

11-13-2002

FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)



ET 1595

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

Docket No.: 2002P07751 US

102279644

To the Honorable Commissioner of Patents and Trademarks: Please receive the attached original documents or copy thereof.

1. Name of conveying party(ies):

Peter R. Spool, Stephen Masticola, Dilip Soni, William Landi, William Hasling

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Siemens Corporate Research, Inc.

Internal Address:

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherExecution Date: October 1, 2002

Street Address:

755 College Road EastCity: Princeton State: NJ ZIP: 08540Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/142,626

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Elsa Keller

Internal Address:

Siemens CorporationIntellectual Property DepartmentStreet Address: 186 Wood Avenue SouthCity: Iselin State: NJ ZIP: 08830

6. Total number of applications and patents involved:

7. Total Fee (37 CFR 3.41) \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account8. Deposit Account No.
19-2179

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Adel A. Ahmed Reg.No.: 29,606

Name of Person Signing

Signature

October 31, 2002

Date

Total number of pages including cover sheet, attachments, and document: 5

11/13/2002 TDIAR1 00000005 192179 10142626

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PATENT
REEL: 013470 FRAME: 0873

ASSIGNMENT

For good and valuable consideration, We, Peter R. Spool, residing at 146 Graham Street, Highland Park, NJ 08904, citizen of USA; Stephen Masticola, residing at 32 Church St. P.O. Box 357, Kingston, New Jersey 08528, citizen of USA; Dilip Soni, residing at 9 Basin Street, P. O. Box 546, Kingston, New Jersey 08528, citizen of India; William Landi, residing at 58 Hamilton Lakes Drive, Hamilton, New Jersey 08619 citizen of USA; William Hasling, residing at 5 Jacob Drive, Princeton Junction, NJ 08550, citizen of USA; hereafter individually or collectively referred to as "Assignor";

Hereby sell, assign and transfer to Siemens Corporate Research, Inc., a corporation organized and existing under the state laws of Delaware, having its principal place of business at 755 College Road East, Princeton, NJ, 08540, hereinafter "Assignee", its successors, assigns and legal representatives, the entire right, title and interest in and for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned and filed

On May 10, 2002

U.S. Serial No.: 10/142,626,

Entitled:

BUSINESS MANAGEMENT SYSTEM AND METHOD FOR A DEREGULATED ELECTRIC POWER MARKET WITH SHARING OF SUPPLY CHAIN DATA

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on said improvements;

Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and legal representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications

for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

State of New Jersey)
County of Middlesex) ss

Peter R. Spool
Peter R. Spool

LAURIE ZIMMER
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires June 1, 2005

Before me this 1st day of October, 2002 personally appeared Peter R. Spool to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purpose therein set forth.

[Seal]

Laurie Zimmer
Notary Public

Stephen P. Masticola
Stephen Masticola

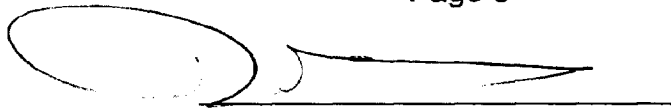
State of New Jersey)
County of Middlesex) ss

Before me this 1st day of October, 2002 personally appeared Stephen Masticola to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purpose therein set forth.

[Seal]

Laurie Zimmer
Notary Public

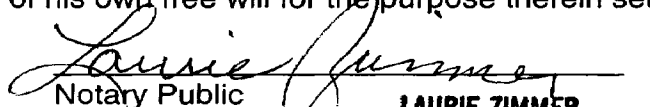
LAURIE ZIMMER
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires June 1, 2005


Dilip Soni

State of New Jersey }
County of Middlesex } ss

Before me this 1st day of October, 2002 personally appeared Dilip Soni to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purpose therein set forth.

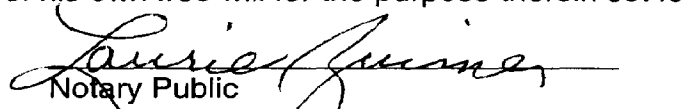
[Seal]


Notary Public
LAURIE ZIMMER
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires June 1, 2006
William Landi

State of New Jersey }
County of Middlesex } ss

Before me this 1st day of October, 2002 personally appeared William Landi to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purpose therein set forth.

[Seal]


Notary Public
LAURIE ZIMMER
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires June 1, 2006

William Hasling
William Hasling

State of New Jersey } ss
County of Middlesex }

Before me this 1st day of October, 2002 personally appeared William Hasling to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purpose therein set forth.

[Seal]

Laurie Zimmer
Notary Public
LAURIE ZIMMER
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires June 1, 2005