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1. Name of conveying party(ies):  
Christopher J. Diorio and Todd E. Humes

2. Name and address of receiving party(ies)

Name: California Institute of Technology

Internal Address:

Street Address: 1200 E. California Boulevard, MS 201-85

City: Pasadena State: CA Zip: 91125

Additional Name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other

Execution Date: 10/4/2002; 10/4/2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution data of the application is:

A. Patent Application No.(s)  
10/192,773

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Thelen Reid & Priest LLP

Internal Address:

Street Address: P.O. Box 640640

City: San Jose State: CA Zip: 95164-0640

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) . . . . . \$ 40.00

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Mail documents to be recorded with required cover sheet information to:

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**ASSIGNMENT**

WHEREAS, I, Christopher J. Diorio, a citizen of the United States of America, residing at 17001 NW 15<sup>th</sup> Street, Shoreline, Washington 98177 and Todd E. Humes, a citizen of the United States of America, residing at 817 NW 200<sup>th</sup> Street, Shoreline, Washington 98177 have invented "FLOATING-GATE SEMICONDUCTOR STRUCTURES" for which we have executed application papers for a U.S. patent thereon which was filed on July 9, 2002, having application serial no. 10/192,773; and

WHEREAS, California Institute of Technology, a California non-profit organization, having a place of business located at 1200 E. California Boulevard MS 201-85, Pasadena, California 91125 is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and all countries foreign thereto;

NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, I Christopher J. Diorio and Todd E. Humes, do sell, assign, transfer and set over unto the said California Institute of Technology, its successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all Letters Patent to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said California Institute of Technology, its successors and assigns, in accordance with this Assignment.



