

10-30-2002



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INATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

10-28-02

John S. Holmes, Jerry Queen and Robert M. Bultman

Additional name(s) of conveying party(ies) attached ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherExecution Date: December 18, 2001, December 12, 2001 and
December 18, 2001 respectively

2. Name and address of receiving party(ies)

Name: General Electric Company

Internal Address:

Street Address: 1 River RoadCity: Schenectady State: NY ZIP: 12345Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/754,591; filed January 5, 2001

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John S. BeulickInternal Address: Armstrong Teasdale, LLPStreet Address: One Metropolitan Sq. Suite 2600City: St. Louis State: MO ZIP: 631026. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41)..... See Attached Request☐ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

01-2384

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Bruce T. Atkins

Reg. No. 43,476

Signature

Date

Total number of pages including cover sheet, attachments, and document: 5Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231PATENT
REEL: 013420 FRAME: 0488

ASSIGNMENT

Attorney's Docket No.

9D-HR-19397

In consideration of One Dollar and other good and valuable consideration, of which I acknowledge receipt, we, **John S. Holmes**, of **8329 Plum Valley Drive, Sellersburg, Indiana 47172**, **Jerry Queen** of **3805 Lola Dell Court, New Albany, Indiana 47150** and **Robert M. Bultman** of **640 Bart Smith Lane, Smithfield, Kentucky 40068**, sell and assign to General Electric Company, a New York Corporation, (hereinafter referred to as "Company"), its successors and assigns, the entire right, title and interest in and to the improvements in **FLEXIBLE SEALED SYSTEM AND FAN CONTROL ALGORITHM**, invented by us, as described in the application for the United States patent filed **January 5, 2001**, S.N. **09/754,591**, and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

Dec 18, 2001


JOHN S. HOLMES

_____, 20____

JERRY QUEEN

December 18, 2001


ROBERT M. BULTMAN

ASSIGNMENT

Attorney's Docket No.

9D-HR-19397

STATE OF Kentucky)
County of Jefferson) ss.

This 18th day of December, 2001, before me personally came the above-named **JOHN S. HOLMES**, to me personally known as the individual who executed the foregoing Assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Linda S. Chesner
Notary Public

My commission expires:

Sept. 11, 2003

STATE OF _____)
County of _____) ss.

This _____ day of _____, 20____, before me personally came the above-named **JERRY QUEEN**, to me personally known as the individual who executed the foregoing Assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

My commission expires:

Notary Public

STATE OF Kentucky)
County of Jefferson) ss.

This 18th day of December, 2001, before me personally came the above-named **ROBERT M. BULTMAN**, to me personally known as the individual who executed the foregoing Assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Linda S. Chesner
Notary Public

My commission expires:

Sept. 11, 2003

ASSIGNMENT

Attorney's Docket No.

9D-HR-19397

In consideration of One Dollar and other good and valuable consideration, of which I acknowledge receipt, we, **John S. Holmes**, of **8329 Plum Valley Drive, Sellersburg, Indiana 47172**, ^{JERRY T. QUEEN II}~~Jerry Queen~~ of **3805 Lola Dell Court, New Albany, Indiana 47150** and **Robert M. Bultman** of **640 Bart Smith Lane, Smithfield, Kentucky 40068**, sell and assign to General Electric Company, a New York Corporation, (hereinafter referred to as "Company"), its successors and assigns, the entire right, title and interest in and to the improvements in **FLEXIBLE SEALED SYSTEM AND FAN CONTROL ALGORITHM**, invented by us, as described in the application for the United States patent filed **January 5, 2001**, S.N. **09/754,591**, and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

_____, 20____

JOHN S. HOLMES

12/12_____, 2001

~~JERRY QUEEN~~ ^{JERRY T. QUEEN II}
JERRY T. QUEEN II

_____, 20____

ROBERT M. BULTMAN

ASSIGNMENT

Attorney's Docket No.

9D-HR-19397

STATE OF _____)
) ss.
 County of _____)

This _____ day of _____, 20____, before me personally came the above-named **JOHN S. HOLMES**, to me personally known as the individual who executed the foregoing Assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

My commission expires:

Notary Public

STATE OF IN)
) ss.
 County of Floyd)

This 13th day of Dec, 2001, before me personally came the above-named ~~JERRY QUEEN~~ JERRY J. GREEN, to me personally known as the individual who executed the foregoing Assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

My commission expires:

3-12-08

Rhonda K Reed
 Notary Public Rhonda K Reed
Resident of Floyd Co.

STATE OF _____)
) ss.
 County of _____)

This _____ day of _____, 20____, before me personally came the above-named **ROBERT M. BULTMAN**, to me personally known as the individual who executed the foregoing Assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

My commission expires:

Notary Public