further acts and execute and deliver any documents that may be reasonably necessary to carry out the provisions of this Assignment.

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Executed this 28 day of August, 2002.

Manfred F. Stocker
Signature

Manfred F. Stocker
Name

Dr.-Ing.
Title

Witness J. Nam Date 28.08.2002

Witness Mania Stuhl Date 28. August 2002

NVA227881.1

ASSIGNMENT OF PATENT

WHEREAS, Bauer Spezialtiefbau GmbH of Wittelsbacher Str. 5, 86529 Schrobenhausen, Germany (hereinafter referred to as the undersigned) has acquired the right, title and interest in and to U.S. Patent No. 6,199,442 (hereinafter referred to as the "Patent") entitled SLEWING RING BRAKE, for which an application for Letters Patent of the United States of America was filed on March 26, 1999, and which issued on March 13, 2001, and;

WHEREAS, Bauer Maschinen GmbH of Wittelsbacher Str. 5, 86529 Schrobenhausen, Germany, its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of acquiring the entire right, title and interest in and to said above-referenced Patent;

NOW, THEREFORE, in consideration of the sum of one dollar (\$1.00) to the undersigned in hand paid, the receipt of which is hereby acknowledged, and other good and valuable consideration, the undersigned has sold, assigned and transferred, and by these presents do sell, assign and transfer unto said Assignee the entire right, title, and interest to the above-referenced Patent.

The undersigned agree to execute all papers necessary in connection with this Patent and this assignment thereof, and to perform any further acts and execute and deliver any documents that may be reasonably necessary to carry out the provisions of this Assignment.

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IN WITNESS THEREOF, this Assignment has been executed by the undersigned on behalf of Bauer Spezialtiefbau GmbH on the date opposite the undersigned name.

Executed this 28 day of August, 2002.

Manfred F. Stodier
Signature

Manfred F. Stodier
Name

Dr.-Ing.
Title

Witness J. Mann Date 28. 08. 2002

Witness Ulrich Stengl Date 28. August 2002