

10-29-2002

CORRECTION



COVER SHEET

To the Honorable Commissioner of

102264048

and original documents or copy thereof.



1. Name of conveying party(ies):

- 1.) Thomas BAUMANN
- 2.) Johann NIENBURG
- 3.) Jörg SOPKA

10/11/02

2. Name and address of receiving party(ies):

Name: Alstom (Schweiz) AG
Address: Brown Boveri Strasse 7,
CH-5401
City: Baden
State:
Country: Switzerland

Additional conveying party(ies) attached? ☐ Yes ☒ No

Additional receiving party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment
- ☐ Security Agreement
- ☐ Other: _____
- ☐ Merger
- ☐ Change of Name

Execution Date(s): July 15, 2002, July 12, 2002, and July 10, 2002

4. Application number(s) or patent number(s): Additional numbers attached? ☐ Yes ☒ No

- ☐ A new application, the specification filed herewith.
- ☒ Application No.: 10/168,620; filed: June 24, 2002 ☐ Patent No. _____; filed: _____

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Shanks & Herbert**
Attn: Adam J. Cermak
Street Address: Transpotomac Plaza
1033 N. Fairfax Street
Alexandria, VA 22314

6. Total number of applications and patents involved: 1

- 7. Total Fee (37 C.F.R. § 3.41): \$40.00
 - ☒ Enclosed
 - ☐ Authorized to be charged to deposit account:
- 8. Deposit Account No.: _____
(Attach duplicate copy of page if charging to deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Adam J. Cermak-40,391
Typed Name, Reg. No.

Attorney Signature

October 11, 2002

Date

OMB No. 0651-0011 (ext. 4/94)
Total number of pages including cover sheet, attachments and document 5

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01 FC:8021

40.00 DP

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 013418 FRAME: 0794

ASSIGNMENT

(JOINT)

THIS ASSIGNMENT, by Thomas BAUMANN, Johann NIENBURG, and Jörg SOPKA, residing at Wettingen, Switzerland; Heidelberg, Germany and Schwetzingen, Germany (hereinafter referred to as "the Assignors"), respectively, witnesseth:

WHEREAS, the Assignors have invented certain new and useful improvements in "PROCESS FOR PRODUCING A HIGH-QUALITY INSULATION FOR ELECTRICAL CONDUCTORS OR CONDUCTOR BUNDLES OF ROTATING ELECTRICAL MACHINES BY MEANS OF FLUIDIZED-BED SINTERING" set forth in an application for Letters Patent of the United States,

- (1) ☐ which is a provisional application
 (a) ☐ to be filed herewith; or
 (b) ☐ bearing Application No. _____, and filed on _____; or
- (2) ☒ which is a non-provisional application
 (a) ☐ having an oath or declaration executed on even date herewith prior to filing of the application;
 (b) ☒ bearing Application No. 10/168,620, and filed on June 24, 2002; or
 (c) ☐ to be filed; and

WHEREAS, ALSTOM (SCHWEIZ) AG, a corporation duly organized under and pursuant to the laws of SWITZERLAND and having a principal place of business at BROWN BOVERI STRASSE 7, CH-5401 BADEN, SWITZERLAND hereinafter referred to as "the Assignee"), is desirous of acquiring the entire right, title, and interest in and to said inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications, including provisional applications for Letters Patent of the United States or other countries claiming priority to said application, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, the Assignors have sold, assigned, transferred, and set over, and by these presents do sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns the entire right, title, and interest in and to the above-mentioned inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said applications, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefor and thereon, and in and to any and all applications claiming priority to said applications, divisions, continuations, and continuations-in-part of said applications, and reissues and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives, and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted as fully and entirely as the same would have been held and enjoyed by the Assignors had this sale and assignment not been made;

Application No.: 10/168,620
Attorney's Docket No.: 0292-0015

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, the Assignors are the sole and lawful owners of the entire right, title, and interest in and to the inventions set forth in said applications and said applications, including provisional applications, above-mentioned, and that the same are unencumbered, and that the Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth;

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns that the Assignors will, whenever counsel of the Assignee, or the counsel of its successors, legal representatives, and assigns, shall advise that any proceeding in connection with said inventions or said applications for Letters Patent or Patents, or any proceeding in connection with Letters Patent or Patents for said inventions in any country, including interference proceedings, is lawful and desirable, or that any application claiming priority to said application, division, continuation, or continuation-in-part of any applications for Letters Patent or Patents, or any reissue or extension of any Letters Patent or Patents to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement, and defense of Letters Patent or Patents for said inventions, without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns;

AND the Assignors hereby authorize and request the attorneys of SHANKS & HERBERT, of Alexandria, Virginia, to insert in the spaces provided above the filing date, application number, and attorney's docket number of said application when known.

AND the Assignors hereby request the Commissioner of Patents to issue any and all said Letters Patent of the United States to the Assignee as the Assignee of said inventions, the Letters Patent to be issued for the sole use and behalf of the Assignee, its successors, legal representatives, and assigns.

DATE July 15th, 2002 SIGNATURE OF ASSIGNOR 
THOMAS BAUMANN

DATE _____ SIGNATURE OF ASSIGNOR _____
JOHANN NIENBURG

DATE _____ SIGNATURE OF ASSIGNOR _____
JÖRG SOPKA

DATE _____ SIGNATURE OF ASSIGNOR _____

DATE _____ SIGNATURE OF ASSIGNOR _____

DATE _____ SIGNATURE OF ASSIGNOR _____

Application No.: 10/168,620
Attorney's Docket No.: 0292-0015

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, the Assignors are the sole and lawful owners of the entire right, title, and interest in and to the inventions set forth in said applications and said applications, including provisional applications, above-mentioned, and that the same are unencumbered, and that the Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth;

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns that the Assignors will, whenever counsel of the Assignee, or the counsel of its successors, legal representatives, and assigns, shall advise that any proceeding in connection with said inventions or said applications for Letters Patent or Patents, or any proceeding in connection with Letters Patent or Patents for said inventions in any country, including interference proceedings, is lawful and desirable, or that any application claiming priority to said application, division, continuation, or continuation-in-part of any applications for Letters Patent or Patents, or any reissue or extension of any Letters Patent or Patents to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement, and defense of Letters Patent or Patents for said inventions, without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns;

AND the Assignors hereby authorize and request the attorneys of SHANKS & HERBERT, of Alexandria, Virginia, to insert in the spaces provided above the filing date, application number, and attorney's docket number of said application when known.

AND the Assignors hereby request the Commissioner of Patents to issue any and all said Letters Patent of the United States to the Assignee as the Assignee of said inventions, the Letters Patent to be issued for the sole use and behalf of the Assignee, its successors, legal representatives, and assigns.

DATE _____ SIGNATURE OF ASSIGNOR _____
THOMAS BAUMANN

DATE 12.07.02 SIGNATURE OF ASSIGNOR 
JOHANN NIENBURG

DATE _____ SIGNATURE OF ASSIGNOR _____
JÖRG SOPKA

DATE _____ SIGNATURE OF ASSIGNOR _____

DATE _____ SIGNATURE OF ASSIGNOR _____

DATE _____ SIGNATURE OF ASSIGNOR _____

Application No.: 10/168,620
 Attorney's Docket No.: 0292-0015

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, the Assignors are the sole and lawful owners of the entire right, title, and interest in and to the inventions set forth in said applications and said applications, including provisional applications, above-mentioned, and that the same are unencumbered, and that the Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth;

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns that the Assignors will, whenever counsel of the Assignee, or the counsel of its successors, legal representatives, and assigns, shall advise that any proceeding in connection with said inventions or said applications for Letters Patent or Patents, or any proceeding in connection with Letters Patent or Patents for said inventions in any country, including interference proceedings, is lawful and desirable, or that any application claiming priority to said application, division, continuation, or continuation-in-part of any applications for Letters Patent or Patents, or any reissue or extension of any Letters Patent or Patents to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement, and defense of Letters Patent or Patents for said inventions, without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns;

AND the Assignors hereby authorize and request the attorneys of SHANKS & HERBERT, of Alexandria, Virginia, to insert in the spaces provided above the filing date, application number, and attorney's docket number of said application when known.

AND the Assignors hereby request the Commissioner of Patents to issue any and all said Letters Patent of the United States to the Assignee as the Assignee of said inventions, the Letters Patent to be issued for the sole use and behalf of the Assignee, its successors, legal representatives, and assigns.

DATE _____ SIGNATURE OF ASSIGNOR _____
 THOMAS BAUMANN

DATE _____ SIGNATURE OF ASSIGNOR _____
 JOHANN NIENBURG

DATE 10.07.2002 SIGNATURE OF ASSIGNOR _____
 JORG SOPKA

DATE _____ SIGNATURE OF ASSIGNOR _____

DATE _____ SIGNATURE OF ASSIGNOR _____

DATE _____ SIGNATURE OF ASSIGNOR _____