

10-25-2002  
102260862

Docket-30448.103-US-U1  
(2002.064-2)

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  (1) ELIZABETH SINGER (2) RALF LANDGRAF (3) DENNIS J. SLAMON (4) DAVID EISENBERG  Additional name(s) of conveying party(ies) attached? <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies):  <b>THE REGENTS OF THE UNIVERSITY OF CALIFORNIA</b> 1111 Franklin Street, 12th Floor Oakland, California 94607  Additional name(s) & address(es) attached? <input checked="" type="checkbox"/> No
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3. Nature of conveyance:  
 Assignment

Execution Date: (1) October 10, 2002, (2) October 2, 2002, (3) September 5, 2002, (4) September 3, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)  
10/207,498 filed July 29, 2002

B. Patent No.(s)

Additional numbers attached?  No

OFFICE OF PATENT RECORDS  
2002 OCT 21 PM 2:07  
FINANCE SECTION

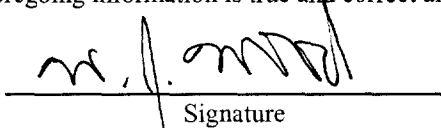
5. Name and address of party to whom correspondence concerning document should be mailed:  Name: William J. Wood Address: GATES & COOPER LLP 6701 Center Drive West, Suite 1050 Los Angeles, CA 90045	6. Total number of applications and patents involved: One  7. Total fee (37 CFR 3.41): \$40  <input checked="" type="checkbox"/> <b>Assignment recordation fee is to be charged to a Credit Card. PTO-2038 Credit Card Payment Form is enclosed.</b>  8. Please charge any additional fees or credit any overpayments to Deposit Account No. 50-0494.
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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William J. Wood  
Name of Person Signing

  
Signature

October 15, 2002  
Date

Total number of pages including cover sheet, attachments, and document: Five

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Mail documents to be recorded with required cover sheet information to:

10/24/2002 LMUELLER 00000173 10207498

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Director - United States Patent and Trademark Office  
Box Assignment  
Washington, D.C. 20231

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**ASSIGNMENT**

U.C. Case No. 2002-064-2

G & C Case No. 30448.103-US-U1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

- 1. Elizabeth Singer
- 2. Ralf Landgraf
- 3. Dennis J. Slamon
- 4. David Eisenberg

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, 12<sup>th</sup> Floor, Oakland, California 94607, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

**METHOD AND MATERIALS FOR CHARACTERIZING AND MODULATING INTERACTION BETWEEN HEREGULIN AND HER3**

and which is found in

  X   U.S. patent application filed July 29, 2002, Serial No. 10/207,498 and listing the above named persons as inventors

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner for Patents to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

10 day of October, 2002

\_\_\_\_\_ day of \_\_\_\_\_, 2002

\_\_\_\_\_ day of \_\_\_\_\_, 2002

\_\_\_\_\_ day of \_\_\_\_\_, 2002

Signature of Inventor(s)

Elizabeth Singer  
Elizabeth Singer

\_\_\_\_\_  
Ralf Landgraf

\_\_\_\_\_  
Dennis J. Slamon

\_\_\_\_\_  
David Eisenberg

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executed this

Signature of Inventor(s)

\_\_\_\_ day of \_\_\_\_\_, 2002

Elizabeth Singer

2 day of October, 2002

  
Ralf Landgraf

\_\_\_\_ day of \_\_\_\_\_, 2002

Dennis J. Slamon

\_\_\_\_ day of \_\_\_\_\_, 2002

David Eisenberg

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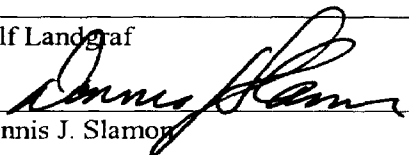
\_\_\_\_\_ day of \_\_\_\_\_, 2002

\_\_\_\_\_  
Elizabeth Singer

\_\_\_\_\_ day of \_\_\_\_\_, 2002

\_\_\_\_\_  
Ralf Landgraf

  5   day of   SEPTEMBER  , 2002

\_\_\_\_\_  
  
Dennis J. Slamon

\_\_\_\_\_ day of \_\_\_\_\_, 2002

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Elizabeth Singer

\_\_\_\_ day of \_\_\_\_\_, 2002

\_\_\_\_\_  
Ralf Landgraf

\_\_\_\_ day of \_\_\_\_\_, 2002

\_\_\_\_\_  
Dennis J. Slamon

3<sup>rd</sup> day of September, 2002

David Eisenberg  
\_\_\_\_\_  
David Eisenberg