

11-07-2002



U.S. DEPARTMENT OF COMMERCE

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| Docket No.: 50232-067 1022                                     | <sup>9</sup> 74562   |
| To the Honorable Commissioner for Pacino and Traueina          |  |
| 1. Name of Conveying Party(ies):                               | 2. Name and address of receiving party(ies):                           |
| Koji TANOUE, Yoshikazu OMOTO, Yoshiyuki SHOJI,                 | Name: DOWA MINING CO., LTD.  |
| Masayuki NISHINA, Toshio UEDA 11 - 4 - 02                      |  |
| 110  | Address: 8-2, Marunouchi 1-chome,                                      |
| Additional name(s) of conveying party(ies) attached?  Yes      | Chiyoda-ku,  |
| 3. Nature of Conveyance:                                       | Tokyo 100-8282 Japan   |
|  |  |
| Security Agreement Change of Name                              |  |
| Other Assignment was originally filed with application         |  |
|  | OII  |
| on May 10, 2002. (Document ID No.                              |  |
| 102179842)   |  |
| Execution Date: March 29, 2002                                 | A 1122 1 (2.8 11 (2.8 1 10 T) V  |
|  | Additional name(s) & address(es) attached? Yes                         |
| 4. Application number(s) or patent number(s):                  |  |
| If the document is being filed together with a new application |  |
| A. Patent Application No(s).                                   | B. Patent No(s).   |
| 10/129,726 filed May 10, 2002                                  |  |
|  |  |
|  |  |
| Additional nu  | umbers attached?  Yes  |
| 5. Name and address of party to whom correspondence            | 6. Total number of applications and patents involved: 1                |
| concerning document should be mailed:                          |  |
| Name: MCDERMOTT, WILL & EMERY                                  | 7. Total fee (37 CFR 3.41)   |
| Internal Address:  | Enclosed   |
| The Man Address.   | Authorized to be charged to deposit account                            |
| Street Address: 600 13 <sup>th</sup> Street, N.W               | 8. Deposit account number:   |
| Sifeet Address. 600 15 Sifeet, N. W                            |  |
|  | 500417   |
| City: Washington State: DC Zip: 20005                          |  |
| DO NO  | T USE THIS SPACE   |
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| 9. Statement and signature.                                    |  |
|  | g information is true and correct and any attached copy is a true copy |
| of the original document.                                      | 1 0 1  |
|  | Palint & Phila   |
| Robert L. Price, 22,685  | 1/0009 / Mul November 4, 2002  |
| Name and Registration No. of Person Signing                    | Signature Date   |
|  | Total number of pages comprising cover sheet: 1                        |
| CMB No. 0851-0011 (exp. 4/94)                                  |  |

**PATENT** 

**REEL: 013452 FRAME: 0301** 

08-06\2002

ET U.S. DEPARTMENT OF COMMERCE FORM PTO-1596 1-31-92 Patent and Trademark Office Docket No.: 50232-067 To the Honorable Commissioner for Patents and Trademarks: Please record the attached original documents or copy thereto: 2. Name and address of receiving party(ies): 1. Name of Conveying Party(ies): DOWA MINING CO., LTD. Koji TANOUE, Yoshikazu OMOTO, Yoshiyuki SHOJI, Masayuki NISHINA, Toshio UEDA Address: 8-2, Marunouchi 1-chome, Additional name(s) of conveying party(ies) attached? \( \subseteq \text{Yes} \) Chiyoda-ku, Tokyo 100-8282 Japan 3. Nature of Conveyance: ■ Assignment ☐ Merger ☐ Security Agreement Change of Name Other Execution Date: March 29, 2002 4. Application number(s) or patent number(s): If the document is being filed together with a new application, the execution date of the application is: March 29, 2002 B. Patent No(s). A. Patent Application No(s). Additional numbers attached? Yes 6. Total number of applications and patents involved: 5. Name and address of party to whom correspondence concerning document should be mailed: 7. Total fee (37 CFR 3.41) \$40.00 Name: MCDERMOTT, WILL & EMERY Enclosed Internal Address: Authorized to be charged to deposit account Street Address: 600 13th Street, N.W. 8. Deposit account number: 500417 Zip: 20005 Washington State: DC City: DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. West J. Dice May 10, 2002 Robert L. Price, 22,685 Date Name and Registration No. of Person Signing Total number of pages comprising cover sheet:

CMB No. 0851-0011 (exp. 4/94)

PATENT REEL: 013452 FRAME: 0302

| Docket No.:   |   |
|---|---|
| ASSI  | GNMENT  |
| In consideration of the premises and other good and value hereby acknowledged, the undersigned, (1) Koji TANC   | nable consideration in hand paid, the receipt and sufficiency of which is DUE, (2) Yoshikazu OMOTO,   |
| (3) Yoshiyuki SHOJI, (4) Masayuki NISHINA, (5) Toshio UEDA  |   |
| who has made a certain new and useful invention, hereby sells, a  | assigns and transfers unto  |
| DOWA MINING CO., LTD.<br>8-2, Marunouchi 1-chome, Chiyoda-  | ku Tokyo 100 9292 Janan   |
| 8-2, Martinodelli 1-chome, Chryoda-   | -ku, lokyo 100-0202 bapan   |
| defined in 35 U.S.C. 100 in the invention entitled  | the entire right, title and interest for the United States of America as  |
| CATHODE ACTIVE MATERIAL FOR ALKALI  | NE ELECTROCHEMICAL CELL   |
| (a) for which an application for United States Letters Patent United States Serial No.  | : was filed on, and identified by; or   |
| (b) for which an application for United States Letters Patent   | t was executed on,  |
| States Letters Patent which may be granted therefor and any and at thereof, and the right to all benefits under the International Converge for its interest as ASSIGNEE, its successors, assigns and legal repapplication shall hereafter act on behalf of said ASSIGNEE:  AND the undersigned hereby agrees to transfer a like interobtaining original, divisional, reissued or extended Letters Patent of assigns and legal representatives, and without further remuneration | tes Commissioner of Patents and Trademarks to issue any and all United II extensions, divisions, reissues, continuations, or continuations-in-part ention for the Protection of Industrial Property to the said ASSIGNEE, presentatives; the undersigned agrees that the attorneys of record in said arest, and to render all necessary assistance in making application for and of the United States, upon request of the said ASSIGNEE, its successors, it, in and to any improvements, and applications for patent based thereon, any papers by the said ASSIGNEE, its successors, assigns and legal and title in and to the invention hereby transferred. |
| SIGNED on the date indicated aside my signature:  | ·   |
| INVENTOR  | DATE SIGNED   |
| Name: koji TANOUE   | March 29, 2002  |
| Name: koji TANOUE  Vashikazu OMOTO  Name: Yoshikazu OMOTO   | march 29, 2002  |
| Name: Yoshiyuki SHOJI   | March 29, 2002  |
| Mame: Masuyuki NISHINA  | March 29. 2002  |
| Name: Toshio UEDA   | March 29. 2002  |

**RECORDED: 11/04/2002** 

PATENT REEL: 013452 FRAME: 0303