TOTAL PTO-1595 (Nev. 6 93) OMB No. 0651-0011 (exp. 4/94)	HEET U.S. DEPARTMENT OF COMMERCE Patent and Tradomark Office
/	2002
Tab settings ⇒ ⇒ ⇒ DCT 2 1 2002 To the Honorable Commissioner of Pater	hed original documents or copy thereof.
102262	2549
1. Name of conveying party(les).	2. Name and address of receiving party(les) BOARD OF REGENTS OF THE UNIVERSITY
MARTII M. LIPHARDT BLAINE D. JOHS	Name: OF MEBRASKA
CRAIG M. HERZINGER PING HE Additional name(s) of conveying party(les) attached? Si Yes Ci No	Internal Address:
3. Nature of conveyance: 10 21 02	
S Assignment ☐ Merger	Street Address: 3835 HOLDREGE ST.
☐ Security Agreement ☐ Change of Name	
	City: LINCOLN State: NE ZIP: 68488
U Other	•
Execution Date:JUNE_24, 2002	Additional name(s) & address(es) attached? 🖸 Yes 🖾 No
A. Patent Application No.(s) 10/178,723 Additional numbers a	B. Patent No.(s) ttached? O Yes the No
 Name and address of party to whom correspondence concerning document should be mailed: 	6. Total number of applications and patents involved:
Name:JAMES D. WELCH	7. Total fee (37 CFR 3.41)\$ 40.00
Internal Address:	□ 52 Enclosed
<u> </u>	☐ Authorized to be charged to deposit account
Street Address: 10328 PINEHURST AVE.	8. Deposit account number:
City: OMAHA State: NE ZIP: 68124	(Attach duplicate copy of this page if paying by deposit account)
10/25/2002 DBYRHE 00000049 10178722 DO NOT I	USE THIS SPACE
01 FC:8081 40.00 OP	
9. Statement and signature. To the best of my knowledge and belief, the foregoing info the original document. JAMES D. WELCH	ermation is true and correct and any attached copy is a true copy of
Name of Person Signing Signature Total number of pages including cover sheet, attachments, and document:	

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Wagehington, D.C. 20231

REEL: 013410 FRAME: 0308

ASSIGNMENT

WHEREAS WE, MARTIN M. LIPHARDT, BLAINE D. JOHS, CRAIG M. HERZINGER and PING HE are the Inventors of the invention titled "FUNCTIONAL EQUIVALENT TO SPATIAL FILTER IN ELLIPSOMETER AND THE LIKE SYSTEMS"; and

WHEREAS WE are the owners of record of a United States Patent Application of the title recited above which is being submitted to the United States Patent Office, the Serial Number of which is 10/178,723; (to be filled-in when available), and

WHEREAS WE might pursue Foreign Patent Applications under the Cooperation Treaty and directly in Non-Member Nations which concern the same Invention and Improvements thereto; and

WHEREAS WE are, under the terms of an Agreement or Employment Contract with the J.A. WOOLLAM CO. INC., obligated to assign any and all Patent Applications and Patents which Issue thereon, Domestic and Foreign, Present and Future for said Invention and Improvements thereto to the J.A. WOOLLAM CO. INC.; and

WHEREAS the J.A. WOOLLAM CO. INC. is desirous of accepting an Assignment of any and all interest in said Application(s) for Patent(s) and Patent(s) which Issue thereon, Domestic and Foreign, Present and Future as provided for in said Agreement or Employment Contract with the Inventors;

NOW THEREFORE, in recognition of the receipt of One Dollar (\$1.00) and other good, valuable and sufficient consideration received from J.A. WOOLLAM CO. INC., WE MARTIN M. LIPHARDT, BLAINE D. JOHS, CRAIG M. HERZINGER and PING HE, do:

- 1. Hereby Sell, Assign, Transfer and/or otherwise Set Over Without Reservation and Conditions other than those recited herein, to the Board of Regents of the J.A. WOOLLAM CO. INC., its Legal Representatives, Successors, Assigns etc. the entire interest in the Rights and Title to any Patent Applications and Patents which Issue thereon, Domestic and Foreign, Present and Future, for said Invention and Improvements; so that the J.A. WOOLLAM CO. INC. shall hereafter own all Rights and Title as fully and completely as WE would have owned said Rights and Title were the present Assignment not made, with the full understanding that the entire Rights and Title to said Invention shall immediately vest, irrevocably, in the J. A. WOOLLAM CO. INC., its Legal Representatives, Successors, Assigns etc.
- 2. Agree to execute all future documents as may be necessary to acquire issue of the Patent Application and additional Patents Applications for said Invention, and Improvements thereto, in the United States and in Foreign Countries.
- 3. Request that the Commissioner of Patents and Trademarks in the United States and the equivalent in Foreign Countries issue

PATENT REEL: 013410 FRAME: 0309 United States Patent Application, Serial Number 10/178,723, (to be filled-in when available), and such additional Patent Applications, Domestic or Foreign which result from future Patent Cooperation Treaty Patent Application, Foreign or Domestic Patent Applications to the J. A. WOOLLAM CO. INC., its Legal Representatives, Successors, Assigns etc., as the case might at the Issue Date thereof.

4. Agree that WE will, at no time, do anything to jeopardize the validity of any Patent or Patent Application, Domestic or Foreign, Present or Future other than as necessitated by the duty of Truthful Disclosure required during the normal course of Patent Application Prosecution or Litigation before an Examining or Judicial Authority.

IN AGREEMENT WITH THE FOREGOING, WE, MARTIN M. LIPHARDT, BLAINE D. JOHS, CRAIG M. HERZINGER and PING HE AS INVENTORS, HEREBY WILLINGLY AND FREELY, IN RECOGNITION OF GOOD, VALUABLE AND SUFFICIENT CONSIDERATION RECEIVED FROM THE J.A. WOOLLAM CO. INC. AND I, JOHN A. WOOLLAM, AS PRESIDENT OF THE J.A. WOOLLAM CO. INC., AFFIX OUR SIGNATURES TO EFFECT THE TERMS OF THIS ASSIGNMENT, SAID SIGNATURES EACH BEING AFFIXED IN THE PRESENCE OF A NOTARY PUBLIC.

DATE INVENTOR INVENTOR GENERAL NOTARY-State of Nebraska **GENERAL NOTARY-State of Nebraska** BRANDY J. POOLE BRANDY J. POOLE My Comm. Exp. March 17, 2004 Ay Comm. Exp. March 17, 2004 18-02 NOTARY PUBLIC NOTARY PUBLIC DATE 6-17-02 6-18-0L DATE INVENTOR NVENTOR GENERAL NOTARY-State of Nebrasks GENERAL NOTARY-State of Hebraska BRANDY J. POOLE BRANDY J. POOLE My Comm. Exp. March 17, 2004 My Comm. Exp. March 17, 2004 0.24-62 NOTARY PUBLIC NOTARY PUBLIC DATE GENERAL NOTARY-State of Nebraska BRANDY I POOLE My Cemm. Exp. March 17, 2004 110000 b-19-62 DATE WOOLLAM NOTARY PUBLIC PRESID**ENT** OF

THE J.A. WOOLLAM CO. INC.

RECORDED: 10/21/2002

PATENT REEL: 013410 FRAME: 0310