

FORM PTO-1595
(Rev. 6-93)

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

PATENTS ONLY

OMB No. 0651-0011 (exp. 4/94)

LD0221 (NP)

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

David R. Kronenthal, Rao S. Bhandaru,
Zhongping Shi, Boguslaw M. MudrykAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other _____

Execution Date: 2/4/03, 2/21/03, 2/13/03 and 2/11/03.

2. Name and address of receiving party(ies)

Name: Bristol-Myers Squibb Company

Internal Address: _____

Street Address: Lawrenceville-Princeton RoadCity: Princeton State: NJ ZIP: 08543-4000Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/304,615

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Stephen B. DavisInternal Address: Bristol-Myers Squibb CompanyPatent DepartmentStreet Address: P.O. Box 4000City: Princeton State: NJ ZIP: 08543-40006. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) \$ 40☐ Enclosed☒ Authorized to be charged to deposit account and any other additional fees required.

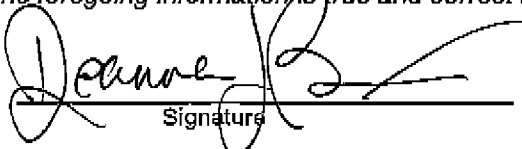
8. Deposit account number:

19-3880 (in the name of Bristol-Myers Squibb Company)

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Deanna L. BaxamName of Person Signing
Reg. No. 45,266

Signature

February 21, 2003

Date

☐ Certificate of mailing on reverse sideTotal number of pages including cover sheet, attachments, and document: 6Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments, Washington, D.C. 20231

ASSIGNMENT

We,

David R. Kronenthal	residing at	407 Lenape Lane Yardley, Pennsylvania 19067 United States of America
Rao S. Bhandaru	residing at	4 Westminster Court Belle Mead, New Jersey 08502 United States of America
Zhongping Shi	residing at	20 N. Wilson Way West Windsor, New Jersey 08550 United States of America
Boguslaw M. Mudryk	residing at	25 Pemberton Lane East Windsor, New Jersey 08520 United States of America,

pursuant to contractual obligations heretofore assumed by us and/or for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Bristol-Myers Squibb Company**, a Delaware corporation, having a place of business at Lawrenceville-Princeton Road, Princeton, NJ 08543-4000, its successors, assigns and legal representatives, all our right, title and interest, which includes the right to and full benefit of such priorities as may now or hereafter be granted to us by local laws or by treaty, including any international convention for the protection of industrial property, in and for all countries of the world, including the United States and its territories and possessions, in and to the invention entitled:

PROCESS FOR THE PREPARATION OF 3,7-DISUBSTITUTED-2,3,4,5-TETRAHYDRO-1H-1,4-BENZODIAZEPINE COMPOUNDS

invented by us and described in the non-provisional United States patent application

Application No. 10/292,093, filed November 12, 2002,

including said non-provisional United States patent application and any application claiming priority from said non-provisional application, filed in any country, and any patents which may be issued and/or granted thereon, and all divisions, continuations, reissues, reexamination certificates and extensions thereof in all countries, the said interest being the entire ownership of said invention and all of said applications, patents (including reissue patents), extensions and reexamination certificates to be held and enjoyed by the said Bristol-Myers Squibb Company and its successors

Worldwide
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and assigns to the full end of the terms to which said patents (including reissue patents), extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made;

And we hereby agree to communicate to said assignee or its representatives any facts known to us respecting said invention, to testify in any legal proceedings, to sign and/or execute any further documents and/or instruments which may be necessary, lawful and proper in and/or for the filing and/or prosecution of all applications, including divisional, continuation and reissue applications, extensions and reexamination certificates and/or the granting and/or issuance thereof and/or to otherwise secure title to said invention and all of said applications, patents (including reissue patents, extensions and reexamination certificates in said assignee, and in general to do everything possible to aid said assignee, its successors and assigns to obtain and enforce proper protection for said invention in all countries.

Signed this 4 day of February, 2003 by David R. Kronenthal
David R. Kronenthal

Signed this 21 day of February, 2003 by Rao S. Bhandaru
Rao S. Bhandaru

Signed this 13th day of February, 2003 by Zhongping Shi
Zhongping Shi

Signed this 11 day of February, 2003 by Boguslaw M. Mudryk
Boguslaw M. Mudryk

Worldwide
Case LD0221 (NP)STATE OF NEW JERSEY)
) ss.
COUNTY OF MIDDLESEX)

On this day 4th of February, 2003, before me came David R. Kronenthal, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.

[SEAL]


Notary Public

LAURA H. DERBIN
NOTARY PUBLIC, STATE OF NEW JERSEY
ID # 2278973
Commission Expires Sept. 7, 2006

STATE OF NEW JERSEY)
) ss.
COUNTY OF MERCER)

On this day 21st of February, 2003, before me came Rao S. Bhandaru, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.

[SEAL]


Notary Public

LISA B. SWIDRA
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires April 22, 2004

STATE OF NEW JERSEY)
) ss.
COUNTY OF MERCER)

On this day 13 of February, 2003, before me came Zhongping Shi, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.

[SEAL]

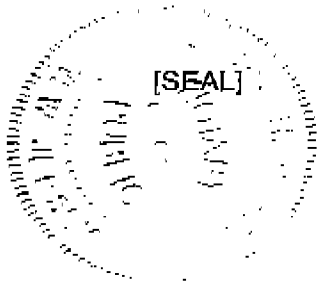

Notary Public

LISA B. SWIDRA
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires April 22, 2004

Worldwide
Case LD0221 (NP)

STATE OF NEW JERSEY)
COUNTY OF MIDDLESEX) ss.

On this day 11th of February, 2003, before me came Boguslaw M. Mudryk, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.



Laura H. Norbin
Notary Public

LAURA H. DERBIN
NOTARY PUBLIC, STATE OF NEW JERSEY
ID # 2278973
Commission Expires Sept. 7, 2006