

APPENDIX B

DOCKET NO. 210-263



Form PTO 1595

102270149

U.S. Department of Commerce

PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents on hand thereof.

1. Name of conveying party(ies)

Richard Kolk 
David M. Martini

Additional name(s) of conveying parties attached ☒ No

2. Name and address of receiving party(ies):

Name: CARRIER CORPORATION
Address: P.O. Box 4800, Carrier Parkway, Syracuse,
New York

Additional name(s) attached? ☒ N

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Dates October 21 & 22, 2002

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of this application is October 21, 2002.

A. Patent Application No.(s)

B. Patent No.(s)

Additional Numbers attached? ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Christopher R. Pastel

Address: WALL MARJAMA & BILINSKI, LLP
101 South Salina Street, Suite 400
Syracuse, NY 13202
Telephone: (607) 256-7330
Facsimile: (607) 277-8042

6. Total number of application and patents involved: 1

7. Total fee (37 CFR 3.4) \$40.00

- ✓ Enclosed
- ✓ Authorized to charge any deficiency or credit any over payment to Deposit Account No. 50-0289.

8. Charge Deposit account

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9. Statement and Signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Christopher R. Pastel
Reg. No.

October 29, 2002

Date _____

Total Number of Pages Comprising Cover Sheet: 1

OMB NO 0651-0011 (EXP. 4/94)

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PATENT
REEL: 013439 FRAME: 0693

10/28/02

10/31/2002 DEMMANU1 00000035 10282529
02 FC:8021 40

ASSIGNMENT

- (1-8) Insert Name(s) of Inventor(s)
- (1) Richard Kolk
- (2) David M. Martini

In consideration of the sum of dollar (\$1.00) and other good and valuable consideration paid to each of the undersigned, the undersigned agree(s) to assign, and hereby does assign, transfer and set over to

- (9) Insert name of Assignee (9) CARRIER CORPORATION
- (10) Insert address of Assignee (10) P.O. Box 4800, Carrier Parkway, Syracuse, New York

(hereinafter designated as the Assignee) the entire right, title and interest for the United States, its territories, dependencies and possessions, in the invention, and all applications for patent and any Letters Patent which may be granted therefor, known as

- (11) Insert Identification of Invention, such as Title, Case Number or Foreign Application Number (11) METHOD AND APPARATUS FOR CAPACITY VALVE CALIBRATION FOR SNAPP ABSORPTION CHILLER
Attorney Docket No. 210-263 (Client Ref. No. 10318DB)

for which the undersigned have executed an application for patent in the United States of America

- (12) Insert Date of Signing of Application (12) on 10/21/02 & 10/22/02
- (13) Alternative identification (13) U.S. Application(s) Serial Number(s) _____ for filed application(s) filed _____

1) The undersigned agree(s) to execute all papers necessary in connection with the application and any continuing or divisional applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

4) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

5) The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

6) The undersigned hereby grant(s) Christopher R. Pastel, of WALL MARJAMA & BILINSKI LLP the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date 10/21/02 Signature Richard C. Kolk (SEAL)
Richard Kolk

Date _____ Signature _____ (SEAL)
David M. Martini

STATE OF Connecticut
COUNTY OF Hartford SS: Farmington

On this 21st day of October, 2002, before me, the subscriber(s) personally came Richard Kolk to me known and known to me to be the person(s) described in and who executed the within instrument and he(they) acknowledged to me that he(they) executed the same.

Evelyn A. Coskey
Notary Public

STATE OF _____ }
COUNTY OF _____ } SS:

EVELYN A. COSKEY
NOTARY PUBLIC
MY COMMISSION EXPIRES AUGUST 31, 2007

On this _____ day of _____, 2001, before me, the subscriber(s) personally came David M. Martini to me known and known to me to be the person(s) described in and who executed the within instrument and he(they) acknowledged to me that he(they) executed the same.

Notary Public

6) The undersigned hereby grant(s) Christopher R. Pastel, of WALL MARJAMA & BILINSKI LLP the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date _____ Signature _____ (SEAL)

Richard Kolk

Date 10/22/2002 Signature _____ (SEAL)

David M. Martini

STATE OF New York }
COUNTY OF Onondaga } SS:

On this 22nd day of OCT, 2002, before me, the subscriber(s) personally came Richard Kolk to me known and known to me to be the person(s) described in and who executed the within instrument and he(they) acknowledged to me that he(they) executed the same.

CHRISTINE L. FRANCIS
Notary Public, State of New York
Qual. in Onondaga Co. No. 01FR6066564
Commission Expires Nov. 19, 2005

Christine L. Francis
Notary Public

STATE OF _____ }
COUNTY OF _____ } SS:

On this _____ day of _____, 2001, before me, the subscriber(s) personally came David M. Martini to me known and known to me to be the person(s) described in and who executed the within instrument and he(they) acknowledged to me that he(they) executed the same.

Notary Public