

10-24-2002



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FORM PTO-1595
(Rev. 6-93)

SUBSTITUTION FORM COVER SHEET

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PATENTS ONLY

Patent and Trademark Office

 JP997 0.1 PTO
 10/266793
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document or copy thereof.

1. Name of conveying party(ies):

 Arthur E. Woodward
 Prakash B. Shahi
 Ralph D. Furmanek

10/08/02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Emerson Motor Company

Internal Address:

Street Address: 8100 West Florissant
MTC Building

City: St. Louis State: MO Zip: 63136

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other

Execution Date: October 7, 2002

4. Application number(s) or registration number(s):

10/266793

If this document is being filed together with a new application, the execution date of the applications: October 7, 2002

A. Patent Application No.(s):

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

 Name: Robert J. McAughan, Jr.
 Howrey Simon Arnold & White, LLP

City: Houston State: Texas Zip: 77057

6. Total number of applications and patents involved: 17. Total fee (37 C.F.R. 3.41)----- \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account
if check insufficient or inadvertently omitted8. Deposit account number: 01-2508/EMCA:024/MCA
(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Billy C. Allen III, Reg. No. 46,147

Name of Person Signing, Reg. No.

Signature

Date

10/8/02

Total number of pages including cover sheet, attachments and documents: 5
 10/23/2002 6TON11 00000205 012508 10266793
 01 FC:0021 40.00 CH

 Mail documents to be recorded with required cover sheet information to:
 Commissioner of Patents and Trademarks, Box Assignments
 Washington, D.C. 20231

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I hereby certify that this paper or fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington D.C. 20231.

 10/8/02
 Date

Signature

H: 502119(@RFR01) DOC)

 PATENT
 REEL: 013401 FRAME: 0258

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do hereby:

SELL, ASSIGN AND TRANSFER to Emerson Electric Co. (the "Assignee"), having a place of business at 8000 West Florissant, St. Louis, MO 63136, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned concurrently herewith and is entitled "**Improved PSC Motor System For Use in HVAC Applications With Field Adjustment and Fail-Safe Capabilities**" such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

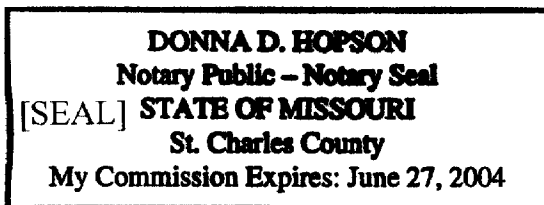
COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

Signature: Arthur E. Woodward Date: 10/4/02
Name: Arthur E. Woodward

STATE OF MISSOURI)
) ss.
COUNTY OF St. Louis)

BEFORE ME, the undersigned authority, on this 4th day of October, 2002, personally appeared Arthur E. Woodward, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.



Donna D. Hopson
Notary or Consular Officer

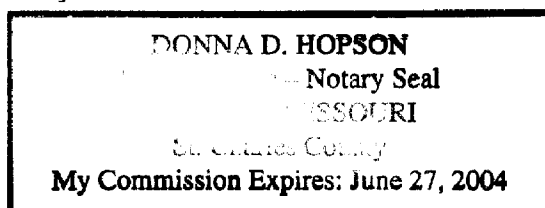
Signature: Prakash B. Shahi Date: OCTOBER 4 '02
Name: Prakash B. Shahi

STATE OF MISSOURI)
) ss.
COUNTY OF St. Louis)

BEFORE ME, the undersigned authority, on this 4th day of October, 2002, personally appeared Prakash B. Shahi, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Donna D. Hopson
Notary or Consular Officer

[SEAL]



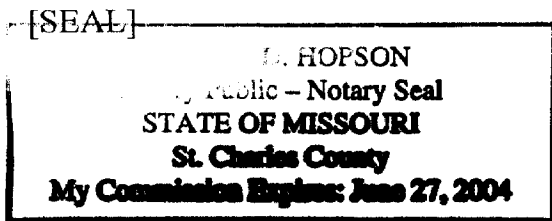
Signature: Ralph D. Furmanek
Name: Ralph D. Furmanek

Date: 7 OCT 2002

STATE OF MISSOURI)
COUNTY OF St. Louis) ss.

BEFORE ME, the undersigned authority, on this 7th day of October, 2002, personally appeared Ralph D. Furmanek, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Donna A. Hopson
Notary or Consular Officer



HOWREY SIMON ARNOLD & WHITE, LLP
750 Bering Drive
Houston, Texas 77057-2198

1299 Pennsylvania Avenue, N.W.
Washington, DC 20004