

10-31-2002



Form PTO-1595
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OMB No. 0651-0027 (exp. 5/31/2002)

RE

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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Jeffrey J. Smith
Jerald W. Darlington, Jr.
John Occhipinti
Gerard A. Just

10-29-02

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: 9/23/02, 9/27/02, 10/14/02 &
10/10/02

2. Name and address of receiving party(ies)

Name: AMCOL International Corporation

Internal Address: _____

Street Address: _____

One North Arlington
1500 West Shure Drive

City: Arlington Heights

State: Illinois

Zip: 60004

Additional name(s) &
address(es) attached:

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the new application is: _____

A. Patent Application No.: U.S. Serial No.: 10/227,682 B. Patent No.(s): _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael R. Hull

Internal Address: Atty. Dkt.: 28570/38755

Street Address: 6300 Sears Tower
233 S. Wacker Drive

City:
Chicago

State: IL Zip: 60606-6357

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41) \$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number: 13-2855

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael R. Hull, Reg. No. 35,902
Name of Person Signing

Signature

October 23, 2002
Date

Total number of pages including cover sheet, attachments, and documents: 3

10/31/2002 GTOW11 00000019 10227682

Q1 FC:8021

40.00 DP

PATENT
REEL: 13425 FRAME: 0578

ASSIGNMENT

Serial No: 10/227,682
Filed: August 26, 2002
Title: METHOD AND APPARATUS FOR REMOVING HYDROCARBONS FROM WATER

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned hereby assigns to AMCOL International Corporation, a Delaware Corporation, One North Arlington, 1500 West Shure Drive, Arlington Heights, Illinois 60004 (hereinafter "assignee"), its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, executed by the undersigned on 9/23/02, 9/27/02, 10/10/02 and 10/13/02, and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension thereof.

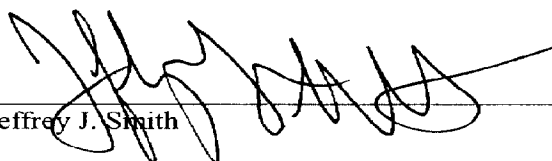
The undersigned hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned hereby authorize and request the attorneys of record in said application to insert in this assignment the execution date and/or filing date and serial number of said application when officially known.

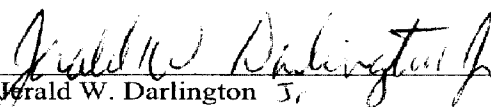
The undersigned warrant themselves to be the owners of the interest herein assigned and to have the right to make this assignment and further warrant that there are no outstanding prior assignments, licenses, or other rights in the interest herein assigned.

For said consideration the undersigned hereby agrees, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation, continuation-in-part and substitute applications for said invention or improvements, and any necessary oath or affidavit relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application, and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns, may deem necessary or expedient, and for said consideration the undersigned further agree upon the request of said assignee, its successors or assigns, in the event of any application or Letters Patent assigned herein becoming involved in Interference, to cooperate to the best of the ability of the undersigned with said assignee, its successors or assigns, in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof, the undersigned hereby agreeing to perform, upon request, any and all affirmative acts to obtain said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in said assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by said assignee, its successors and assigns, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment and sale had not been made.

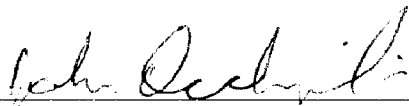
Date: October 14, 2002


Jeffrey J. Smith

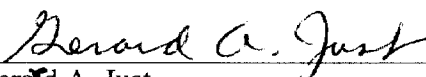
Date: September 23, 2002


Gerald W. Darlington Jr.

Date: 12/11/02


John Occhipinti

Date: September 27, 2002


Gerald A. Just