

11-05-2002



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U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

ET

Attorney's Docket No. 032901-075

To the Honorable Commissioner of Patents and Trademarks.	Please record the attached original documents or copy thereof.		
1. Name of conveying party(ies):	2. Name and address of receiving party(ies):		
Bradley G. Thompson, Samuel Weiss and Tetsuro	Name: Stem Cell Therapeutics Inc.		
Shingo 10,29-02	Address: Suite 240		
Additional name(s) of conveying party(ies) attached? [ ] Yes [X] No	1167 Kensington Crescent, NW		
3. Nature of conveyance:	Calgary, Alberta		
[X] Assignment [ ] Merger [ ] Security Agreement [ ] Change of Name	Canada T2N 1X7		
Other:	Additional name(s) & address(es) attached? [ ] Yes [X] No		
Execution Date: October 15, 2002			
4. Application number(s) or patent number(s):			
If this document is being filed together with a new application, the	e execution date of the application is:		
A. Patent Application No.(s)	B. Patent No.(s)		
10/231,493			
Additional numbers attact	ned? [ ] Yes [X] No		
5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: _1		
Name: Mary Ann Dillahunty	7. Total fee (37 CFR § 3.41): \$_40.00		
Address: Burns, Doane, Swecker & Mathis, L.L.P.	[X] Enclosed		
P.O. Box 1404	[X] Authorized to be charged to deposit account, if necessary		
Alexandria, Virginia 22313-1404	8. Deposit account number:		
	_02-4800		
DO NOT USE THIS SPACE			
9. Statement and signature.  To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.			
Ping F. Hwung, Reg. No. 44,164 Name of Person Signing	Signature Date		
	Total number of pages including cover sheet, attachments, and document: 5		

11/05/2002 LMUELLER 00000055 10231493 Mail documents to be recorded with required cover sheet information to:

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Commissioner of Patents and Trademarks Box Assignments
Washington, D.C. 20231

(10/02)

**PATENT REEL: 013442 FRAME: 0910** 

## **ASSIGNMENT**

(JOINT)

THIS ASSIGNMENT, by <u>Bradley G. Thompson</u>, <u>Samuel Weiss</u>, and <u>Tetsuro Shingo</u>, residing at <u>1775 7<sup>th</sup> Avenue</u>, <u>N.W.</u>, <u>Calgary</u>, <u>Alberta</u>, <u>T2N 0Z5 Canada</u>, <u>4540 Chapel Road</u>, <u>N.W.</u>, <u>Calgary</u>, <u>Alberta</u>, <u>T2L 1A6 Canada</u> and <u>3-9-33 #103</u>, <u>A0E</u>, <u>Okayama</u>, <u>700-0941</u>, <u>Japan</u> (hereinafter referred to as "the Assignors"), respectively, witnesseth:

WHEREAS, the Assignors have invented certain new and useful improvements in <u>COMBINED REGULATION OF NEURAL CELL PRODUCTION</u> set forth in an application for Letters Patent of the United States.

(1)	□ whic	☐ which is a provisional application	
	(a)	□ bearing Application No, and filed on _;	
	(b)	☐ to be filed herewith; or	

- (2) Myhich is a non-provisional application
  - (a) ⊠ bearing Application No. <u>10/231,493</u>, and filed on <u>August 30, 2002</u>;
  - (b) ☐ having an oath or declaration executed on even date herewith prior to filing of application;
  - (c)  $\square$  having an oath or declaration executed on a different date than this Assignment; and

WHEREAS, STEM CELL THERAPEUTICS INC., a corporation duly organized under and pursuant to the laws of CANADA and having its principal place of business at SUTIE 240, 1167 KENSINGTON CRESCENT, N.W., CALGARY, ALBERTA, CANADA T2N 1X7 (hereinafter referred to as "the Assignee"), is desirous of acquiring the entire right, title, and interest in and to said inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications, including provisional applications for Letters Patent of the United States or other countries claiming priority to said application, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, the Assignors have sold, assigned, transferred, and set over, and by these presents do sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns the entire right, title, and interest in and to the above-mentioned inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said applications, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefor and thereon, and in and to any and all applications claiming priority to said applications, divisions, continuations, and continuations-in-part of said applications, and reissues and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives, and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted as fully and entirely as the same would have been held and enjoyed by the Assignors had this sale and assignment not been made;

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, the Assignors are the sole and lawful owners of the entire right, title, and interest in and to the inventions set forth in said applications and said applications, including provisional applications, above-mentioned, and that the same are unencumbered, and that the

Page 1 of 2 (10/02)

PATENT REEL: 013442 FRAME: 0911 Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth;

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns that the Assignors will, whenever counsel of the Assignee, or the counsel of its successors, legal representatives, and assigns, shall advise that any proceeding in connection with said inventions or said applications for Letters Patent or Patents, or any proceeding in connection with Letters Patent or Patents for said inventions in any country, including interference proceedings, is lawful and desirable, or that any application claiming priority to said application, division, continuation, or continuation-in-part of any applications for Letters Patent or Patents, or any reissue or extension of any Letters Patent or Patents to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement, and defense of Letters Patent or Patents for said inventions, without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns;

AND the Assignors hereby authorize and request the attorneys of BURNS, DOANE, SWECKER & MATHIS, L.L.P. of Alexandria, Virginia to insert in the spaces provided above the filing date, application number, and attorney docket number of said application when known.

AND the Assignors hereby request the Commissioner of Patents to issue any and all said Letters Patent of the United States to the Assignee as the Assignee of said inventions, the Letters Patent to be issued for the sole use and behalf of the Assignee, its successors, legal representatives, and assigns.

, april 2000 and a congress		$\bigcap$	
Date_ 0= 15/02	Signature of Assignor	18 V	
		Bradley G, Thompson	
Date 04 15, 2002	Signature of Assignor	And Me	
	- 5	Samuel Weiss	
Date	Signature of Assignor	<del></del>	
		Tetsuro Shingo	

## **ASSIGNMENT**

(JOINT)

THIS ASSIGNMENT, by <u>Bradley G. Thompson</u>, <u>Samuel Weiss</u>, and <u>Tetsuro Shingo</u>, residing at <u>1775 7<sup>th</sup> Avenue</u>, <u>N.W.</u>, <u>Calgary</u>, <u>Alberta</u>, <u>T2N 0Z5 Canada</u>, <u>4540 Chapel Road</u>, <u>N.W.</u>, <u>Calgary</u>, <u>Alberta</u>, <u>T2L 1A6 Canada</u> and <u>3-9-33 #103</u>, <u>Aoe</u>, <u>Okayama</u>, <u>700-0941</u>, <u>Japan</u> (hereinafter referred to as "the Assignors"), respectively, witnesseth:

WHEREAS, the Assignors have invented certain new and useful improvements in <u>COMBINED REGULATION OF NEURAL CELL PRODUCTION</u> set forth in an application for Letters Patent of the United States,

(1)	□ whic	□ which is a provisional application		
	(a)	□ bearing Application No, and filed on _;		
	(b)	□ to be filed herewith; or		
(2)	☑ which is a non-provisional application			
	(a)	bearing Application No. 10/231,493, and filed on August 30, 2002;		
	(b)	having an oath or declaration executed on even date herewith prior to filing of application;		
	(c)	☐ having an oath or declaration executed on a different date than this Assignment; and		

WHEREAS, <u>STEM CELL THERAPEUTICS INC.</u>, a corporation duly organized under and pursuant to the laws of <u>CANADA</u> and having its principal place of business at <u>SUTIE 240, 1167 KENSINGTON CRESCENT, N.W., CALGARY, ALBERTA, CANADA T2N 1X7</u> (hereinafter referred to as "the Assignee"), is desirous of acquiring the entire right, title, and interest in and to said inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications, including provisional applications for Letters Patent of the United States or other countries claiming priority to said application, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, the Assignors have sold, assigned, transferred, and set over, and by these presents do sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns the entire right, title, and interest in and to the above-mentioned inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said applications, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefor and thereon, and in and to any and all applications claiming priority to said applications, divisions, continuations, and continuations-in-part of said applications, and reissues and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives, and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted as fully and entirely as the same would have been held and enjoyed by the Assignors had this sale and assignment not been made;

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, the Assignors are the sole and lawful owners of the entire right, title, and interest in and to the inventions set forth in said applications and said applications, including provisional applications, above-mentioned, and that the same are unencumbered, and that the

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PATENT REEL: 013442 FRAME: 0913 Application No. <u>10/231,493</u> Attorney's Docket No. <u>032901-075</u>

Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth:

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns that the Assignors will, whenever counsel of the Assignee, or the counsel of its successors, legal representatives, and assigns, shall advise that any proceeding in connection with said inventions or said applications for Letters Patent or Patents, or any proceeding in connection with Letters Patent or Patents for said inventions in any country, including interference proceedings, is lawful and desirable, or that any application claiming priority to said application, division, continuation, or continuation-in-part of any applications for Letters Patent or Patents, or any reissue or extension of any Letters Patent or Patents to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement, and defense of Letters Patent or Patents for said inventions, without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns;

AND the Assignors hereby authorize and request the attorneys of BURNS, DOANE, SWECKER & MATHIS, L.L.P. of Alexandria, Virginia to insert in the spaces provided above the filing date, application number, and attorney docket number of said application when known.

AND the Assignors hereby request the Commissioner of Patents to issue any and all said Letters Patent of the United States to the Assignee as the Assignee of said inventions, the Letters Patent to be issued for the sole use and behalf of the Assignee, its successors, legal representatives, and assigns.

Date	_ Signature of Assignor	
	_ ,	Bradley G. Thompson
Date	_ Signature of Assignor	
		Samuel Weiss
Date Cct. 15.02	_ Signature of Assignor	Telsuro Stiryie
		Tetsuro Shingo

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RECORDED: 10/29/2002