

10-17-2002

FORM PTO-1595
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To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Timothy C. Ostwald, Frank T. Smith, Daniel J. Plutt

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 10/1/2002

2. Name and address of receiving party(ies):

Name: Storage Technology CorporationInternal Address: (SAME AS STREET ADDRESS)Street Address: One StorageTek Drive, MS-4309City: Louisville State: CO Zip: 80028-4309Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 10/1/2002

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Timothy R. SchulteAddress: Storage Technology CorporationOne StorageTek Drive, MS-4309City: Louisville State: CO ZIP: 80028-4309

10/16/2002 TDIAZ1 00000168 194545 10265553

01 FC-A021 40.00 CH

6. Total number of applications and patents involved: 17. Total Fee (37 C.F.R. § 3.41) \$ 40.00☐ Enclosed☒ Authorized to be charged to Deposit Account8. Deposit Account Number: Storage Technology Acct. 19-4545

(Attach duplicate copy of this page if paying by Deposit Account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.

Timothy R. Schulte

Name of Person Signing

Signature

10/1/2002

Date

Total number of pages including cover sheet, attachments, and document: 3Mail documents to be recorded with required cover sheet information to:
Box Assignments, Director of the United States Patent and Trademark Office
Washington, D.C. 20231PATENT
REEL: 013376 FRAME: 0204

ASSIGNMENT

For the sum of One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, we,, Timothy C. Ostwald residing at 1121 Grant, Louisville, CO 80027, Frank T. Smith residing at 200 Rocky Knob Lane, Nederland, CO 80466, and Daniel J. Plutt residing at 1049 E. Iliff Way, Superior, CO 80027, respectively, do hereby assign, sell and set over to Storage Technology Corporation, a corporation organized and existing under the laws of the State of Delaware, and having a place of business at One StorageTek Drive, MS-4309, Louisville, Colorado 80028-4309, U.S.A., hereinafter referred to as the ASSIGNEE, its successors, assigns or other legal representatives, the entire right, title and interest, domestic and foreign, in and to the inventions and discoveries in

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set forth in the application for United States Letters Patent executed by us on the 1 day of October, 2002, on the 1 day of October, 2002, and on the 1 day of October, 2002, respectively; or

for which an application for a United States Patent was filed on _____, Application No. _____ (we hereby authorize our attorney to insert the application filing date and number when they become known),

including the right of said ASSIGNEE, its successors, assigns or other legal representatives to make applications and to receive Letters Patent for said inventions and discoveries in any and all foreign countries in its or their own name or names, or in our names, at its or their election, and we hereby assign, sell and set over to said ASSIGNEE, its successors, assigns or other legal representatives, all rights of priority in and to said inventions and discoveries in all countries.

And we hereby agree for ourselves, our heirs, successors, assigns or other legal representatives to execute any and all papers, including applications for Letters Patent of any and all kinds and in any and all countries and to perform any and all acts which said ASSIGNEE, its successors, assigns or other legal representatives may deem necessary to secure thereto the rights herein assigned, sold and set over.

And we hereby represent and warrant that we have not granted any rights inconsistent with the rights granted herein.

10/1/02
DATE

Timothy C. Ostwald
Timothy C. Ostwald

10/1/02
DATE

Frank T. Smith
Frank T. Smith

10-1-02
DATE

Daniel J. Plutt
Daniel J. Plutt

STATE OF COLORADO)
) ss.
COUNTY OF BOULDER)

On this 1 day of October, 20 02, personally appeared before me Timothy C. Oswald, to me known to be the person named in and who executed the above instrument, and acknowledged that he executed the same for the uses and purposes therein mentioned.

[Signature]
Notary Public
My Commission expires: 9/10/2004

(SEAL)

STATE OF COLORADO)
) ss.
COUNTY OF BOULDER)

On this 1 day of October, 20 02, personally appeared before me Frank T. Smith, to me known to be the person named in and who executed the above instrument, and acknowledged that he executed the same for the uses and purposes therein mentioned.

[Signature]
Notary Public
My Commission expires: 9/10/2004

(SEAL)

STATE OF COLORADO)
) ss.
COUNTY OF BOULDER)

On this 1 day of October, 20 02, personally appeared before me Daniel J. Plutt, to me known to be the person named in and who executed the above instrument, and acknowledged that he executed the same for the uses and purposes therein mentioned.

[Signature]
Notary Public
My Commission expires: 9/10/2004

(SEAL)