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U.S. Department of Commerce
Patents & Trademark OfficeAttorney Docket No. 101136-00079
Date: October 31, 2002

10/31/02

1046 U.S. PTO
10/28/02To the Assistant Commissioner of Patents
Please record the attached original documents or copy thereof

1. Name of conveying party(ies)

1) Noriaki TANI
2) Toshihiro SUZUKI
3) Satoshi IKEDA
4) Hiroaki KAWAMURA

Additional name(s) of conveying party(ies) attached?

☒ Yes ☐ No

2. Name and address of receiving party(ies):

Name: ULVAC, Inc.

Address: 2500, Hagizono, Chigasaki-shi,
Kanagawa 253-0071, Japan

Additional name(s) & address(es) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 1), 2), 3), 4) on September 30, 2002

4. Application number(s) or patent number(s): _____

If this document is being filed together with a new application, the execution date of the application is: 1), 2), 3), 4), 5) on September 30, 2002, 6) and 7) October 7, 2002

A. Patent Application No.(s)

10/284287

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Arent Fox Kintner Plotkin & Kahn PLLC

Street Address: 1050 Connecticut Avenue, N.W., Suite 400

Washington, D.C. 20036-5339

6. Total number of applications and patents involved:
One

7. Total fee (37 CFR 3.41)..... \$ 40.00

☒ Included in attached check.☒ Any additional fees are authorized to be charged to deposit account

8. Deposit account number: 01-2300

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

George E. Oram, Jr.

Name of Person Signing
Reg. No. 27,931
Signature

October 31, 2002

Date

Total number of pages including cover sheet, attachments, and document: 3

PATENT
REEL: 013441 FRAME: 0173

Attorney Docket No. 101136-00079
Date: October 31, 2002

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10/31/02

To the Assistant Commissioner of Patents
Please record the attached original documents or copy thereof

1. Name of conveying party(ies)

5) Satoru ISHIBASHI 7) Takafumi MATSUMOTO
6) Kouichi HANZAWA

Additional name(s) of conveying party(ies) attached?

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October 31, 2002

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Total number of pages including cover sheet, attachments, and document: 3

U.S. ASSIGNMENT

IN CONSIDERATION of the sum of One Dollar (\$1.00), and of other good and valuable consideration paid to the undersigned inventors (hereinafter ASSIGNOR) by:

ULVAC, Inc.

of 2500, Hagazono, Chigasaki-shi, Kanagawa 253-0071 Japan

(hereinafter ASSIGNEE), the receipt of which is hereby acknowledged, the undersigned ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title and interest to the invention entitled:

THIN FILM FORMING APPARATUS AND METHOD

for which application for Letters Patent of the United States was filed on _____, and assigned Serial No. _____ (Arent Fox Kintner Plotkin & Kahn, PLLC is hereby authorized to insert the series code, serial number and/or filing date hereon, when known) and all Letters Patent of the United States to be obtained therefor on said application or any continuation, divisional, renewal, substitute, reissue or reexamination thereof for the full term or terms for which the same may be granted.

The ASSIGNOR agrees to execute all papers necessary in connection with application and any continuing, divisional, reissue or reexamination applications thereof and also to execute separate assignments in connection with such applications as the ASSIGNEE may deem necessary or expedient.

The ASSIGNOR agrees to execute all papers necessary in connection with any interference, litigation, or other legal proceeding which may be declared concerning this application or any continuation, division, reissue or reexamination thereof or Letters Patent or reissue patent issued thereon and to cooperate with the ASSIGNEE in every way possible in obtaining and producing evidence and proceeding with such interference, litigation, or other legal proceeding.

IN WITNESS WHEREOF, the undersigned inventors have affixed their signatures.

<u>Noriaki TANI</u>	<u>Noriaki Tan</u> (SIGNATURE)	<u>September 30, 2002</u> (DATE)
<u>Toshihiro SUZUKI</u>	<u>Toshihiro Suzuki</u> (SIGNATURE)	<u>September 30, 2002</u> (DATE)
<u>Satoshi IKEDA</u>	<u>Satoshi Ikeda</u> (SIGNATURE)	<u>Sep. 30, 2002</u> (DATE)
<u>Hiroaki KAWAMURA</u>	<u>Hiroaki Kawamura</u> (SIGNATURE)	<u>September 30, 2002</u> (DATE)
<u>Satoru ISHIBASHI</u>	<u>Satoru Ishibashi</u> (SIGNATURE)	<u>Sep. 30, 2002</u> (DATE)
<u>Kouichi HANZAWA</u>	<u>Kouichi Hanzawa</u> (SIGNATURE)	<u>Oct. 7, 2002</u> (DATE)
<u>Takafumi MATSUMOTO</u>	<u>Takafumi Matsumoto</u> (SIGNATURE)	<u>Oct. 7, 2002</u> (DATE)

NO LEGALIZATION REQUIRED