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U.S. Department of Commerce
Patent and Trademark Office

Our Ref.: 925-241

Commissioner of Patents and Trademarks
Box Assignment, Washington, D.C. 20231

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Kazuya ISHIIHARA

10.11.02

2. Name and address of receiving party(ies):

Name: Sharp Kabushiki Kaisha

Internal Address: _____

Street Address: 22-22, Nagaike-cho, Abeno-ku

City: Osaka

State/Country: Japan

Zip: _____

Additional name/s & address/es attached? ☐ Yes ☒ No

Additional name/s of conveying party/ies attached? ☐

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Assignment ☐ Change of Name
☐ Other _____

Execution Date: September 30, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No(s).

(1) 10/213,374

(2) _____

(3) _____

B. Patent No(s).

(1) _____

(2) _____

(3) _____

Additional numbers attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: H. Warren Burnam, Jr.

Internal Address: _____

Street Address: Nixon & Vanderhye P.C.

1100 North Glebe Road

8th Floor

City: Arlington State: VA Zip: 22201

6. Total number of applications & patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account #14-1140

8. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our Account No. **14-1140.**

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9. Statements and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

H. Warren Burnam, Jr.

Name of Person Signing

Reg. No. 29,366

H. Warren Burnam, Jr.

Signature

October 10, 2002

Date

Total number of pages including original cover sheet, attachments, and document: [2]

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ASSIGNMENT OF U.S. PATENT APPLICATIONKazuya ISHIHARA

(Inventors)

(Assignee)

(Address)

(Title)

In consideration of the sum of one dollar (\$1.00) and other good and valuable considerations paid to each of the undersigned, the undersigned agree(s) to assign, and hereby does assign, transfer and set over to

SHARP KABUSHIKI KAISHA a corporation of Japan

22-22, Nagaike-cho, Abeno-ku, Osaka, Japan

(hereinafter designated as the Assignee) the undersigned's entire right, title and interest for the United States, its territories, dependencies and possessions in the invention, and all applications for patent and any Letters Patent which may be granted therefore, known as SEMICONDUCTOR MEMORY DEVICE AND METHOD OF FABRICATING THE SAME

for which the undersigned has (have) executed on even date herewith an application for patent in the United States of America or, if not on even date, then has executed on _____ or has already filed U.S. application Serial No. _____, on _____.

The undersigned acknowledges an obligation of assignment of this invention to said assignee at the time the invention was made.

The undersigned agree(s) to execute all papers and documents necessary in connection with the application or any interference which may be declared and any continuing or divisional applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient and further to perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patent of the United States resulting from said application or any division or divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the firm of NIXON & VANDERHYE P.C. the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document. It is understood and agreed that ASSIGNEE'S attorneys Nixon & Vanderhye P.C. have represented only ASSIGNEE and will continue to represent only ASSIGNEE with respect to this invention.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned signature(s).

Date Sept. 30, 2002 Signature of inventor

Kazuya Ishihara

Date _____ Signature of inventor

Date _____ Signature of inventor

Date _____ Signature of inventor

Date _____ Signature of inventor

Witnessed by: Kyoko Hata

Date September 30, 2002

Witnessed by: Keiko Tanemoto

Date September 30, 2002

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