

11-12-2002

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To the Honorable Commissioner of Patents

102277827

the attached original documents or copy thereof.

1. Name of conveying party(ies):

Clearwater, Inc.

2. Name and address of receiving party(ies):

Name: Clearwater International, L.L.C.

Internal Address:

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Street Address: 515 Post Oak Blvd.

Suite 600

City: Houston State: TX ZIP: 77027

Additional name(s) & address(es) attached?

☐ Yes ☒ No

Execution Date: October 25, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

60/339,630

10/228,875

B. Patent No.(s)

5,846,915

5,854,180

Additional numbers attached? ☒ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: William L. Krayner

Internal Address:

Street Address: 1771 Helen Drive

City: Pittsburgh State: PA ZIP: 15216

6. Total number of applications and patents involved: 4

7. Total fee (37 CFR 3.41) \$ 160.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

11/08/2002 DBYRNE 00000035 60339630

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

William L. Krayner

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 7

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

(Form PTO--1595--Recordation Form Cover Sheet - page 1 of 3)

PATENT
REEL: 013463 FRAME: 0132

ASSIGNMENT OF PATENTS

This Assignment of Patents (this "Assignment") is made this 23rd day of October, 2002, by and between Clearwater, Inc., a Pennsylvania corporation, Transit Energy Systems, Inc., a Delaware corporation, Clearwater Specialty Chemical Company, a Nova Scotia company, PriTech International, Inc., a Texas corporation, CWI International, Inc., a Delaware corporation, and Clearwater International, L.L.C., a Delaware limited liability company.

WHEREAS, Clearwater, Inc., Transit Energy Systems, Inc., Clearwater Specialty Chemical Company, Pritech International, Inc., and CWI International, Inc. (individually and collectively referred to as "CLEARWATER") is the sole and exclusive owner of all rights, title and interest in and to the patents and patent applications listed on the attached Appendix A ("Patent Assets"); and

WHEREAS, Clearwater International, L.L.C., 515 Post Oak Blvd., Suite 600, Houston, Texas 77027, USA, hereinafter referred to as "ASSIGNEE", is desirous of acquiring all rights, title and interest in the Patent Assets.

NOW, THEREFORE, for and in consideration of One Dollar (\$1.00) and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged by CLEARWATER, CLEARWATER has assigned, sold, transferred and set over and by these presents does hereby assign, sell, transfer and set over unto said ASSIGNEE the all rights, title and interest in and to said Patent Assets, including but not limited to: (a) all world-wide rights therein, (b) all reissues, reexaminations, divisions, continuations, continuations-in-part, renewals, and extensions thereof, and (c) all United States and foreign patents which shall issue on any pending patent applications forming part of Patent Assets, the same to be held and enjoyed by said ASSIGNEE, its assigns and successors, as fully and entirely as the same would have been held and enjoyed by CLEARWATER, had this assignment and sale not been made.

CLEARWATER covenants and agrees that it will, at any time upon the request and at the expense of said ASSIGNEE, execute and deliver any and all papers and to all lawful acts that may be necessary or desirable, in the opinion of said ASSIGNEE, to enable and assist said ASSIGNEE (a) obtain Letters Patent, both domestic and foreign, as part of Patent Assets, (b) establish, maintain and secure title in said ASSIGNEE, its successors and assigns to said Patent Assets, including making such title of lawful public record, and (c) defend, establish or otherwise preserve the validity of said Patent Assets against any and all infringers, and perform such other acts are necessary to give full force and effect to this assignment.

CLEARWATER hereby authorizes and requests the Commissioner of Patents of the United States and the respective Patent Offices around the world to issue all Letters Patents forming part of and arising out of patent applications forming part of Patent Assets to said ASSIGNEE, its successors and assigns.

This Assignment may be executed in any number of counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same instrument.

IN WITNESS WHEREOF, the parties hereto have duly executed this Assignment as of the date first above written.

CLEARWATER:

CLEARWATER, INC.

By: K W Smith
Name: Kevin W. Smith
Title: CEO

STATE OF Pennsylvania §
COUNTY OF Allegheny §

This instrument was acknowledged before me on October 25, 2002, by Kevin W. Smith, CEO of Clearwater, Inc., a Pennsylvania corporation, on behalf of said corporation.

My Commission Expires: _____

Linda A. Bogesdorfer
Notary Public

Notarial Seal
Linda A. Bogesdorfer, Notary Public
City of Pittsburgh, Allegheny County
My Commission Expires June 29, 2003
Member, Pennsylvania Association of Notaries

TRANSIT ENERGY SYSTEMS, INC.

By: K W Smith
Name: Kevin W. Smith
Title: CEO

STATE OF Pennsylvania §
COUNTY OF Allegheny §

This instrument was acknowledged before me on October 25, 2002, by Kevin W. Smith, CEO of Transit Energy Systems, Inc., a Delaware corporation, on behalf of said corporation.

My Commission Expires: _____

Linda A. Bogesdorfer
Notary Public

Notarial Seal
Linda A. Bogesdorfer, Notary Public
City of Pittsburgh, Allegheny County
My Commission Expires June 29, 2003
Member, Pennsylvania Association of Notaries

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CLEARWATER SPECIALTY
CHEMICAL COMPANY

By: K W Smith
Name: Kevin W. Smith
Title: President

STATE OF Pennsylvania §
§
COUNTY OF Allegheny §

This instrument was acknowledged before me on October 25, 2002, by Kevin W. Smith, President of Clearwater Specialty Chemical Company, a Nova Scotia company, on behalf of said company.

My Commission Expires: _____

Notarial Seal
Linda A. Bogesdorfer, Notary Public
City of Pittsburgh, Allegheny County
My Commission Expires June 29, 2003
Member, Pennsylvania Association of Notaries

Linda A. Bogesdorfer
Notary Public

PRITECH INTERNATIONAL, INC.

By: K W Smith
Name: Kevin W. Smith
Title: CEO

STATE OF Pennsylvania §
§
COUNTY OF Allegheny §

This instrument was acknowledged before me on October 25, 2002, by Kevin W. Smith, CEO of Pritech International, Inc., a Texas corporation, on behalf of said corporation.

My Commission Expires: _____

Notarial Seal
Linda A. Bogesdorfer, Notary Public
City of Pittsburgh, Allegheny County
My Commission Expires June 29, 2003
Member, Pennsylvania Association of Notaries

Linda A. Bogesdorfer
Notary Public

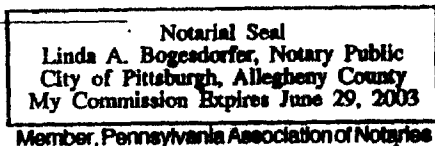
CWI INTERNATIONAL, INC.

By: K W Smith
Name: Kevin W. Smith
Title: CEO

STATE OF Pennsylvania §
COUNTY OF Allegheny §

This instrument was acknowledged before me on October 25, 2002, by Kevin W. Smith CEO of CWI International, Inc., a Delaware corporation, on behalf of said corporation.

My Commission Expires:



Linda A. Bogendorfer
Notary Public

ASSIGNEE:

CLEARWATER INTERNATIONAL, L.L.C.

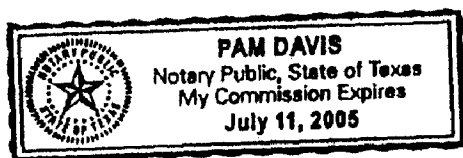
By: Burt M. Martin
Name: Burt M. Martin
Title: Senior Vice President and Secretary

STATE OF TEXAS §
COUNTY OF HARRIS §

This instrument was acknowledged before me on October 23, 2002, by Burt M. Martin, Senior Vice President and Secretary of Clearwater International, L.L.C., a Delaware limited liability company, on behalf of said company.

My Commission Expires:
July 11, 2005

Pam Davis
Notary Public



APPENDIX - A

The following US Patents and patent applications, and any and all foreign counterparts thereto:

1. US 5,128,049, Gatlin, "Method for Reducing the Hydrogen Sulfide Content...".
2. US 5,211,239, Thomas and Smith, "Method of Maintaining Subterranean Formation Permeability and Inhibiting Clay Swelling".
3. US 5,417,287, Smith and Persinski, "Hydrocarbon Gels Useful in Formation Fracturing".
4. US 5,486,605, Gatlin, "Composition For Converting Hydrogen Sulfide...".
5. US 5,488,103, Gatlin, "Composition For Converting Hydrogen Sulfide...".
6. US 5,498,707, Gatlin, "Composition For Converting Hydrogen Sulfide...".
7. US 5,571,315, Smith and Persinski, "Hydrocarbon Gels Useful in Formation Fracturing".
8. US 5,607,902, Smith and Thomas, "Method of Treating Shale and Clay in Hydrocarbon Formation Drilling".
9. US 5,614,010, Smith and Persinski, "Hydrocarbon Gels Useful in Formation Fracturing".
10. US 5,647,900, Smith and Persinski, "Preparation of Hydrocarbon Gels from Ferric Sources, Polycarboxylic Acid Compounds, and Optional Amines".
11. US 5,693,837, Smith and Persinski, "Hydrocarbon Gels Useful in Formation Fracturing".
12. US 5,733,841, Thomas, "Eutectic Drying Composition and Method".
13. US 5,766,309, Thomas, "Eutectic Drying Composition and Method".
14. US 5,807,812, Smith and Thomas, "Controlled Gel Breaker".
15. US 5,846,915, Smith and Thomas, "Delayed Breaking of Hydrocarbon Fracturing Fluids".
16. US 5,854,180, Scherubel, Reid, Fauke and Schwartz, "Environmentally Improved Acid Corrosion Inhibitor".
17. US 6,004,908, Graham and Persinski, "Rapid Gel Formation in Hydrocarbon Recovery".
18. US 6,054,417, Graham and Persinski, "Rapid Gel Formation in Hydrocarbon Recovery".
19. US 6,158,147, Smith and Mueller, "Method and Apparatus for Drying of Grain and Other Particulates Using a Membrane".
20. US 6,167,638, Vavro and Mueller, "Drying of Grain and Other Particulate Materials".
21. US 6,194,361, Gatlin, "Composition Useful As Well Lubricant...".
22. US 6,368,384, Smith, "Desiccant Tablets for Gas Drying".
23. US 6,453,576, Smith, Acor, Miller and Wanner, "Control of Solids Deposition from Used Desiccant Solutions".
24. US 6,454,005, Smith, "Treating Shale and Clay in Hydrocarbon Producing Formations with combinations of Guar and Potassium Formate".

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25. US Patent Application Serial Number 09/915,005, filed July 25, 2000, Slaymaker, "Gas Dryer".
26. US Patent Application Serial Number 09/773,864, filed February 1, 2001, Gatlin, "Lubricant, Solvent and Emulsifier Composition and Method of Manufacture".
27. US Patent Application Serial Number 09/788,115, filed February 16, 2001, Smith, Hallman, Acorand Miller, "Fluid Heating".
28. US Patent Application Serial Number 09/812,422, filed March 20, 2001, Smith, "Treating Shale and Clay in Hydrocarbon Producing Formations ...".
29. US Provisional Patent Application Serial Number 60/313606, filed August 20, 2001, Smith, et al, "Topical Defoamer and Demulsifier"; now US Patent Application Serial Number 10/223,258, filed August 19, 2002.
30. US Patent Application Serial Number 09/938,228, filed August 24, 2001, Hyland, "Maintaining Readiness in Fire Hydrants".
31. US Patent Application Serial Number 09/938,935, filed August 24, 2001, Hallman, "Gas Dehydration with Cavitation Regeneration of Potassium Formate Dehydration Solution".
32. US Patent Application Serial Number 09/943,998, filed August 31, 2001, Smith, et al, "Treating Coal and Other Piled Materials to Inhibit Freeze Binding".
33. US Provisional Patent Application Serial Number 60/328,607, filed October 11, 2001, Mackey, et al, "Invert Emulsion Drilling Fluid and Process".
34. US Patent Application Serial Number 09/992,161, filed November 6, 2001, Hallman, "Gas Dehydration using Membrane and Potassium Formate Solution".
35. US Patent Application Serial Number 10/037,825, filed November 9, 2001, Smith, "Desiccant Tablet for Gas Drying".
36. US Patent Application Serial Number 60/345,847, filed November 11, 2001, Gatlin, "Sulfide Scavenger".
37. US Patent Application Serial Number 60/339,630, filed December 12, 2001, Schwartz, et al, "Cationic Polymeric Coacervate Gel"; now US Patent Application Serial Number 10/228,875 filed August 27, 2002.
38. US Patent Application Serial Number 10/077,626, filed February 15, 2002, Stanley, "Road Bed Stabilization".
39. US Patent Application Serial Number 60/373,413, filed April 17, 2002, Diaz, et al, "Optimizing Inlet Air for Gas Turbines".
40. US Patent Application Serial Number 10/128,066, filed April 23, 2002, Gatlin and Mueller, "Treatment of Hydrocarbons Containing Sulfides".
41. US Patent Application Serial Number 10/141,390, filed May 8, 2002, Smith et al, "Triethanolamine Formate Heat Exchange Fluids".
42. US Patent Application Serial Number 10/159,176, filed May 30, 2002, Smith, et al, "Hydrocarbon Recovery".
43. US Patent Application Serial Number 60/397028 ^{filed} ~~received~~ July 20, 2002, Hallman, et al, "Evaporative Cooling System".
44. US Patent Application Serial Number 10/201,464, filed July 23, 2002, Stanley, et al, "Deicing".
45. US Patent Application Serial Number 10/217,045, filed August 12, 2002, Smith, et al, "Treating Coal and Other Piled Materials to Inhibit Freeze Binding".

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