

11-07-2002

ER SHEET

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HT02-003

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To the Honorable Commissioner of

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

CHERNG-CHYI HAN, MAO-MIN CHEN, FENGLIN
LIU

2. Name and address of receiving party(ies):

Name: Headway Technologies, Inc.

Internal Address:

Street Address:

678 S. Hillview Drive
City: Milpitas State: Ca Zip:
95035Additional name(s) or conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance



Assignment



Merger



Security Agreement



Change of Name



other

Execution Date: 9/23/02Additional name(s) & address(es) attached? Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 9/21/02&9/23/02

A. Patent Application No(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence
concerning document should be mailed:Name: GEORGE O. SAILE

Internal Address: _____

Street Address: 28 DAVIS AVENUECity: POUGHKEEPSIE State: NY ZIP: 126036. Total no. of applications and patents involved: 17. Total fee (37 CFR 3.41): \$ 40.00

Enclosed



Authorized to be charged to deposit acct.

8. Deposit account number: 19-0033

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief the foregoing information is true and correct and any attached copy is a true copy
of the original documentSTEPHEN B. ACKERMAN

Name of Person Signing

Signature

10/31/02

Date

For ☒ U.S. and/or ☒ Foreign RightsFor ☒ Application or ☐ U.S. PatentBy ☒ Inventor(s) or ☐ Present Owner**ASSIGNMENT OF INVENTION**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:

CHERNG-CHYI HAN
 Type or print name of ASSIGNOR
3485 Varner Ct.
 Address
San Jose, CA 95132
USA
 Nationality

MAO-MIN CHEN
 Type or print name of ASSIGNOR
1025 Woodview place
 Address
San Jose, CA 95120
U.S.A.
 Nationality

FENGLIN LIU
 Type or print name of ASSIGNOR
4950 Stevenson Blvd. #118
 Address
Fremont, CA 94538
P.R. China
 Nationality

ASSIGNEE:

Headway Technologies, Inc.
678 S. Hillview Drive
Milpitas, CA 95035
USA
 Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

- ☒ the entire right, title and interest
- ☐ an undivided _____ percent (____%) interest for the United States and its territorial possessions
 (check the following box if foreign rights are also to be assigned)
- ☒ and in all foreign countries

in and to any and all improvements which are disclosed in the invention entitled:

A Self-Aligned Pole Trim Process

and which is found in

- (a) ☐ U.S. patent application executed on even date herewith
- (b) ☒ U.S. patent application executed on 9/21/02 & 9/23/02
- (c) ☐ U.S. application serial no. _____ filed on _____
- (d) ☐ U.S. patent no. _____ issued _____
(also check (e) if foreign application(s) is also being assigned)
- (e) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute, thereof, and as to letters patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I / We have signed this

9/23/02 (Date of signing)

WARNING Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Sheng Han
Signature of ASSIGNOR(S)
Sun Shichen
Fengli Li

If ASSIGNOR is a legal entity complete the following information

Type or print the name of the above person authorized to sign on behalf of ASSIGNOR

Title

Note: No witnessing, notarization or legalization is necessary, if the assignment is notarized or legalized then it will only be prima facie evidence (35 USC 261). Use next page if notarization is desired.

____ Notarization of Legalization Page Added.