

11-14-2002



FORM PTO-1595

RECOI

U.S. DEPARTMENT OF COMMERCE

1/31/92

P 102279870

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

1. Name of conveying party(ies):

KAZUMASA NOMOTO
HIROSHI AOZASA
ICHIRO FUJIWARA
SHINJI TANAKA

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Sony Corporation

Internal Address: _____

7-35, Kitashinagawa 6-chome

Shinagawa-ku, Tokyo 141, Japan

Street Address: _____

City: _____ State: _____ Zip: _____

Additional Name(s) & Address(es) attached ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date July 18, 29 & 30, 2002

4. Application (number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

U.S. Serial No. 10/169,022 filed June 26, 2002

Attorney Docket No. 09798423-0010

B: Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

SONNENSCHN NATH & ROSENTHAL
P.O. Box #061080
Wacker Drive Station
Sears Tower
Chicago, IL 60606-1080
Customer ID No. 26263

6. Total number of applications and patents involved

1

7. Total Fee (37 CFR 3.41 \$ 40.00

☐ Enclosed

☒ Authorized to be charged to American Express Acct. No. 378571697401002. Form 2038 is attached for that purpose.

☒ Any deficiency is authorized to be charged to deposit account or any additional fees

8. Deposit Account Number:

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David R. Metzger

Name of Person Signing

Signature

November 4, 2002

Date

Total number of pages 2

Mail documents to be recorded and required cover sheet information to:
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Washington, D.C. 20231+

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PATENT
REEL: 013471 FRAME: 0204

ASSIGNMENT

FOR a valuable consideration, the sufficiency and receipt of which is hereby acknowledged, the undersigned

KAZUMASA NOMOTO; HIROSHI AOZASA; ICHIRO FUJIWARA AND
SHINJI TANAKA

whose mailing address(es) is/are:

c/o Sony Corporation, 7-35, Kitashinagawa 6-chome, Shinagawa-ku, Tokyo 141-0001, Japan

hereby sell(s), assign(s) and transfer(s) unto SONY CORPORATION, a corporation of
Japan, having its principal office at 7-35, Kitashinagawa 6-chome, Shinagawa-ku,
Tokyo 141-0001, Japan,

the whole right, title and interest in and to a certain invention or improvement in

“NON-VOLATILE SEMICONDUCTOR MEMORY DEVICE AND METHOD FOR PRODUCING SAME”

disclosed in an application for Letters Patent of the United States, prepared by the firm of Sonnenschein, Nath & Rosenthal of Chicago, Illinois, and executed of even date herewith, said application being identified in the office records of said firm as Case No. 09798423-0010 U.S. Serial No. _____, and in and to the United States Letters Patent therefor, when issued, together with all improvements thereon and betterments thereof, all divisions, continuations and reissues thereof and substitutions of or for said application, and all rights and privileges under the Letters Patent that may be granted therefor, including the right to claim the benefit of an earlier filing date for the same invention in a foreign country.

I/We hereby authorize and request the Commissioner of Patents to issue the Letters Patent that may be granted for said invention or improvements to said Sony Corporation.

For the same consideration, I/we hereby agree that I/we will promptly communicate to the aforesaid assignee or its assigns full and complete information concerning said improvements or betterments of the inventions disclosed in said application, and will cooperate at any time upon request of said assignee or its assigns, at its expense, in the procurement of patent protection to cover the inventions herein assigned and to be assigned, including the execution of new, divisional, continuing and reissue applications; will make all rightful oaths, will testify in any proceedings in the United States Patent Office or in the Courts, and generally will do everything lawfully possible to aid said assignee, its successors, assigns and nominees to obtain, enjoy and enforce proper patent protection for the inventions embraced within the terms of this document.

DATE: <u>July 18, 2002</u>	<u>Kazumasa Nomoto</u> Kazumasa Nomoto
DATE: <u>July 29, 2002</u>	<u>Hiroshi Aozasa</u> Hiroshi Aozasa
DATE: <u>July 29, 2002</u>	<u>Ichiro Fujiwara</u> Ichiro Fujiwara
DATE: <u>July 30, 2002</u>	<u>Shinji Tanaka</u> Shinji Tanaka