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FORM PTO-1595
1-31-92

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U.S. DEPARTMENT OF COMMERCE
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102350259

To the Honorable Commissioner of Patents and

ments or copy thereof.

1. Name of conveying party(ies):

Michael J. Gumkowski; Franco Lombardo; Sharad B. Murdande; Michael E. Perlman

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: October 14, 15, 17, and 21

2. Name and address of receiving party(ies):

Name: Pfizer Inc.
 Internal Address: Bldg. 235, 20th Floor
 Street Address: 235 East 42nd Street, 20th Floor
 City: New York State: New York ZIP: 10017-5755

and

Name: Pfizer Products Inc.
 Internal Address: Bldg. 118S, 3rd Floor
 Street Address: Eastern Point Road
 City: Groton State: Connecticut ZIP: 06340

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): United States Patent Application No. 10/175,643, filed June 16, 2002

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No. (s)

10-29-02

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Gregg C. BensonInternal Address: Pfizer Inc.Street Address: Eastern Point Road, Box 519City: Groton State: Connecticut ZIP: 06340

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:

16-1445

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached document is the original or a true copy of the original document.

James T. Jones

Name of Person Signing

Signature

Date

October 21, 2002

Total number of pages including cover sheet: 4

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CONFORMS WITH FORM PTO-1595

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PATENT
REEL: 013461 FRAME: 0054

A S S I G N M E N T

For valuable consideration, the receipt and adequacy of which is hereby acknowledged, we, **Michael J. Gumkowski of 4 Windward Lane, Old Lyme CT 06371; Franco Lombardo of 4 Little John Court, Gales Ferry CT 0635; Sharad B. Mardande of 42 Yorkshire Drive, Waterford CT 06385; Michael E. Perlman of 7 Pepperidge Trail, Old Saybrook CT 06475**, respectively, hereby sell, assign and transfer unto **PFIZER INC.**, a corporation organized and existing under the laws of the State of Delaware, United States of America, and having its principal place of business at 235 East 42nd Street, New York, New York 10017, United States of America, our entire right, title and interest, except as limited hereinbelow, in and to patent application of the United States of America, having **PFIZER INC. Docket No. PC10894A**; having **United States Patent Application No. 10/175,643, filed June 19, 2002** and entitled **Self-emulsifying Formulations of Cholesteryl Ester Transfer Protein Inhibitors**; and our entire right, title and interest, in the United States of America, in and to all our inventions, whether joint or sole, disclosed in said patent application; and our entire right, title and interest in and to all applications filed in the United States of America for Letters Patent for any or all of said inventions; and our entire right, title and interest in and to all Letters Patent granted in the United States of America on the foregoing applications;

and we hereby sell, assign and transfer unto **PFIZER PRODUCTS INC.**, a corporation organized and existing under the laws of the State of Connecticut, United States of America, and having its place of business at Eastern Point Road, Groton, Connecticut 06340, United States of America, our entire right, title and interest, in all countries of the world except the United States of America, in and to all our inventions, whether joint or sole, disclosed in said patent application; and our entire right, title and interest in and to all patent applications filed outside the United States of America for Letters Patent for any or all of said inventions; and our entire right, title and interest in and to all Letters Patent granted outside the United States of America on said patent applications filed outside the United States of America; and the right to claim priority from said patent application under the Paris Convention for the Protection of Industrial Property, and under any and all other such treaties and agreements to which the United States of America is a party and which afford similar priority-claiming privileges, in all countries of the world except the United States of America;

and we hereby agree, whenever requested, to communicate to said PFIZER INC. and said PFIZER PRODUCTS INC., and their successors and assigns, any facts known to us respecting said inventions, to testify in any legal proceeding respecting said inventions, and to execute all applications or papers necessary to obtain and maintain proper patent protection on said inventions in all countries of the world.

Signed and witnessed this 17th day of October, 2002 at
Old Lyme, CT, USA.

Michael J. Gumkowski
Michael J. Gumkowski

In the presence of:

Francine Gumkowski
Francine Gumkowski
(Typed or Printed Name of Witness)

Signed and witnessed this 21st day of October 2002 at
Groton CT

Franco Lombardo
Franco Lombardo

In the presence of:

Patricia Botelho
Patricia Botelho
(Typed or Printed Name of Witness)

Signed and witnessed this 15th day of October 2002 at
Groton CT

Sharad B. Murdande
Sharad B. Murdande

In the presence of:

Patricia Botelho
Patricia Botelho
(Typed or Printed Name of Witness)

Signed and witnessed this 14th day of October, 2002 at
Groton, Connecticut USA
Michael E. Perlman
Michael E. Perlman

In the presence of:

Deanna Miller
Deanna Miller
(Typed or Printed Name of Witness)