OFFIG.	0-28-2002 ————
OFFICE OF PUBLIC RESCOR	
	DELAPTMENT OF COMMERCE
OMR No. 0651-0011 (ovn. 4/04)	3. DEPARTMENT OF COMMERCE Patent and Trademark Office
FINANCE SECTION	
Please record the attached original	nal documents or copy thereof.
1. Name of conveying party(ies):	2. Name and address of receiving party(ies)
Xiaojia Guo (Executed 6/13/02) Ramesh Kekuda (Executed 6/20/02) Charles E. Miller (Executed 5/21/02)	Name: CuraGen Corporation
Charles E. Miller (Executed 5/31/02) Uriel M. Malyankar (Executed 5/28/02) Kimberly A. Spytek (Executed 6/9/02)	Internal Address: 555 Long Wharf Drive, 11 th Floor New Haven, CT 06511
Meera Patturajan (Executed 6/3/02) Xiaohong Liu(Executed 6/12/02) Vladimir Y. Gusev (Executed 6/20/02)	Street Address: Same as above
Li Li (Executed 5/31/02) Corine Vernet (Executed 6/19/02) Bryan D. Zerhusen (Executed 5/31/02) Linda Gorman (Executed 5/31/02) Suresh G. Shenoy (Executed 6/20/02) Carol E. A. Pena (Executed 6/12/02) Glennda Smithson (Executed 6/3/02)	Additional name(s) & address(es) attached? []Yes [X] No
Additional name(s) of conveying party(ies) attached? 🛛 Yes 🗌 No	
3. Nature of conveyance:	
4. Application number(s) or patent number(s): If this document is being fil	
A. Patent Application No(s):	B. Patent No(s):
10/114,270 filed April 2, 2002 Additional numbers attac	hcd? ☐ Yes ☒ No
Additional numbers attac	ind: [] To [S] No
5. Name and address of party to whom correspondence Concerning document should be mailed:	6. Total number of applications and patents involved: [1]
Name: Ivor R. Elrifi	7. Total fee (37 CFR 3.41) \$40.00
Address: MINTZ, LEVIN, COHN, FERRIS GLOVSKY and POPEO, P.C. One Financial Center Boston, MA 02111	 ☑ Enclosed ☐ The Commissioner is authorized to charge Deposit Account No: 50-0311, Reference No. 21402-322C (CURA-622 SMT).
DO NOT USE	THIS SPACE
9. Statement and signature To the best of my knowledge and belief, the foregoing information is true and c	orrect and any attached copy is a true copy of the original document.
Matthew Pavao, Reg No. 50,572	October 18, 2002
Signature	
Total number of pages including cover sheet, attachments, and document: [27] Mail documents to be recorded with required cover sheet information to:	
`	
BOX ASSIGNMENT Commissioner of Patents and Trademarks	
5/2002 JIMLON2 00000050 10114270	D.C. 20231

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40.00 DP

Name of surveying party(ics) continued:

Catherine E. Burgess (Executed 5/31/02)
Valerie Gerlach (Executed 5/31/02)
Muralidhara Padigaru (Executed 6/13/02)
Richard A. Shimkets (Executed 6/2/02)
Esha A. Gangolli (Executed 5/31/02)
Raymond J. Taupier, Jr. (Executed 6/3/02)
Stacie J. Casman (Executed 7/2/02)
Weizhen Ji (Executed 5/31/02)
David W. Anderson (Executed 5/31/02)
David W. Leite (Executed 6/20/02)
Luca Rastelli (Executed 6/21/02)
Shlomit R. Edinger (Executed 6/2/02)
David J. Stone (Executed 5/31/02)
John R. MacDougall (Executed 6/12/02)
Mark E. Rothenberg (Executed 5/31/02)
Ann Mazur (Executed 6/12/02)
Isabelle Millet (Executed 5/28/02)
John A. Peyman (Executed 5/30/02)
Karen Ellerman (Executed 5/31/02)

TRA 1671563v1

Express Mail Label No.: EV139500671US

Date of Deposit: October 18, 2002

Attorney Docket No. 21402-322C (Cura-622 SMT)

ASSIGNMENT

We, Xiaojia Guo, Ramesh Kekuda, Charles E. Miller, Uriel M. Malyankar, Kimberly A. Spytek, Meera Patturajan, Xiaohong Liu, Vladimir Y. Gusev, Li Li, Corine Vernet, Bryan D. Zerhusen, Linda Gorman, Suresh G. Shenoy, Carol E. A. Pena, Glennda Smithson, Catherine E. Burgess, Valerie Gerlach, Muralidhara Padigaru, Richard A. Shimkets, Esha A. Gangolli, Raymond J. Taupier, Jr., Stacie J. Casman, Weizhen Ji, David W. Anderson, Mario W. Leite, Luca Rastelli, Shlomit R. Edinger, David J. Stone, John R. MacDougall, Mark E. Rothenberg, Ann Mazur, Isabelle Millet, John A. Peyman and Karen Ellerman the undersigned, for good and valuable consideration, the receipt of which is hereby acknowledged, hereby assign, sell and transfer to CuraGen Corporation, a Delaware corporation, with offices at 555 Long Wharf Drive, 11th Floor, New Haven, CT 06511, and its successors, assigns and legal representatives, all hereinafter referred to as the ASSIGNEE:

(1) my entire right, title and interest for the United States and in all countries, in and to any and all inventions, discoveries and applications which are disclosed in the application for United States Letters Patent entitled:

NOVEL PROTEINS AND NUCLEIC ACIDS ENCODING SAME

filed with the U.S. Patent and Trademark Office on April 2, 2002 and assigned Serial No. 10/114,270, including any renewals, revivals, reissues, reexaminations, extensions, continuations and divisions thereof and any substitute applications therefor; (2) the full and complete right to file patent applications in the name of the ASSIGNEE, its designee, or in my/our names at the ASSIGNEE, or its designee's election, on the aforesaid inventions, discoveries and applications in all countries of the world; (3) the entire right, title and interest in and to any Letters Patent which may issue thereon in the United States or in any country, and any renewals, revivals, reissues, reexaminations and extensions thereof, and any patents of confirmation, registration and importation of the same; and (4) the entire right, title and interest in all Convention and Treaty Rights of all kinds thereon, including without limitation all rights of priority in any country of the world, in and to the above inventions, discoveries and applications.

I hereby authorize and request the competent authorities to grant and to issue any and all such Letters Patent in the United States and throughout the world to the ASSIGNEE of the entire right, title and interest therein, as fully and entirely as the same would have been held and enjoyed by me/us had this assignment, sale and transfer not been made.

I agree, at any time, upon the request of the ASSIGNEE, to execute and to deliver to the ASSIGNEE any additional applications for patents for said inventions and discoveries, or any part or parts thereof, and any applications for patents of confirmation, registration and importation based on any Letters Patent issuing on said inventions, discoveries, or applications and divisions, continuations, renewals, revivals, reissues, reexaminations and extensions thereof.

I further agree at any time to execute and to deliver upon request of the ASSIGNEE such additional documents, if any, as are necessary or desirable to secure patent protection on said inventions, discoveries and applications throughout all countries of the world, and otherwise to do the necessary to give full effect to and to perfect the rights of the ASSIGNEE under this Assignment, including the execution, delivery and procurement of any and all further documents evidencing this assignment, transfer and sale as may be necessary or desirable.

I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

I further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

Date: 6/13/02	Then S
	Signature of: Xiaojia Guo
State of Connecticut County of New Howen	
Subscribed and sworn to before me this 13	Notary Public Mycommission expires
Date: 6 20 0 2	Signature of: Ramesh Kekuda
State of Connecticut County of New Housen	
Subscribed and sworn to before me this 25	Notary Public 7/28/11/

Date: 5/3/ /02	Jak & Mith
	Signature of: Charles E. Miller
State of Connecticut County of New Housen	
Subscribed and sworn to before me this 2 \(\frac{\dagger}{2} \)	day of May Notery Public My commission expires 2/78/01
Date: 5 28 02	Signature of: Uriel M. Malyankar
State of <u>Connecticut</u> County of <u>New Haven</u>	v
Subscribed and sworn to before me this <u>28</u>	Nothry Public My commission expires 2/2/8/
Date: 6 402	Signature of: Kimperly A. Spytek
State of Connecticut County of New Heuren	V
Subscribed and sworn to before me this <u></u>	Notary Public My commission expires 728/01/

Date: 6/3/0 V	Mens Pattriagus
	Signature of: Meera Patturajan
State of Commenticent County of New Hurn	
Subscribed and sworn to before me this 35	Motary Public My commission expires 7
Date:	
	Signature of: Xiaohong Liu
State ofCounty of	
Subscribed and sworn to before me this	
	Notary Public My commission expires
Date: $6/20/o2$	Signature of: Vladimir Y. Gusev
State of Connecticut County of New Yewen	
Subscribed and sworn to before me this 2011	Notary Public My commission expires

Date: 5 31/02	Lie
	Signature of: Li Li
State of Connecticut County of New Hoven	<u>k</u>
,	Motary Public My commission expires
	Signature of: Corine Vernet
State of Connecticut County of New House)
Subscribed and sworn to before me this 19**	Motaxy Public Mycommission expires 7/28/201
Date: $5/31/02$	Buyan Tolan
Duto	Signature of: Bryan D. Zerhusen
State of Cannesticut County of New Haven	(
Subscribed and sworn to before me this 3124	Notary Rublic My commission expires

Date: $5/31/02$	Almaa Kernon
· · · · · · · · · · · · · · · · · · ·	Signature of: Linda Gorman
State of Connecticut County of New Housen	
Subscribed and sworn to before me this 21	day of <u>Woul</u> .
	Notary Public My commission expires 2/28/5/
Date:	Ashing
	Signature of: Suresh G. Shenoy
State of Connocticut County of New Hourn)
Subscribed and sworn to before me this 20	day of Juhl.
Date: 6 12/02	Notary Public My commission expires Welcoen
	Signature of: Carol E. A. Pena
State of Connecticut County of New Lover	
Subscribed and sworn to before me this \Q	Notary Rublic My commission expires

Date: 6 03-02	Signature of: Glennda Smithson
State of Connecticut County of New Hoven	
Subscribed and sworn to before me this	day of June (5)
	Notary Public My commission expires 22 A
Date: 5/31/02	Signature of: Catherine E. Burgess
State of Connecticut County of New Herein	
Subscribed and sworn to before me this A	day of Would Work Rublic My commission expires 2/8/8/
Date: 5/3//02	Valeue L. Gerlach Signature of: Valerie Gerlach
State of Connecticut County of New Year	
Subscribed and sworn to before me this 31	Motary Public My construction expires 7 7 0 1

Date: 6 13 62	Muldlane
Date.	Signature of: Muralidhara Padigaru
State of Connobious County of New House	
Subscribed and sworn to before me this 1/3	day of Auto
Date: (ℓ^2) (ℓ^2)	
	Signature of: Richard A. Shimkets
State of Connecticut County of New Haven	
Subscribed and sworn to before me this 2	My compission expires 278/11
Date: 5/3//02	Esha yoragall
State of Carrettust County of New York	Signature of: Esha A. Gangoll
Subscribed and sworn to before me this 3	Notary Public My commission expires 2/2/0/

Date: $\frac{6}{3} \left(0^{2} \right)$	Signature of: Raymond J. Tabpier, Jr.
State of Connections County of New York	Signature of Kaymond J. Laupier, Jr.
Subscribed and sworn to before me this	My commission expires 200
Date: 1 DD	Signature of: Stacie J. Casman
State of Counties Lives County of New Hoven	
Subscribed and sworn to before me this	Notary Rublic My commission expires 7/28/84
Date: 5-31-02	Signature of: Weizhen Ji
State of Countries County of New Years	
Subscribed and sworn to before me this 3	Aday of May OD. Notary Public Mylcommission expires

	David W. Ch
Date: 5/31/2002	Signature of: David W. Anderson
State of Council County of New Years	
Subscribed and sworn to before me this	Notary Public My commission expires
Date: 6/20/02	Mario L. Laile Signature of Mario W. Leite
State of Connections County of New Ferri	
Subscribed and sworn to before me this $\frac{\partial C}{\partial C}$ Date: $\frac{6}{12102}$	Notary Public My commission expires 278/04
	Signature of: Luca Rastelli
State of Connecticut County of Subscribed and sworn to before me this 2	day of June 6.2
	$\sim \sim $

Date:	Mutot kleli
	Signature of: Shlomit R. Edinger
State of Cannadiant County of New Your	
Subscribed and sworn to before me this <u>S</u>	lday of Acno
. 7	Mycommission expires 7286
Date: $\frac{5/31/22}{}$	Signature of: David J. Stone
State of On nea-tous County of New Housen	Signayar on Dayy grosson
Subscribed and sworn to before me this 31	day of May
	Notary Public M. commission expires
Date: 06/12/02	Significant John P. MacDougall
State of Convidence of County of Cou	Signature of: John R. MacDougall
Subscribed and sworn to before the this <u>vac</u>	Notary Public My commission expires Z

Date: $\frac{\sqrt{3}\sqrt{0}}{\sqrt{0}}$	Mak E. Chenley
	ature of: Mark E. Rothenberg
County of New York	
Subscribed and sworn to before me this 3 day of Nota My	Public Tonumission expires
Date: 012102 Sign	ature of: Ann Mazur
State of Connecticut County of New Yewer	
	of Anna Public permission expires 7/2/1/2/2/2/2/2/2/2/2/2/2/2/2/2/2/2/2/2/
Date: 0 - 2 1 - 0 2	n Elev
Sign	ature of: Isabelle Millet
State of Connecticut County of Lew Lewer	
	of

Date:	John Co
	Signature of: John A. Peyman
State of Con nealicet County of Dew House	V
Subscribed and sworn to before me this 26	Notary Rublic My commission expires
State of Connibious County of See Speed	Signature of: Karen Ellerman
Subscribed and sworn to before me this 31	Notary Rublic My commission expires

TRA 1671419v1

Express Mail Label No.: EV139500671US

Date of Deposit: October 18, 2002

Attorney Docket No. 21402-322C (Cura-622 SMT)

ASSIGNMENT

We, Xiaojia Guo, Ramesh Kekuda, Charles E. Miller, Uriel M. Malyankar, Kimberly A. Spytek, Meera Patturajan, Xiaohong Liu, Vladimir Y. Gusev, Li Li, Corine Vernet, Bryan D. Zerhusen, Linda Gorman, Suresh G. Shenoy, Carol E. A. Pena, Glennda Smithson, Catherine E. Burgess, Valerie Gerlach, Muralidhara Padigaru, Richard A. Shimkets, Esha A. Gangolli, Raymond J. Taupier, Jr., Stacie J. Casman, Weizhen Ji, David W. Anderson, Mario W. Leite, Luca Rastelli, Shlomit R. Edinger, David J. Stone, John R. MacDougall, Mark E. Rothenberg, Ann Mazur, Isabelle Millet, John A. Peyman and Karen Ellerman the undersigned, for good and valuable consideration, the receipt of which is hereby acknowledged, hereby assign, sell and transfer to CuraGen Corporation, a Delaware corporation, with offices at 555 Long Wharf Drive, 11th Floor, New Haven, CT 06511, and its successors, assigns and legal representatives, all hereinafter referred to as the ASSIGNEE:

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Signature of: Xiaojia Guo
day of
Notary Public
My commission expires
Signature of: Ramesh Kekuda
day of
Notary Public
My commission expires

Date:	
	Signature of: Charles E. Miller
State of	
County of	
Subscribed and sworn to before me this	day of
	Notary Public
	My commission expires
Date:	Signature of: Uriel M. Malyankar
State of	
County of	
Subscribed and sworn to before me this	day of
	Notary Public
	My commission expires
Date:	Signature of: Kimberly A. Spytek
State of County of	
Subscribed and sworn to before me this	day of
	Notary Public
	My commission expires

Date:	
	Signature of: Meera Patturajan
State of	
County of	
Subscribed and sworn to before me this	day of
	Notary Public
	My commission expires
Date: June 12, 2002	175 =
	Signature of: Xiaohong Liu
State of Massachusetts	
County of Plymouth	
,	
	Diane Cuddeni
	Diane Cuddemi Notary Public
County of <u>Plymouth</u> Subscribed and sworn to before me this <u>27</u>	Diane Cuddeni
,	Diane Cudderni Notary Public My commission expires January 23, 2009
Subscribed and sworn to before me this 27	Diane Cuddemi Notary Public
Subscribed and sworn to before me this 27	Diane Cudderni Notary Public My commission expires January 23, 2009
Subscribed and sworn to before me this 27 Date: State of	Diane Cudderni Notary Public My commission expires January 23, 2009
Subscribed and sworn to before me this 27	Notary Public My commission expires January 23, 2009 Signature of: Vladimir Y. Gusev
Subscribed and sworn to before me this 27 Date: State of County of	Notary Public My commission expires January 23, 2009 Signature of: Vladimir Y. Gusev

Date:	
	Signature of: Li Li
State of	
County of	
Subscribed and sworn to before me this	day of
	Notary Public
	My commission expires
Dur	
Date:	Signature of: Corine Vernet
State of	
County of	
Subscribed and sworn to before me this	day of
	Notary Public
	My commission expires
Date:	
	Signature of: Bryan D. Zerhusen
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State of	
Subscribed and sworn to before me this	day of
	Notary Public
	My commission expires

Date:	
	Signature of: Linda Gorman
State of	
County of	
Subscribed and sworn to before me this	day of
	Notary Public
	My commission expires
Date:	Signature of: Suresh G. Shenoy
State of	
Subscribed and sworn to before me this	day of
	Notary Public My commission expires
Date:	
	Signature of: Carol E. A. Pena
State of	
Subscribed and sworn to before me this _	day of
	Notary Public My commission expires
County of	

Date:	
	Signature of: Glennda Smithson
State of	
County of	
Subscribed and sworn to before me this	day of
	Notary Public
	My commission expires
Date:	Signature of: Catherine E. Burgess
State of County of	
Subscribed and sworn to before me this	day of
	Notary Public
	My commission expires
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Date:	Signature of: Valerie Gerlach
State of County of	
Subscribed and sworn to before me this	day of
	Notary Public
	My commission expires

Date:	
	Signature of: Muralidhara Padigaru
State of	
County of	
Subscribed and sworn to before me this	day of
	Notary Public
	My commission expires
Date:	Signature of: Richard A. Shimkets
State of	
County of	
Subscribed and sworn to before me this	day of
	Notary Public
	My commission expires
Date:	
	Signature of: Esha A. Gangolli
State of	
County of	
Subscribed and sworn to before me this	day of
	Notary Public
	My commission expires

Date:	
	Signature of: Raymond J. Taupier, Jr.
State of	
County of	
C. h	A of
Subscribed and sworn to before me this	day of
	Notary Public
	My commission expires
Date:	Signature of: Stacie J. Casman
State of	
County of	
Subscribed and sworn to before me this	day of
	Notary Public
	My commission expires
Date:	
Date:	Signature of: Weizhen Ji
State of	
County of	
Subscribed and sworn to before me this	day of
	Notary Public
	My commission expires

Date:	
	Signature of: David W. Anderson
State of	
County of	
Subscribed and sworn to before me this	day of
	Notary Public
	My commission expires
Date:	
	Signature of: Mario W. Leite
State of	
County of	
Subscribed and sworn to before me this	day of
	Notary Public My commission expires
Date:	
	Signature of: Luca Rastelli
State of	
Subscribed and sworn to before me this	day of
	Notary Public
	My commission expires

– 10 –

Date:	
	Signature of: Shlomit R. Edinger
State of	
County of	
Subscribed and sworn to before me this	day of
	Notary Public
	My commission expires
Date:	Signature of: David J. Stone
State of	
Subscribed and sworn to before me this	day of
	Notary Public
	My commission expires
Date	
Date:	Signature of: John R. MacDougall
State of	
Subscribed and sworn to before me this	day of
	Notary Public
	My commission expires

Date:	
	Signature of: Mark E. Rothenberg
State of	
County of	
Subscribed and sworn to before me this	day of
	Notary Public
	My commission expires
Date:	Signature of: Ann Mazur
State of County of	
Subscribed and sworn to before me this	day of
	Notary Public
	My commission expires
Data	
Date:	Signature of: Isabelle Millet
State of County of	
Subscribed and sworn to before me this	day of
	Notary Public
	My commission expires

Date:	
	Signature of: John A. Peyman
State of	
County of	
Subscribed and sworn to before me this	day of
	Notary Public
	My commission expires
Data:	
Date:	Signature of: Karen Ellerman
State of	
Subscribed and sworn to before me this	day of
	Notary Public
	My commission expires

TRA 1671419v1

Express Mail Label No.: EV139500671US Date of Deposit: October 18, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Guo et al.

SERIAL NUMBER:

10/114,270

EXAMINER: Not Yet Assigned

FILING DATE:

April 2, 2002

ART UNIT:

1645

For:

Novel Proteins and Nucleic Acids Encoding Same

BOX ASSIGNMENT Commissioner for Patents

Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith for filing in the present application are the following documents:

- Executed Assignment (2 counterparts; 26 pages total); 1.
- Assignment Recordation Cover Sheet (1 page); 2.
- Check No. 14386 in the amount of \$40.00; and 3.
- Return Postcard. 4.

The enclosed check is to cover the \$40.00 recordation fee under 37 C.F.R. § 1.21(h). Although we believe that we have appropriately provided for any fees due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to Deposit Account No. 50-0311 (Reference No. 21402-322C (CURA-622 SMT). A duplicate copy of this transmittal letter is enclosed.

If the enclosed papers are considered incomplete, the Mail Room is respectfully requested to contact the undersigned collect at (617) 542-6000, Boston, Massachusetts.

Respectfully submitted,

PATENT TRADEMARK OFFICE

Ivor R. Elrifi, Reg. No. 39,529

Matthew Pavao, Reg. No. 50,572 MINTZ, LEVIN, COHN, FERRIS,

GLOVSKY and POPEO, P.C.

One Financial Center

Boston, Massachusetts 02111

Tel: (617) 542-6000 Fax: (617) 542-2241

Date: October 18, 2002

TRA 1724165v1

Express Mail Label No.: EV139500671US Date of Deposia: October 18, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Guo et al.

SERIAL NUMBER:

10/114,270

EXAMINER: Not Yet Assigned

FILING DATE:

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30623

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Matthew Pavao, Reg. No. 50,572

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GLOVSKY and POPEO, P.C.

One Financial Center

Boston, Massachusetts 02111

Tel: (617) 542-6000 Fax: (617) 542-2241

Date: October 18, 2002

TRA 1724165v1

RECORDED: 10/18/2002