

10-23-2002



FORM PTO-1595
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)

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2002 OCT 17 AM 9:42

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

FINANCE SECTION

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Arthur B. Pardee (Executed 9/11/02)
Kenneth Anderson (Executed 9/25/02)
Deepak Gupta (Executed 10/2/02)
Chiang J. Li (Executed 9/11/02)
Youzhi Li (Executed 9/11/02)

2. Name and address of receiving party(ies)

Name: Dana-Farber Cancer Institute, Inc.

Internal Address: 44 Binney Street, Boston, Massachusetts 02115

Street Address: 44 Binney Street, Boston, Massachusetts 02115

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is _____

A. Patent Application No(s):

B. Patent No(s):

10/209,388 filed July 21, 2002

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address of party to whom correspondence
Concerning document should be mailed:**

Name: Ivor R. Elrifi
Address: MINTZ, LEVIN, COHN, FERRIS
GLOVSKY and POPEO, P.C.
One Financial Center
Boston, MA 02111

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41)

\$40.00

- ☒ Enclosed
☒ The Commissioner is authorized to charge Deposit Account
No: 50-0311, Reference No. 22596-534CIP.

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Barry J. Marenberg, Reg. No. 40,715

October 17, 2002

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: [10]

Mail documents to be recorded with required cover sheet information to:

BOX ASSIGNMENT
Commissioner of Patents and Trademarks
Washington, D.C. 20231

10/22/2002 DBYRNE 00000184 10209388

01 FC:0021

40.00 DP

TRA 1723767v1

PATENT
REEL: 013400 FRAME: 0847

ASSIGNMENT

We, Arthur B. Pardee, Kenneth Anderson, Deepak Gupta, Chiang Li and Youzhi Li, the undersigned, for good and valuable consideration, the receipt of which is hereby acknowledged, hereby assign, sell and transfer to **Dana Farber Cancer Institute, Inc.**, a non-profit organization, with offices at **44 Binney Street, Boston, MA 02115**, and its successors, assigns and legal representatives, all hereinafter referred to as the ASSIGNEE: (1) my entire right, title and interest for the United States and in all countries, in and to any and all inventions, discoveries and applications which are disclosed in the application for United States Letters Patent entitled:

METHOD OF TREATING HEMATOLOGIC TUMORS AND CANCERS

filed with the U.S. Patent and Trademark Office on July 31, 2002 and assigned Serial No. 10/209,388 including any renewals, revivals, reissues, reexaminations, extensions, continuations and divisions thereof and any substitute applications therefor; (2) the full and complete right to file patent applications in the name of the ASSIGNEE, its designee, or in my/our names at the ASSIGNEE, or its designee's election, on the aforesaid inventions, discoveries and applications in all countries of the world; (3) the entire right, title and interest in and to any Letters Patent which may issue thereon in the United States or in any country, and any renewals, revivals, reissues, reexaminations and extensions thereof, and any patents of confirmation, registration and importation of the same; and (4) the entire right, title and interest in all Convention and Treaty Rights of all kinds thereon, including without limitation all rights of priority in any country of the world, in and to the above inventions, discoveries and applications.

I hereby authorize and request the competent authorities to grant and to issue any and all such Letters Patent in the United States and throughout the world to the ASSIGNEE of the entire right, title and interest therein, as fully and entirely as the same would have been held and enjoyed by me/us had this assignment, sale and transfer not been made.

I agree, at any time, upon the request of the ASSIGNEE, to execute and to deliver to the ASSIGNEE any additional applications for patents for said inventions and discoveries, or any part or parts thereof, and any applications for patents of confirmation, registration and importation based on any Letters Patent issuing on said inventions, discoveries, or applications and divisions, continuations, renewals, revivals, reissues, reexaminations and extensions thereof.

I further agree at any time to execute and to deliver upon request of the ASSIGNEE such additional documents, if any, as are necessary or desirable to secure patent protection on said inventions, discoveries and applications throughout all countries of the world, and otherwise to do the necessary to give full effect to and to perfect the rights of the ASSIGNEE under this Assignment, including the execution, delivery and procurement of any and all further documents evidencing this assignment, transfer and sale as may be necessary or desirable.

I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

I further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

Date: _____

Signature of: Arthur B. Pardue

State of _____

County of _____

Subscribed and sworn to before me this _____ day of _____.

Notary Public

My commission expires _____

Date: 9/25/02

Kenneth Anderson

Signature of: **Kenneth Anderson**

State of Massachusetts

County of Suffolk

Subscribed and sworn to before me this 25 day of September.

Michael F. Murphy

Notary Public

My commission expires **MICHAEL F MURPHY
MY COMMISSION EXPIRES
SEPT. 13, 2007**

Date: _____

Signature of: Deepak Gupta

State of _____

County of _____

Subscribed and sworn to before me this ____ day of _____.

Notary Public

My commission expires _____

Date: _____

Signature of: Chiang Li

State of _____

County of _____

Subscribed and sworn to before me this ____ day of _____.

Notary Public

My commission expires _____

Date: _____

Signature of: Youzhi Li

State of _____

County of _____

Subscribed and sworn to before me this ____ day of _____.

Notary Public

My commission expires _____

ASSIGNMENT

We, Arthur B. Pardee, Kenneth Anderson, Deepak Gupta, Chiang Li and Youzhi Li, the undersigned, for good and valuable consideration, the receipt of which is hereby acknowledged, hereby assign, sell and transfer to **Dana Farber Cancer Institute, Inc.**, a non-profit organization, with offices at **44 Binney Street, Boston, MA 02115**, and its successors, assigns and legal representatives, all hereinafter referred to as the ASSIGNEE: (1) my entire right, title and interest for the United States and in all countries, in and to any and all inventions, discoveries and applications which are disclosed in the application for United States Letters Patent entitled:

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Date: 9/11/02

Arthur B. Pardee
Signature of: **Arthur B. Pardee**

State of MASSACHUSETTS
County of NORFOLK

Subscribed and sworn to before me this 11 day of SEPTEMBER, 2002

Fanny A. Driscoll
Notary Public
My commission expires 8/28/02

Date: _____

Signature of: **Kenneth Anderson**

State of _____
County of _____

Subscribed and sworn to before me this _____ day of _____.

Notary Public
My commission expires _____

Date: _____

Signature of: **Deepak Gupta**

State of _____

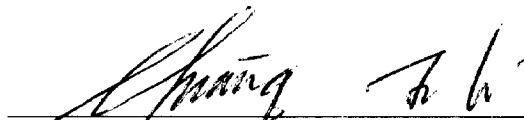
County of _____

Subscribed and sworn to before me this _____ day of _____.

Notary Public

My commission expires _____

Date: 9/11/02

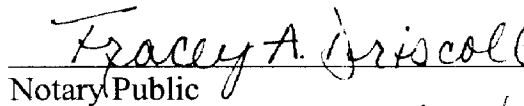


Signature of: **Chang Li**

State of MASSACHUSETTS

County of NORFOLK

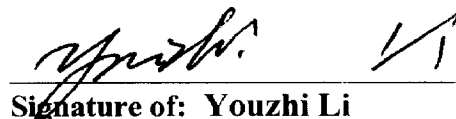
Subscribed and sworn to before me this 11 day of SEPTEMBER, 2002



Notary Public

My commission expires 8/28/2002

Date: 9/11/02

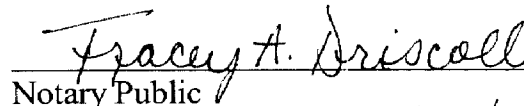


Signature of: **Youzhi Li**

State of MASSACHUSETTS

County of NORFOLK

Subscribed and sworn to before me this 11 day of SEPTEMBER, 2002



Notary Public

My commission expires 8/28/2002

ASSIGNMENT

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Date: _____
Signature of: **Arthur B. Pardee**

State of _____
County of _____

Subscribed and sworn to before me this _____ day of _____.

Notary Public
My commission expires _____

Date: _____
Signature of: **Kenneth Anderson**

State of _____
County of _____

Subscribed and sworn to before me this _____ day of _____.

Notary Public
My commission expires _____

Date: 10/2/2002

Deepak Gupta
Signature of: **Deepak Gupta**

State of MA
County of WORCESTER

Subscribed and sworn to before me this 2nd day of October, 2002

Melinda L. Caissie
Notary Public
My commission expires **MELINDA L. CAISSIE**
Notary Public - Massachusetts
My Commission Expires July 31, 2009

Date: _____

Signature of: **Chiang Li**

State of _____
County of _____

Subscribed and sworn to before me this _____ day of _____.

Notary Public
My commission expires _____

Date: _____

Signature of: **Youzhi Li**

State of _____
County of _____

Subscribed and sworn to before me this _____ day of _____.

Notary Public
My commission expires _____