

10/31/02

11-06-2002

Docket No.: OSP-10232

FORM PTO-1595 (Modified)
(Rev. 03-01)
OMB No. 0651-0027 (exp 5/31/2002)
P08A/REV03



U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

102273859

Tab settings → → → ▼ ▼ ▼ ▼ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

NEC Corporation

2. Name and address of receiving party(ies):

Name: NEC Microwave Tube, Ltd.

Address: 1120, Shimokuzawa

Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

City: Sagamihara-shi

State/Prov.: Kanagawa-ken

Country: JAPAN

ZIP: 229-1134

Execution Date: October 10, 2002

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

09/805,201

March 14, 2001

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Sean M. McGinn, Esq.

Registration No. 34,386

Address: McGinn & Gibb, PLLC

8321 Old Courthouse Rd.

Suite 200

City: Vienna

State/Prov.: VA

Country: USA

ZIP: 22182-3817

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

50-0481

(Attach duplicate copy of this page if paying by deposit account)

11/05/2002 08:11:00 00000001-09000001

DO NOT USE THIS SPACE

9. Signature and signature.

40.00 DP

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Sean M. McGinn, Esq.

Name of Person Signing

Signature

10/31/02

Date

Total number of pages including cover sheet, attachments, and document: 1

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 013449 FRAME: 0317

PATENT APPLICATION ASSIGNMENT

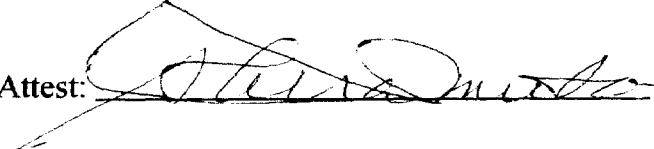
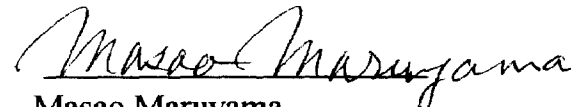
WHEREAS, NEC Corporation, a Japanese corporation, having its principal place of business at 7-1, Shiba 5-chome, Minato-ku, Tokyo 108-8001, Japan is the owner and assignee of record of the patent applications listed below;

WHEREAS, NEC Microwave Tube, Ltd. , a Japanese corporation, having its principal place of business at 1120, Shimokuzawa, Sagamihara-shi, Kanagawa-ken 229-1134, Japan is desirous of obtaining the entire right, title, and interest in the patent applications listed below and inventions disclosed therein, and to any United States patents to be obtained therefor;

NOW, THEREFORE, for valuable consideration, receipt of which is hereby acknowledged, NEC Corporation, hereby sells, assigns and transfers to NEC Microwave Tube, Ltd. , its successors and assigns, the Assignor's entire right, title and interest including rights to recover for past infringement in the below listed patent applications, the inventions disclosed therein, and to any and all patents for the United States of America, which may be granted thereon, and requests the Director- U.S. Patent and Trademark Office to issue any Letters Patents granted upon the inventions set forth in said Applications to the Assignee herein, its successors and assigns; and Assignor will execute without further consideration all papers deemed necessary by the Assignee in connection with said United States patent applications when called upon to do so by the Assignee.

<u>Serial No.</u>	<u>Filing Date</u> (Year.Month.Day)
09/740855	2000.12.21
09/750794	2001.01.02
09/801662	2001.03.09
09/801661	2001.03.09
09/803655	2001.03.12
09/805201	2001.03.14
10/059423	2002.01.31

IN WITNESS WHEREOF, NEC Corporation has executed this Assignment by proper persons duly authorized.

Attest:  
NEC Corporation
Masao Maruyama
Chief Manager
Planning Division
Intellectual Asset Operations Unit
Date: October 10, 2002

STATEMENT UNDER 37 CFR 3.73(b)

Applicant: Kazuhisa Nishida

Application No.: 09/805,201

Filed: March 14, 2001

Entitled: HIGH PRESSURE DISCHARGE LAMP

NEC Corporation

, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 011603, Frame 0223, or for which a copy thereof is attached

OR

- B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

- ☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

10/31/02
Date

Sean M. McGinn
Signature

Sean M. McGinn, Esq., Reg. No. 34,386

Typed or printed name

Attorney of Record

Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

RECORDED: 10/31/2002

PATENT
REEL: 013449 FRAME: 0319