

MRO

11-01-2002

Docket No.: 20341-100

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



102268291

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

SAFETY 1ST, INC.

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☐ Assignment

☒ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: **JULY 2, 2001**

2. Name and address of receiving party(ies):

Name: **DOREL JUVENILE GROUP, INC.**

Internal Address:

Street Address: **45 DAN ROAD**

CANTON COMMERCE CENTER

City: **CANTON** State: **MA** ZIP: **02021**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

SEE SCHEDULE A

B. Patent No.(s)

SEE SCHEDULE B

Additional numbers attached? ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **RICHARD A. REZEK, ESQ.**

Internal Address:

Street Address: **BARNES & THORNBURG**

11 SOUTH MERIDIAN STREET

City: **INDIANAPOLIS** State: **IN** ZIP: **46204**

6. Total number of applications and patents involved:

24

7. Total fee (37 CFR 3.41):.....\$ **960.00**

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

10-0435

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

RICHARD A. REZEK

Richard A. Rezek

23 OCTOBER 2002

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

14

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960.00 00

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 013429 FRAME: 0660

SCHEDULE A

<u>Family Matter</u>	<u>Title</u>	<u>Type</u>	<u>B&T</u>	<u>Filed</u>	<u>Appln. #</u>
CO-811	BATH STATION	ORD	71669	6/19/2000	09/596830
CO-790	SPILL PROOF TRAINING CUP	ORD	71586	3/12/2001	09/803844
CO-804	CONVERTIBLE ACTIVITY CENTER	PRI	71609	5/1/2001	09/846836
CO-797	BABY BOUNCER/BASSINET	ORD	71600	6/22/2001	09/888078

SCHEDULE B

Family Matter	Title	Type	B&T	Issued	Patent #
CO-801	SECURITY GATE OPERABLE WITH ONE HAND	PRI	71605	7/11/1989	4846246
CO-765	BATH SEAT	PRI	71552	4/30/1991	5010606
CO-802	SECURITY GATE OPERABLE WITH ONE HAND	DIV	71606	10/1/1991	5052461
CO-766	COLOR CHANGE NIPPLE	PRI	71553	6/21/1994	5322031
CO-767	DIAPER PAIL	PRI	71554	1/31/1995	5385259
CO-768	FOLD-UP BATHTUB	PRI	71555	6/20/1995	5425149
CO-771	WRIGHT BABY MONITOR	PRI	71559	4/30/1996	5512880
CO-770	COLLAPSIBLE BED SIDE RAIL	PRI	71558	11/26/1996	5577277
CO-772	SAFETY GATE	PRI	71560	7/20/1999	5924242
CO-800	SECURITY GATE	PRI	71604	9/17/2002	6449901
CO-763	TEETHING RING	DES	71550	2/12/1991	D314621
CO-764	SWIVEL BATH SEAT FOR INFANTS	DES	71551	10/8/1991	D320528
CO-769	HIGHCHAIR	DES	71557	12/5/1995	D364746
CO-793	RIM FOR TABLEWARE	DES	71592	3/28/2000	D421873
CO-775	HANDLE FOR CUTLERY	DES	71563	3/28/2000	D421875
CO-794	TABLEWARE BOWL	DES	71593	4/4/2000	D422180
CO-774	TABLEWARE PLATE	DES	71562	5/16/2000	D424881
CO-795	STATIONARY BABY WALKER	DES	71594	6/27/2000	D427116
CO-805	BOTTLE	DES	71610	5/29/2001	D443066
CO-768	FOLD-UP BATHTUB	REI	71556	12/25/2001	RE37481

FEDERAL IDENTIFICATION
NO. 35-1554636 (M)
NO. 35-1851471 (M)

FEDERAL IDENTIFICATION
NO. 04-2836423 (S)

The Commonwealth of Massachusetts

William Francis Galvin
Secretary of the Commonwealth

One Ashburton Place, Boston, Massachusetts 02108-1512

ARTICLES OF ~~CONSOLIDATION~~ MERGER (General Laws, Chapter 156B, Section 79)

~~Consolidation~~ merger of

Cosco, Inc. and Infantino, Inc. *Not Reg.*

(both Indiana Corporations) *Not Reg.*

with

Safety 1st, Inc.

(a Massachusetts Corporation)

the constituent corporations, into

Safety 1st, Inc.

~~Consolidation~~ one of the constituent corporations organized under the laws of Massachusetts.

The undersigned officers of each of the constituent corporations certify under the penalties of perjury as follows:

1. An agreement of ~~consolidation~~ merger has been duly adopted in compliance with the requirements of General Laws, Chapter 156B, Section 79, and will be kept as provided by Subsection (c) thereof. The ~~surviving~~ corporation will furnish a copy of said agreement to any of its stockholders, or to any person who was a stockholder of any constituent corporation, upon written request and without charge.

2. The effective date of the ~~consolidation~~ merger determined pursuant to the agreement of ~~consolidation~~ merger shall be the date approved and filed by the Secretary of the Commonwealth. If a later effective date is desired, specify such date which shall not be more than *thirty days* after the date of filing.

July 2, 2001

3. (For a merger)

The following amendments to the Articles of Organization of the surviving corporation have been effected pursuant to the agreement of merger:

- 1) The name of the surviving corporation will be:
Dorel Juvenile Group, Inc.
- 2) See Attachment A, Article II and Article VI.

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P
M
R.A.

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P.C.

(For a consolidation)

(b) State the total number of shares and the par value, if any, of each class of stock which the ~~resulting~~ corporation is authorized to issue:

WITHOUT PAR VALUE		WITH PAR VALUE		
TYPE	NUMBER OF SHARES	TYPE	NUMBER OF SHARES	PAR VALUE
Common:		Common:		
Preferred:		Preferred:		

“(c) If more than one class of stock is authorized, state a distinguishing designation for each class and provide a description of the preferences, voting powers, qualifications, and special or relative rights or privileges of each class and of each series then established.

“(d) The restrictions, if any, on the transfer of stock contained in the agreement of consolidation are:

“(e) Other lawful provisions, if any, for the conduct and regulation of the business and affairs of the corporation, for its voluntary dissolution, or for limiting, defining, or regulating the powers of the corporation, or of its directors or stockholders, or of any class of stockholders:

4. The information contained in Item 4 is ~~not~~ a permanent part of the Articles of Organization of the ~~resulting~~ surviving corporation.

(a) The street address of the ~~resulting~~ surviving corporation in Massachusetts is: (post office boxes are not acceptable)
45 Dan Road, Canton Commerce Center, Canton, MA 02021

PATENT

REEL: 013429 FRAME: 0664

(b) The name, residential address and post office address of each director and officer of the ~~XXXXXX~~ "surviving corporation" is:

	NAME	RESIDENTIAL ADDRESS	POST OFFICE ADDRESS
President:			
Treasurer:	See Attachment B.		
Clerk:			
Directors:			

(c) The fiscal year end (i.e. tax year) of the ~~XXXXXX~~ "surviving corporation" shall end on the last day of the month of December

(d) The name and business address of the resident agent, if any, of the ~~XXXXXX~~ "surviving corporation" is:

Corporation Service Company
84 State Street
Boston, MA 02109

The undersigned "President" ~~XXXXXXXXXX~~ and "Clerk" ~~XXXXXXXXXX~~ of Dorel Juvenile Group, Inc.
(F/k/a Safety 1st, Inc.)
a corporation organized under the laws of Massachusetts, further state under the penalties of perjury that the signature of
~~XXXXXXXXXX~~ merger has been duly executed on behalf of such corporation and duly approved in the manner required by
General Laws, Chapter 182B, Section 7A.

[Signature], "President" ~~XXXXXXXXXX~~

[Signature], "Clerk" ~~XXXXXXXXXX~~

(b) The name, residential address and post office address of each director and officer of the ~~Massachusetts~~ surviving corporation is:

	NAME	RESIDENTIAL ADDRESS	POST OFFICE ADDRESS
President:			
Treasurer:	See Attachment B.		
Clerk:			
Directors:			

(c) The fiscal year end (i.e. tax year) of the ~~Massachusetts~~ surviving corporation shall end on the last day of the month of December

(d) The name and business address of the resident agent, if any, of the ~~Massachusetts~~ surviving corporation is:

Corporation Service Company, 84 State Street, Boston, MA 02109

FORMASSACHUSETTS CORPORATIONS

Dorel Juvenile Group, Inc.

The undersigned "President ~~XXXXXXXXXX~~ and "Clerk ~~XXXXXXXXXX~~ of (E/k/a Safety 1st, Inc.), a corporation organized under the laws of Massachusetts, further state under the penalties of perjury that the agreement of "consolidation / "merger has been duly executed on behalf of such corporation and duly approved in the manner required by General Laws, Chapter 156B, Section 78.

see attached for signature, "President ~~XXXXXXXXXX~~

Nick Costides

Jonathan Reynolds, "Clerk ~~XXXXXXXXXX~~

Jonathan Reynolds

FOR CORPORATIONS ORGANIZED IN A STATE OTHER THAN MASSACHUSETTS

The undersigned, † President and †† Secretary

of Cosco, Inc., a corporation organized under the laws of

Indiana, further state under the penalties of perjury that the agreement of ~~consolidation~~

"merger has been duly adopted by such corporation in the manner required by the laws of Indiana

*Delete the inapplicable words.

†Specify the officer having powers and duties corresponding to those of the president or vice president of a Massachusetts corporation organized under General Laws, Chapter 156B

††Specify the officer having powers and duties corresponding to the clerk or assistant clerk of such a Massachusetts corporation

see attached for signature

Nick Costides, President

Jonathan Reynolds, Secretary

PATENT

REEL: 013429 FRAME: 0666

(b) The name, residential address and post office address of each director and officer of the *resulting / *surviving corporation is:

	NAME	RESIDENTIAL ADDRESS	POST OFFICE ADDRESS
President:			
Treasurer:			
Clerk:			
Directors:			

(c) The fiscal year end (i.e. tax year) of the *resulting / *surviving corporation shall end on the last day of the month of:

(d) The name and business address of the resident agent, if any, of the *resulting / *surviving corporation is:

Item 5 below may be deleted if the resulting/surviving corporation is organized under the laws of Massachusetts.

5. The *resulting / *surviving corporation hereby agrees that it may be sued in the Commonwealth of Massachusetts for any prior obligation of any constituent Massachusetts corporation, any prior obligation of any constituent foreign corporation qualified under General Laws, Chapter 181, and any obligations hereafter incurred by the *resulting / *surviving corporation, including the obligation created by General Laws, Chapter 156B, Section 85, so long as any liability remains outstanding against the corporation in the Commonwealth of Massachusetts, and it hereby irrevocably appoints the Secretary of the Commonwealth as its agent to accept service of process in any action for the enforcement of any such obligation, including taxes, in the same manner as provided in Chapter 181.

FORMASSACHUSETTS CORPORATIONS

The undersigned *President, *Vice President and *Clerk / *Assistant Clerk of _____ a corporation organized under the laws of Massachusetts, further state under the penalties of perjury that the agreement of *consolidation / *merger has been duly executed on behalf of such corporation and duly approved in the manner required by General Laws, Chapter 156B, Section 78.

_____, *President / *Vice President

_____, *Clerk / *Assistant Clerk

FOR CORPORATIONS ORGANIZED IN A STATE OTHER THAN MASSACHUSETTS

The undersigned, † President and †† Secretary of Infantino, Inc., a corporation organized under the laws of

Indiana, further state under the penalties of perjury that the agreement of ~~consolidation~~ *merger has been duly adopted by such corporation in the manner required by the laws of Indiana

*Delete the inapplicable words

†Specify the officer having powers and duties corresponding to those of the president or vice president of a Massachusetts corporation organized under General Laws, Chapter 156B

††Specify the officer having powers and duties corresponding to the clerk or assistant clerk of such a Massachusetts corporation

Martin Schwartz, President

Jonathan Reynolds, Secretary

(b) The name, residential address and post office address of each director and officer of the *resulting / *surviving corporation is:

	NAME	RESIDENTIAL ADDRESS	POST OFFICE ADDRESS
President:			
Treasurer:			
Clerk:			
Directors:			

(c) The fiscal year end (i.e. tax year) of the *resulting / *surviving corporation shall end on the last day of the month of:

(d) The name and business address of the resident agent, if any, of the *resulting / *surviving corporation is:

Item 5 below may be deleted if the resulting/surviving corporation is organized under the laws of Massachusetts.

5. The *resulting / *surviving corporation hereby agrees that it may be sued in the Commonwealth of Massachusetts for any prior obligation of any constituent Massachusetts corporation, any prior obligation of any constituent foreign corporation qualified under General Laws, Chapter 181, and any obligations hereafter incurred by the *resulting / *surviving corporation, including the obligation created by General Laws, Chapter 156B, Section 85, so long as any liability remains outstanding against the corporation in the Commonwealth of Massachusetts, and it hereby irrevocably appoints the Secretary of the Commonwealth as its agent to accept service of process in any action for the enforcement of any such obligation, including taxes, in the same manner as provided in Chapter 181.

FORMASSACHUSETTS CORPORATIONS

The undersigned *President / *Vice President and *Clerk / *Assistant Clerk of _____, a corporation organized under the laws of Massachusetts, further state under the penalties of perjury that the agreement of *consolidation / *merger has been duly executed on behalf of such corporation and duly approved in the manner required by General Laws, Chapter 156B, Section 78.

_____, *President / *Vice President

_____, *Clerk / *Assistant Clerk

FOR CORPORATIONS ORGANIZED IN A STATE OTHER THAN MASSACHUSETTS

The undersigned, † President _____ and †† Secretary _____,

of Infantino, Inc. _____, a corporation organized under the laws of

Indiana _____, further state under the penalties of perjury that the agreement of ~~consolidation~~

*merger has been duly adopted by such corporation in the manner required by the laws of Indiana _____.

*Delete the inapplicable words.

†Specify the officer having powers and duties corresponding to those of the president or vice president of a Massachusetts corporation organized under General Laws, Chapter 156B.

††Specify the officer having powers and duties corresponding to the clerk or assistant clerk of such a Massachusetts corporation.

† _____
Martin Schwartz, President

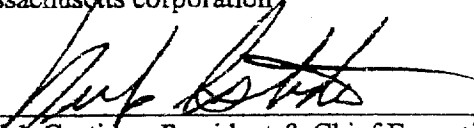
†† _____
Jonathan Reynolds, Secretary


PATENT

REEL: 013429 FRAME: 0668

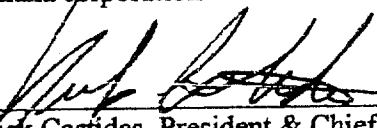
IN WITNESS WHEREOF, this Agreement, having first been duly approved by resolutions of the respective Board of Directors of each corporation and approved by their respective shareholders is hereby executed on behalf of each of Cosco, Infantino and Safety by their respective officers, all as of the date first above written.

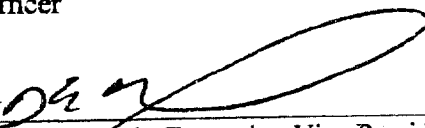
SAFETY 1st, INC.
a Massachusetts corporation

By: 
Nick Costides, President & Chief Executive Officer

By: 
Donald March, Executive Vice President & Treasurer

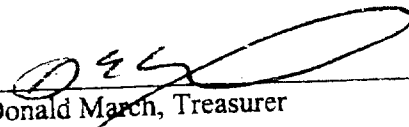
COSCO, INC.
an Indiana corporation

By: 
Nick Costides, President & Chief Executive Officer

By: 
Donald March, Executive Vice President & Treasurer

INFANTINO, INC.
an Indiana corporation

By: _____
Martin Schwartz, President

By: 
Donald March, Treasurer

Attachment A

DESCRIPTION OF AMENDMENTS TO ARTICLES OF ORGANIZATION

ARTICLE II is hereby amended by deleting all language contained therein, and inserting in place thereof, the following:

To engage in any lawful activity for which corporations may be organized under the Massachusetts Business Corporation Law, including, but not limited to, the manufacture of various juvenile products, ready-to-assemble furniture, and home furnishings.

ARTICLE VI is hereby amended by deleting all language contained therein, and inserting in place thereof, the following:

1. Rights to Indemnification and Advancement of Expenses.

(a) The Corporation shall indemnify as a matter of right every person made a party to a proceeding because such person is or was

- (i) a member of the Board of Directors of the Corporation,
- (ii) an officer of the Corporation, or
- (iii) while a director or officer of the Corporation, serving at the Corporation's request as a director, officer, partner, member, manager, trustee, employee, or agent of another foreign or domestic corporation, partnership, limited liability company, joint venture, trust, employee benefit plan, or other enterprise, whether for profit or not,

(each an "Indemnitee") against all liability incurred by such person in connection with the proceeding; provided that it is determined in the specific case that indemnification of such person is permissible in the circumstances because such person has met the standard of conduct for indemnification specified in the Massachusetts Business Corporation Law ("BCL"). The Corporation shall pay for or reimburse the reasonable expenses incurred by an Indemnitee in connection with any such proceeding in advance of final disposition thereof in accordance with the procedures and subject to the conditions specified in the BCL. The Corporation shall indemnify as a matter of right an Indemnitee who is wholly successful, on the merits or otherwise, in the defense of any such proceeding, against reasonable expenses incurred by the Indemnitee in connection with the proceeding without the requirement of a determination as set forth in the first sentence of this paragraph.

(b) Upon demand by a person for indemnification or advancement of expenses, as the case may be, the Corporation shall expeditiously determine whether the person is entitled thereto in accordance with this Article VI and the procedures specified in the BCL.

(c) The indemnification provided under this Article VI shall apply to any proceeding arising from acts or omissions occurring before or after the adoption of this Article VI.

2. Other Rights Not Affected. Nothing contained in this Article VI shall limit or preclude the exercise or be deemed exclusive of any right under the law, by contract or otherwise, relating to indemnification of or advancement of expenses to any individual who is or was a director, officer, employee or agent of the Corporation, or the ability of the Corporation to otherwise indemnify or advance expenses to any such individual. It is the intent of this Article VI to provide indemnification to directors and officers to the fullest extent now or hereafter permitted by law consistent with the terms and conditions of this Article VI. Therefore, indemnification shall be provided in accordance with this Article VI irrespective of the nature of the legal or equitable theory upon which a claim is made, including without limitation negligence, breach of duty, mismanagement, corporate waste, breach of contract, breach of warranty, strict liability, violation of federal or state securities laws, violation of the Employee Retirement Income Security Act of 1974, as amended, or violation of any other state or federal laws, subject to the conditions specified in the BCL.

8301201

Attachment B

The name, residential address and post office address of each officer and director of the surviving corporation are:

Title	Name	Residential Address	Post Office Address
President	Nick Costides	4509 Heatherwood Blvd. Greenwood, Indiana 46143	2525 State Street Columbus, Indiana 47201
Treasurer	Donald March	4574 Silver Hill Drive Greenwood, Indiana 46142	2525 State Street Columbus, Indiana 47201
Clerk (Secretary)	Jonathan Reynolds	4923 E. Windsor Lane Columbus, Indiana 47201	2525 State Street Columbus, Indiana 47201
Director	Martin Schwartz	9 Roxborough Avenue Westmount Quebec H3Y 1M1	1255 Greene Avenue, Suite 300 Westmount, Quebec H3Z 2A4
Director	Jeffrey Schwartz	424 Russell Hill Road Toronto, Ontario M5P 2S3	1365 Midway Blvd., Unit 27, Ste. 100 Mississauga, Ontario L5T 2J5

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PATENT

REEL: 013429 FRAME: 0671

753871

04146.6

THE COMMONWEALTH OF MASSACHUSETTS
ARTICLES OF MERGER
(General Laws, Chapter 156B, Section 79)

I hereby approve the within Articles of Merger and
the filing fee in the amount of \$ 250 , having been paid.
said articles were deemed to have been filed with me this 2nd
day of July , 2001 .

Effective date _____

William Francis Galvin

WILLIAM FRANCIS GALVIN

Secretary of the Commonwealth

A TRUE COPY ATTEST

William Francis Galvin

WILLIAM FRANCIS GALVIN
SECRETARY OF THE COMMONWEALTH

DATE 5/1/01 CLERK me

SECRETARY OF THE
COMMONWEALTH
01 JUL - 2 PM 1:47
CORPORATION DIVISION

TO BE FILLED IN BY CORPORATION
Photocopy of document to be sent to:

Stephen J. Hackman, Esq.
Ice Miller, One American Square
Box 82001, Indianapolis, IN 46282
Telephone (317) 236-2289