

# PATENT ASSIGNMENT

**SUBMISSION TYPE:** NEW ASSIGNMENT

**NATURE OF CONVEYANCE:** ASSIGNMENT OF ASSIGNOR'S INTEREST

## CONVEYING PARTY DATA

**Name:** Nose, Yukihiro

**Execution Date:** 09/24/2002

**Name:** Fukunaga, Ken

**Execution Date:** 09/24/2002

**Name:** Glueck, Julia

**Execution Date:** 09/24/2002

## RECEIVING PARTY DATA

**Name:** Taylor, Thomas V.

**Street Address:** 1806 Vassar

**Internal Address:**

**City:** Houston

**State:** TEXAS

**Country:**

**Postal Code:** 77098

## PROPERTY NUMBERS

**Application Number:** 09681364

**Number of Properties:** 1

The USPTO, Office of Public Records, will send correspondence via facsimile to **FAX NUMBER:** 7136522556

## CORRESPONDENCE DATA:

*Correspondence will be sent via US Mail when a fax number has not been provided or the fax attempt is unsuccessful.*

When the customer number has been provided, the Office of Public Records will obtain the correspondence data from the official record on file at the USPTO.

**CUSTOMER NUMBER:** 023508

**NAME OF PERSON SIGNING:** Daniel N. Lundeen  
**800005396**

**PATENT**  
**REEL: 013441 FRAME: 0072**

**DATE SIGNED:**

02/25/2003

**Total Attachments: 3**

source="assnmt1.tif"

source="assnmt2.tif"

source="assnmt3.tif"

RE-ASSIGNMENT OF INVENTION

WHEREAS Thomas V. Taylor, a U.S. citizen having a post office and residence address at 1806 Vassar, Houston, Texas 77098, hereinafter called the ASSIGNEE, has invented a PERORALLY INSERTABLE GASTROESOPHAGEAL ANTI-REFLUX VALVE PROSTHESIS AND A TOOL FOR IMPLANTATION THEREOF, described and claimed in patent application U.S. Ser. No. 08/987,693, December 9, 1997, now US Letters Patent 6,254,642 issued July 3, 2001, hereinafter called the INVENTION;

WHEREAS Yukihiro Nosé, M.D., having an address at Baylor College of Medicine, Department of Surgery, 1 Baylor Plaza, Houston, Texas, 77030; Ken Fukunaga, M.D., having an address at 8787 Woodway Drive, Apt. 8104, Houston, Texas 77063; Julia Glueck, having an address at 919 Overland, Stafford, Texas 77477; and Juan Fernandez, having an address at Baylor College of Medicine, Department of Surgery, 1 Baylor Plaza, Houston, Texas, 77030; hereinafter collectively called the ASSIGNORS, have received a part interest in the INVENTION by previous assignment from ASSIGNEE, and the ASSIGNORS desire to return their interest in the INVENTION to ASSIGNEE; and

WHEREAS the ASSIGNEE is desirous of acquiring all of the part interest of the ASSIGNORS' ownership in and to the INVENTION at the following percentages:


<u>Assignor</u>	<u>Percent of ownership (%)</u>
Yukihiro Nosé, M.D.	20
Ken Fukunaga, M.D.	10
Julia Glueck	5
<u>Juan Fernandez</u>	<u>5</u>
Total	40

NOW THEREFORE, In consideration of the payment by ASSIGNEE to ASSIGNORS the sum of Ten Dollars (\$10), the receipt and sufficiency of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNORS hereby sell, assign and transfer to ASSIGNEE, and his successors, assigns and legal representatives, Forty percent (40%) of the entire right, title and interest, in the ownership percentages specified above, for the United States and its territorial possessions and in all foreign countries, in and to the INVENTION, together with any application for U.S. Letters Patent which may be filed thereon, and any legal equivalent thereof in a foreign country and, in and to, all Letters Patent to be obtained for said INVENTION on any application therefor or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any reissue or re-examination thereof.

ASSIGNORS hereby waive any claim for any and all portions of any net profits and royalties received for the INVENTION either before or after execution hereof.

IN WITNESS WHEREOF, we have signed this agreement on the respective date(s) indicated below.

Date September 9, 2002

  
Thomas V. Taylor

STATE OF TEXAS

§  
§  
§

SS:

COUNTY OF \_\_\_\_\_

SWORN TO AND SUBSCRIBED BEFORE ME on this \_\_\_\_\_ day of \_\_\_\_\_, 2001.

\_\_\_\_\_  
Notary Public, State of Texas

Sept 24 02

Date

[Signature]  
Yukihiko Nosé, M.D.

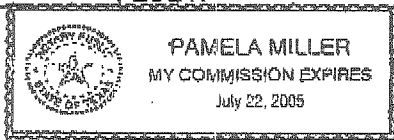
STATE OF TEXAS

§  
§  
§

SS:

COUNTY OF Harris

SWORN TO AND SUBSCRIBED BEFORE ME on this 24<sup>th</sup> day of September, 2002



Pamela Miller  
Notary Public, State of Texas

9/24/02

Date:

Ken Fukunaga  
Ken Fukunaga, M.D.

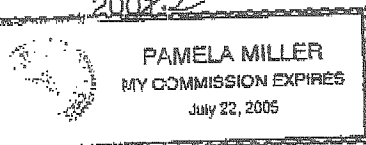
STATE OF TEXAS

§  
§  
§

SS:

COUNTY OF Harris

SWORN TO AND SUBSCRIBED BEFORE ME on this 24<sup>th</sup> day of September, 2002



Pamela Miller  
Notary Public, State of Texas

Date

9/24/02

Julia Glueck  
Julia Glueck

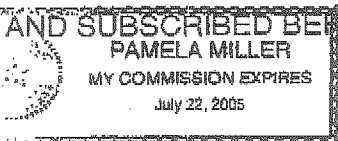
STATE OF TEXAS

§  
§  
§

SS:

COUNTY OF Alamogordo

SWORN TO AND SUBSCRIBED BEFORE ME on this 24<sup>th</sup> day of September, 2001.



Pamela Miller  
Notary Public, State of Texas

Date

Juan Fernandez

STATE OF TEXAS

§  
§  
§

SS:

COUNTY OF \_\_\_\_\_

SWORN TO AND SUBSCRIBED BEFORE ME on this \_\_\_\_ day of \_\_\_\_\_, 2001.

\_\_\_\_\_  
Notary Public, State of Texas