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U.S. DEPARTMENT OF COMMERCE  
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To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Christopher J. Diorio and Todd E. Humes

2. Name and address of receiving party(ies)

Name: California Institute of Technology

Internal Address: \_\_\_\_\_

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Street Address: 1200 E. California Boulevard, MS 201-85

City: Pasadena State: CA Zip: 91125

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

Execution Date: 10/4/2002; 10/4/2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution data of the application is:

A. Patent Application No.(s)

10/192,773

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Thelen Reid & Priest LLP

Internal Address: \_\_\_\_\_

Street Address: P.O. Box 640640

City: San Jose State: CA Zip: 95164-0640

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) .....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

50-1698

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David B. Ritchie, Reg. No. 31,562

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents: 5

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

10/30/2002 LMUELLER 00000121 10192773

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PATENT  
REEL: 013426 FRAME: 0195

## ASSIGNMENT

WHEREAS, I, Christopher J. Diorio, a citizen of the United States of America, residing at 17001 NW 15<sup>th</sup> Street, Shoreline, Washington 98177 and Todd E. Humes, a citizen of the United States of America, residing at 817 NW 200<sup>th</sup> Street, Shoreline, Washington 98177 have invented "FLOATING-GATE SEMICONDUCTOR STRUCTURES" for which we have executed application papers for a U.S. patent thereon which was filed on July 9, 2002, having application serial no. 10/192,773; and

WHEREAS, California Institute of Technology, a California non-profit organization, having a place of business located at 1200 E. California Boulevard MS 201-85, Pasadena, California 91125 is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and all countries foreign thereto;

NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, I Christopher J. Diorio and Todd E. Humes, do sell, assign, transfer and set over unto the said California Institute of Technology, its successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all Letters Patent to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said California Institute of Technology, its successors and assigns, in accordance with this Assignment.

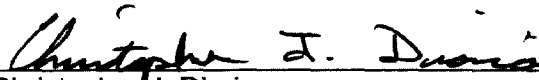
## ASSIGNMENT CONTINUATION

Re: U.S. Patent Application entitled: "FLOATING- GATE SEMICONDUCTOR STRUCTURES"

Inventor: Christopher J. Diorio

Filing date: July 9, 2002

WITNESS MY HAND at SEATTLE, Washington, this 4 day of  
OCTOBER, 2002.

  
Christopher J. Diorio

STATE OF WASHINGTON )  
 ) ss.  
COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 2002, before me, \_\_\_\_\_,  
a Notary Public, personally appeared Christopher J. Diorio, personally known to me (or proved to me on  
the basis of satisfactory evidence) to be the person/s whose name is/are subscribed to the within  
instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized  
capacities, and that by his/her/their signature/s on the instrument the person/s or the entity upon behalf of  
which the person/s acted, executed the instrument.

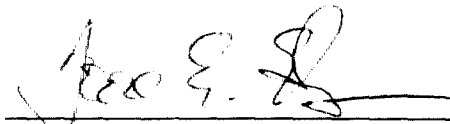
WITNESS my hand and official seal.

\_\_\_\_\_  
NOTARY PUBLIC

## ASSIGNMENT CONTINUATION

Re: U.S. Patent Application entitled: "FLOATING- GATE SEMICONDUCTOR STRUCTURES"  
Inventor: Todd E. Humes  
Filing date: July 9, 2002

WITNESS MY HAND at SEATTLE, Washington, this 4 day of  
OCTOBER, 2002.



Todd E. Humes

STATE OF WASHINGTON )  
 ) ss.  
COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 2002, before me, \_\_\_\_\_,  
a Notary Public, personally appeared Todd E. Humes, personally known to me (or proved to me on the  
basis of satisfactory evidence) to be the person/s whose name is/are subscribed to the within instrument  
and acknowledged to me that he/she/they executed the same in his/her/their authorized capacities, and  
that by his/her/their signature/s on the instrument the person/s or the entity upon behalf of which the  
person/s acted, executed the instrument.

WITNESS my hand and official seal.

\_\_\_\_\_  
NOTARY PUBLIC