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OMB No. 0651-0027 (exp. 5/31/2002)

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FINANCE SECTION

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Mengsu YANG
Hok Sin WOO

10-15-02

2. Name and address of receiving party(ies)

Name: CITY UNIVERSITY OF HONG KONG

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other _____

Street Address: Tat Chee Avenue

City: Kowloon, Hong Kong PRC State: _____ Zip: _____

Execution Date: 8/30/01

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 09/949,041

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: WALLENSTEIN & WAGNER, LTD.

Internal Address: M. Morneault, Esq.

Street Address: 311 South Wacker Drive

Suite 5300

City: Chicago State: IL Zip: 60606

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40



Enclosed



Authorized to be charged to deposit account

8. Deposit account number:

23-0280

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9. Signature.

Monique A. Morneault

Name of Person Signing

Signature

September 12, 2002

Date

Total number of pages including cover sheet, attachments, and documents: 1

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Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 013381 FRAME: 0825

ASSIGNMENTSerial No. 09/949,041Filing Date 9/07/01

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the entire right, title and interest in the invention or improvements of the undersigned in a/an and in the application for Letters Patent of the United States therefor, executed by the undersigned, and in any Reissue or extension of any Letters Patent that may be granted upon said application and in any foreign Letters Patent derived therefrom, are hereby assigned by the undersigned to City University of Hong Kong, a non-profit organization, and the successors, legal representatives and assigns of City University of Hong Kong (hereinafter collectively called said "Assignee"), and the Commissioner of Patents is hereby authorized and requested by the undersigned to issue said Letters Patent to said Assignee.

For said considerations, it is also hereby agreed by the undersigned, upon the request of said Assignee, to execute any necessary and proper oaths or affidavits relating to said application or required for the filing or prosecution of any Divisional, Continuation or Continuation-In-Part application therefor or for the filing and prosecution of any application for the reissue or extension of any Letters Patent that may be granted on said invention or improvements that said Assignee may deem necessary or expedient, and for said consideration, it is further agreed by the undersigned, upon the request of said Assignee, in the event of said application or any division thereof, or Letters Patent issued thereon, or any Reissue or application for the reissue thereof, becoming involved in interference, to cooperate to the best of the ability of the undersigned with said Assignee in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof, and further to perform, upon such request, any and all affirmative acts to obtain said Letters Patent and vest all rights therein hereby conveyed in said Assignee as fully and entirely as the same would have been held and enjoyed by the undersigned if this Assignment and sale had not been made, and for said considerations, the entire right, title and interest in said invention or improvements, including all priority rights, and the right to claim priority rights and the privileges and benefits thereof, including those under the International Convention, and all other Conventions, and the right to file applications for patent in said Assignee's own name for said invention or improvements in each and every country of the world are hereby assigned and granted by the undersigned to said Assignee, it is further agreed by the undersigned, upon the request of said Assignee, to execute any and all documents that shall be required of the undersigned to be executed in connection with any and all applications for foreign Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to vest title in said foreign applications and patents in said Assignee, the attorneys of record in said application for patent are hereby authorized and requested by the undersigned to insert in this Assignment the date and serial number thereof in the places provided therefor.

Signature

Name: Mengsu YANGDate: 30 August 2001

witness

Name: Eunice ChikDate: 30 August 2001

Signature

Name: Hok Sin WOODate: 30 August 2001

PATENT

RECORDED: 10/15/2002

REEL: 013381 FRAME: 0826