



11-05-2002



102270885
**RECORDATION FORM COVER SHEET
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U.S. Department of Commerce
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PATENT

10-31-02
S-94,639

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

☒ New

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Document ID#

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Conveyance Type

☐ Assignment ☐ Security Agreement

☐ License ☐ Change of Name

☐ Merger ☒ Other

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Execution Date		
Month	Day	Year
4/20/2001		

Name (line 1) UNIVERSITY OF CALIFORNIA

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Second Party

Name (line 1)

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Execution Date		
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Receiving Party

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Name (line 1) U. S. Department of Energy

Name (line 2)

Address (line 1) 1000 Independence Avenue, S.W.

Address (line 2)

Address (line 3) Washington

D.C.

20585-0162

City

State/Country

Zip Code

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Correspondent Name and Address

Area Code and Telephone Number

202-586-2802

Name Betty A. Winchester

Address (line 1) U. S. Department of Energy

Address (line 2) 1000 Independence Avenue, S.W.

Address (line 3) Washington, D. C. 20585-0162

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Pages

Enter the total number of pages of the attached conveyance document including any attachments.

1

Application Number(s) or Patent Number(s)

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09/564,696

If this document is being filed together with a new Patent Application, enter the date the patent application was

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT PCT PCT
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Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 0.00

Method of Payment:

Enclosed ☐

Deposit Account ☐

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

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Authorization to charge additional fees:

Yes

No

☒

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Brenda K. Banks

Name of Person Signing

Brenda K. Banks

Signature

10/30/2002

Date

CONFIRMATORY LICENSE

Application for : METHOD FOR PRODUCING ULTRAFINE-GRAINED MATERIALS USING
REPETITIVE CORRUGATION AND STRAIGHTENING
Inventor(s) : Yuntian T. Zhu, Terry C. Lowe, Honggang Jiang, Jianyu Huang
Serial No. : 09/564,696
Filing Date : May 4, 2000
Contract No. : W-7405-ENG-36
Contractor : THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

The invention identified above is a "Subject Invention" under the above-numbered contract. A copy of the provisions of the above-numbered contract (certified on January 12, 1996) governing patent rights in the Subject Invention at the time the invention was made, has been submitted to the U.S. Department of Energy.

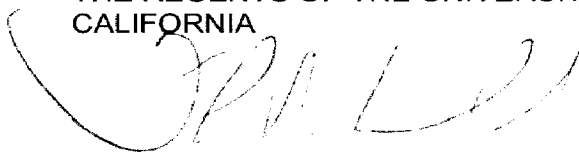
The contractor hereby confirms that under the provisions of the above-numbered contract governing patent rights, it has granted to the Government a nonexclusive, nontransferable, irrevocable, paid-up license to practice or have practiced for or on behalf of the United States the Subject Invention throughout the world. This license applies to the invention in the above-identified patent application and any and all divisions or continuations thereof and any resulting patent or reissue patent which may be granted thereon.

It is understood and agreed that this document does not preclude the Government from asserting rights under the provisions of said contract or any other agreement between the Government and the Contractor, or any other rights of the Government with respect to the above-identified invention.

The Contract hereby grants the Government an irrevocable power to inspect and make copies of the above-identified application.

Signed this 20th day of April, 2001,

THE REGENTS OF THE UNIVERSITY OF
CALIFORNIA



Frank P. Dickson, Jr.
Laboratory Counsel