

FORM PTO-1619A  
Expires 06/30/99  
OMB 0651-0027

10-28-2002



102262759

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

10/21/02

# RECORDATION FORM COVER SHEET PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

## Submission Type

- ☒ New
- ☐ Resubmission (Non-Recordation)  
Document ID#
- ☐ Correction of PTO Error  
Reel #  Frame #
- ☐ Corrective Document  
Reel #  Frame #

## Conveyance Type

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other

U.S. Government

(For Use ONLY by U.S. Government Agencies)

☐ Departmental File ☐ Secret File

## Conveying Party(ies)

☒ Mark if additional names of conveying parties attached Execution Date  
Month Day Year

Name (line 1) NAI-CHUAN CHEN

9/20/2002

Name (line 2)

## Second Party

Name (line 1) YI-LUN CHOU

Execution Date  
Month Day Year  
10/4/2002

Name (line 2)

## Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) UNI LIGHT TECHNOLOGY INC.

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1) 165, Shan-Ying Rd., Shan-Ting Tsun, Kuei-Shan Hsiang

Address (line 2)

Address (line 3) Tao-Yuan

Taiwan, R.O.C.

City

State/Country

Zip Code

## Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

10/23/2002 DEMMANU1 00000028 10274174

02 FD-5021

40.00 UP

PATENT  
REEL: 013414 FRAME: 0656

**Correspondent Name and Address**

Area Code and Telephone Number **410-465-6678**

Name **Morton J. Rosenberg**

Address (line 1) **Rosenberg, Klein & Lee**

Address (line 2) **3458 Ellicott Center Drive, Suite 101**

Address (line 3) **Ellicott City, MD 21043**

Address (line 4)

**Pages** Enter the total number of pages of the attached conveyance document including any attachments.

# **5**

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)


If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year  
**10/11/2002**

**Patent Cooperation Treaty (PCT)**

Enter PCT application number

only if a U.S. Application Number has not been assigned.

PCT  PCT  PCT   
PCT  PCT  PCT

**Number of Properties**

Enter the total number of properties involved.

# **1**

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ **40.00**

Method of Payment:

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Enclosed ☒ Deposit Account ☐

Deposit Account Number:

# **18-2011**

Authorization to charge additional fees:

Yes ☒ No ☐

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

**Morton J. Rosenberg**

Name of Person Signing

Signature

Date

RECORDATION FORM COVER SHEET  
CONTINUATION  
PATENTS ONLY

U.S. Department of Commerce  
Patent and Trademark Office  
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) NAE-GUANN YIH

Execution Date  
Month Day Year  
9/25/2002

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3) City State/Country Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3) City State/Country Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)



# ASSIGNMENT DEED

This Assignment agreement is applicable to an invention entitled (invention Title)

ALGAINP LIGHT EMITTING DIODE

The PATENT RIGHTS referred to in this agreement are:

(Check one) ☒ a Patent Application for this invention, executed by the ASSIGNOR(s) concurrently with this Assignment

☐ U.S. Patent Application Serial No. \_\_\_\_\_, filed \_\_\_\_\_

☐ U.S. Patent No. \_\_\_\_\_, issued \_\_\_\_\_

The PATENT RIGHTS assigned under this agreement are:

(Check one) ☒ U.S. Patent rights only

☐ worldwide Patent rights. In this case, the assignee shall have the right to claim the benefit of the filing date of any U.S. Patent Application identified above.

The ASSIGNOR(s) referred to in this agreement is (or are):

(Full name of first assignor) Nai-Chuan CHEN

(Address) 12, Lane 331, Chang-An St., Pan-Chiao, Taipei, Taiwan R.O.C.

(Full name of second assignor, if any) Yi-Lun CHOU

(Address) 5F-1, No. 2, Lane 121, Sec. 1, Hsin-Sheng S. Rd., Taipei City, Taiwan R.O.C.

(Full name of third assignor, if any) Nae-Guann YIH

(Address) 170, Kan-Cheng Rd., Ling-Yun Tsun, Lung-Tan Hsiang, Tao-Yuan, Taiwan R.O.C.

The First ASSIGNEE referred to in this agreement is:

(Name of Assignee) UNI LIGHT TECHNOLOGY INC.

(Address of Assignee) 165, SHAN-YING RD., SHAN-TING TSUN, KUEI-SHAN HSIANG, TAO-YUAN, TAIWAN R.O.C.

The Second ASSIGNEE referred to in this agreement is:

(Name of Assignee) \_\_\_\_\_

(Address of Assignee) \_\_\_\_\_

The First ASSIGNEE is:

(Check one) ☐ an individual

☐ a partnership

☒ a Corporation of Taiwan R.O.C.

(State or Country)

The Second ASSIGNEE is:

(Check one) ☐ an individual

☐ a partnership

☐ a Corporation of \_\_\_\_\_

(State or Country)

☐ Additional assignees are being named on separately numbered sheets attached hereto.

The ASSIGNOR(S), in consideration of \$1.00 paid by each ASSIGNEE, and other good and valuable consideration, receipt of which is acknowledged, have and do hereby assign the following to each ASSIGNEE; their successors and assigns:

The full and exclusive right to the invention;

An equal interest in and to the entire right, title and interest in and to the PATENT RIGHTS in the invention, all

Continuations, continuations-in-part, divisionals, re-issues, and re-examination patents and patent applications; and

The right to claim priority under 35 U.S.C. 119, based on any earlier foreign applications for this invention.

As to all U.S. Patent Applications assigned under this Agreement, the ASSIGNOR(S) hereby authorize(s) and requests the Director of Patents and Trademarks to issue all Letters Patent to the ASSIGNEE(s) as the ASSIGNEE(s) of an equal interest in the entire right, title and interest, for the sole use and enjoyment of said ASSIGNEE(s), their successors and assigns.

Further, the ASSIGNOR(s) agree(s) to communicate to said ASSIGNEE(s), or their representatives, any facts known to the ASSIGNOR(s) respecting said invention, and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, continuation-in-part, substitute, renewal, reexamination and reissue applications, execute all necessary assignment papers to cause any and all Letters Patent to be issued to said ASSIGNEE(s), make all rightful oaths and generally do everything necessary or desirable to aid said ASSIGNEE(s), their successors and assigns, to obtain and enforce proper protection for said invention.

Nai-Chuan Chen

(Signature 1: Nai-Chuan CHEN) (CHEN IS FAMILY NAME)

Sep. 20, 2002

(Date)

Yi-Lun CHOU

(Signature 2: Yi-Lun CHOU) (CHOU IS FAMILY NAME)

Oct 4, 2002

(Date)

Nae-Guann YIH

(Signature 3: Nae-Guann YIH) (YIH IS FAMILY NAME)

Sep 25, 2002

(Date)