

11/1102

11-05-2002

Form PTO-1595
(Rev. 03/01)

RE

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

OMB No. 0651-0027 (exp. 5/31/2002)

Tab settings ⇌ ⇌ ⇌ ▼ ▼ ▼ ▼ ▼

102272185

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

The Research Foundation
State University of New YorkAdditional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☒ Other Confirmatory License

Execution Date: 08/17/00

2. Name and address of receiving party(ies)

Name: National Science FoundationInternal Address: Office of the General CounselSuite 1265Street Address: 4201 Wilson BoulevardCity: Arlington State: VA Zip: 22230Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 09/542,762

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robin Clay FritschInternal Address: Office of the General CounselSuite 1625Street Address: 4201 Wilson BoulevardCity: Arlington State: VA Zip: 222306. Total number of applications and patents involved: ☐7. Total fee (37 CFR 3.41).....\$ 0.00

- ☐ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number: _____

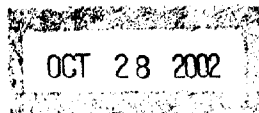
DO NOT USE THIS SPACE

9. Signature.

Robin Clay Fritsch

Name of Person Signing

Signature



Date

Total number of pages, including cover sheet, attachments, and documents: ☐U.S. DEPARTMENT OF COMMERCE
Commissioner of Patents & Trademarks, Box Assignment,
Washington, D.C. 20231PATENT
REEL: 013445 FRAME: 0514

LICENSE TO THE UNITED STATES GOVERNMENT

WHEREAS, Inventors: Eli Ruckenstein and Hongmin Zhang

have invented, "Graft, Graft-Block, Block-Graft, and Star-Shaped Copolymers and Methods of Making Them" our Invention Number R-5494; and filed a non-provisional patent application thereon in the United States, entitled, "**GRAFT, GRAFT-BLOCK, BLOCK-GRAFT, AND STAR-SHAPED COPOLYMERS AND METHODS OF MAKING THEM**" and bearing Serial Number 09/542,762 and filing date April 4, 2000; and

WHEREAS, the invention was made in the course of research supported by the National Science Foundation, under Grant Number: CTS-9616192, and

WHEREAS, the United States Government is entitled to certain rights in and to said invention by reason of the terms of such support; and

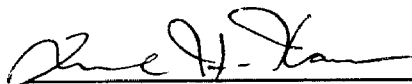
WHEREAS, The Research Foundation of State University of New York, hereinafter called the "Licensor," has acquired by assignment from the inventors the entire right, title, and interest of the inventors to such invention;

NOW, THEREFORE

1. The Licensor, in consideration of the premises and other good and valuable consideration, hereby grants and conveys to the United States Government a royalty-free, non-exclusive and irrevocable license for governmental purposes and on behalf of any foreign government pursuant to any existing or future treaty or agreement with the United States. As used herein, "governmental purpose" means the right of the Government of the United States, including any agency thereof, to practice and have practiced (made or have made, used or have used, sold or have sold) in connection with programs funded in whole or in part by the Federal Government throughout the world by or on behalf of the Government of the United States.

2. The Licensor covenants and warrants that he has the right to grant the foregoing license, and that any assignment which he may make of the invention shall expressly be made subject to this license.

The Research Foundation of State University of New York



Mark H. Karwan
Chief Executive Officer
UB Business Alliance

Date: 8/17/00

winword\lic2govt\Ruckenstein R-5494