1-05-2002 U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office (Rev. 03/01) 102270700 OMB No. 0651-0027 (exp. 5/31/2002) To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. 1. Name of conveying party(ies): 2. Name and address of receiving party(ies) Name: National Science Foundation The Research Foundation of SUNY Internal Address: Office of the General Counsel **Suite 1265** Additional name(s) of conveying party(ies) attached? Yes V No 3. Nature of conveyance: Assignment Merger Street Address: 4201 Wilson Boulevard Security Agreement Change of Name Other_ Confirmatory License City: Arlington State: VA Zip: 22230 03/26/02 Execution Date: Additional name(s) & address(es) attached? Yes 🗸 No 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is:_____ A. Patent Application No.(s) _08/439,808 B. Patent No.(s) Additional numbers attached? Yes Vo 6. Total number of applications and patents involved: 5. Name and address of party to whom correspondence concerning document should be mailed: 7. Total fee (37 CFR 3.41).....\$_0.00 Robin Clay Fritsch Name: Enclosed Office of the General Counsel Internal Address: Authorized to be charged to deposit account **Suite 1265** 8. Deposit account number: Street Address:___4201 Wilson Boulevard ____State:_VA_Zip:_22230 City: Arlington DO NOT USE THIS SPACE 9. Signature.

Total number of pages including cover sheet, attachments, and documents:

Mail documents to be recorded with required cover sheet information to:

Robin Clay Fritsch

Name of Person Signing

LICENSE TO THE UNITED STATES GOVERNMENT

WHEREAS, Cynthia J. Burrows and Hsing-Pang Hsieh have invented "New Steroidal olyamines for Nucleic Acid Binding and Cellular Uptake" (Research Foundation No. R-7044) and filed a patent application thereon in the United States, entitled "Steroidal Polyamines" and bearing Serial Number 08/439,808 and filing date May 12, 1995; and

WHEREAS, invention was made in the course of research supported by the National Science Foundation under NSF Grant CHE 9006684; and

WHEREAS, the United States Government is entitled to certain rights in and to said invention and application by reason of the terms relating to such support; and

WHEREAS, The Research Foundation of State University of New York, hereinafter called the "Licensor" has acquired by assignment from the inventors the entire right, title and interest of the inventors to such invention;

NOW, THEREFORE:

- 1. The Licensor, in consideration of the premised and other good and valuable consideration, hereby grants and conveys to the United States Government a non-exclusive, non-transferable, paid-up license to make, use and sell the invention throughout the world by or on behalf of the Government of the United States and states and domestic municipal governments under the aforesaid patent application and any and all divisions or continuations, and in any and all patents or reissues which may be granted thereon during the full term or terms thereof.
- 2. The Licensor covenants and warrants that he has the right to grant the foregoing license, and that any assignment or license which he may make of the invention of the said patent application or patents thereon, shall expressly be made subject to this license.
- 3. The Licensor agrees that the Government shall not be stopped at any time to contest the enforceability, validity, scope of or title to any patent or patent application herein licensed.
- 4. This License shall not limit the rights reserved to the government under the contract(s), grant(s), or other arrangement(s) under which said invention was made.

Signed this <u>September 20, 1995</u>

THE RESEARCH FOUNDATION OF STATE UNIVERSITY OF NEW YORK

John C. Petersen

Accepted for the benefit of the Government this

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Parent Assistant
NATIONAL SCIENCE FOUNDATION

PATENT RECORDED: 11/01/2002 REEL: 013439 FRAME: 0452