

To the Honorable Commissioner of Patents and Trademarks.

Please record the attached original documents or copy thereof.

102279948

1. Name of conveying party(ies):

Clariant GmbH

Additional name(s) of conveying party(ies) attached?

YES ☒ NO

2. Name and address of receiving party(ies):

Name: Kuraray Specialties Europe GmbH

Internal Address:

Street Address: Bruningstr 50

City: Frankfurt am Main

State: Germany Zip: D65926

Additional name(s) & Address(es) attached?

YES ☒ NO

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: September 24, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s):

(include series code or filing date)

Serial No. 09/292,143 Filed April 15, 1999

Serial No. 08/985,800 Filed December 5, 1997

Serial No. 08/850,555 Filed December 5, 1997

Serial No. 08/058,896 Filed May 6, 1993

B. Patent No.(s):

5,866,654 Issued February 2, 1999

5,384,346 Issued January 24, 1995

Additional application or patent numbers attached? YES ☐ NO ☒

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Peter D. McDermott
BANNER & WITCOFF, LTD.
Address: 28 State Street, 28th Floor
City: Boston
State: MA Zip: 02109

6. Total number of applications and patents involved: 6

7. Total Fee (37 CFR 3.41)

\$40.00

☐ Enclosed☒ Authorized to charge Deposit Account 19-0733.
(A duplicate of this sheet is enclosed.)

8. Should any fee adjustment be necessary to effect proper recordation, please debit or credit our Deposit Account No. 19-0733, as necessary.

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Peter D. McDermott, 29,411

Name of Person Signing

Signature

Date

Atty. Docket No.: 006097-0001

Total number of pages including cover sheet, attachments and document: 5

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box Assignment, Washington, D.C. 20231, on

Rachelle Chery

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ASSIGNMENT

WHEREAS, Clariant GmbH (hereafter "Clariant"), a corporation organized and existing by virtue of the laws of Germany, whose principal place of business is at Arn Unisys-Park 1, D-65843 Sulzbach, Germany, is the assignee and owner of the inventions described and claimed (or properly claimable) in:

- i. US Patent Application Serial No. 09/292,143 entitled "Printing Inks and Coating Materials Comprising Polyvinyl Butyrals Based on Partially Hydrolyzed Polyvinyl Alcohols" and filed on April 15, 1999;
- ii. US Patent Application Serial No. 08/985,800 entitled "Use of Partially Acetalized Polyvinyl Alcohol as a Surface Material in Writable or Printable Surfaces" and filed on December 5, 1997;
- iii. US Patent Application Serial No. 08/850,555 entitled "Process for Preparing Poly (Vinyl Acetals) and Poly (Vinyl Ketals)" and filed on May 2, 1997;
- iv. US Patent Application Serial No. 08/058,896 entitled "Polyvinyl Butyrals Having Improved Thermal Stability and Light Resistance" and filed on May 6, 1993;

(hereafter "Patent Applications") and

- v. US Patent No. 5,866,654 entitled "Process for Preparing Poly (Vinyl Acetals) and Poly (Vinyl Ketals)" and issued on February 2, 1999 from USSN 08/850,555; and
- vi. US Patent No. 5,384,346 entitled "Polyvinyl Butyrals Having Improved Thermal Stability and Light Resistance" and issued on January 24, 1995 from USSN 08/058,896

(hereafter "Patents"); and

WHEREAS, Kuraray Specialties Europe GmbH (hereafter "Kuraray"), a corporation organized and existing by virtue of the laws of Germany, having its principal place of business at Bruningstr. 50, D-65926 Frankfurt am Main, Germany, is desirous of acquiring an interest in said inventions, said Patent Applications and Patents;

NOW, THEREFORE, Clariant for good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, by these presents does assign and transfer to Kuraray, its successors and assigns,

the entire right, title, and interest in and to said inventions throughout the world,
and in and to the Patent Applications and Patents, including the right to bring suit or

other judicial or administrative action against any third party for infringement of same occurring at any time, including without limitations any infringement occurring prior to the date hereof, and to recover and retain for itself damages for any such infringement, and

the right to file patent applications in any and all other countries in Kuraray's own name based on or corresponding to the Patent Applications and Patents, along with the right of priority to the filing date of the Patent Applications and Patents, and

any and all Letters Patent and utility model patents and the like which may now or hereafter be granted on any of the Patent Applications or Patents or any patent application(s) filed in any country based on or corresponding to any of the Patent Applications or Patents, and all continuations, divisions, reissues, extensions, and renewals thereof and the like.

AND, CLARIANT DOES HEREBY further covenant and agree that it will, without further consideration, communicate with Kuraray, or with its successors or assigns, any facts known to Clariant respecting said inventions, the Patent Applications and the Patents, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to the said inventions, the Patent Applications and the Patents in Kuraray or its successors or assigns, execute all provisional, non-provisional, divisional, continuation, continuation-in-part and reissue applications, make all rightful oaths and generally do everything possible to aid Kuraray or its successors or assigns, to obtain and enforce proper patent protection for the said inventions in any country, it being understood that any expense incident to the execution of such papers shall be borne by Kuraray or its successors or assigns.

This Assignment is executed in duplicate the 24TH day of September, 2002.

Kuraray Specialties Europe GmbH

Signature: ppa. Dr. Gutweiler

By: ppa. Dr. Gutweiler

Title: Head of Research & Development

Date: 24/09/02