

11-14-2002



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SHEET

10/28/9551  
11/06/02

Attorney Docket No. AT- 000209

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Document ID #   
☐ Correction of PTO Error  
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**Conveyance Type**

- ☒ Assignment  
☐ License  
☐ Merger  
☐ Security Agreement  
☐ Change of Name  
☐ Other

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**Conveying Party(ies)**

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Name (line 1) Amir Abolfathi

Execution Date  
Month Day Year  
November 6, 2002

Name (line 2)

**Second Party**

Name (line 1) Brian K. Asselin

Execution Date  
Month Day Year  
November 6, 2002

Name (line 2)

**Receiving Party**

☐ Mark if additional names of receiving parties attached

Name (line 1) Align Technology  
a Corporation of Delaware

Name (line 2)

Address (line 1) 881 Martin Avenue

Address (line 2)

Address (line 3) Santa Clara

CA

95050

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name Bao Tran

Address (line 1) Align Technology, Inc.

Address (line 2) 881 Martin Avenue

Address (line 3) Santa Clara, CA 95050

Address (line 4)

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PATENT  
REEL: 013473 FRAME: 0354

**Correspondent Name and Address**

Area Code and Telephone Number

408-470-1243

Name Bao Tran

Address (line 1) Align Technology, Inc.

Address (line 2) 881 Martin Avenue

Address (line 3) Santa Clara, California 95050

Address (line 4)

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments

# 3

**Application Number(s) or Patent Number(s)**

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year  
November 06, 2002

**Patent Cooperation Treaty (PCT)**

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT

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**Number of Properties**

Enter the total number of properties involved.

# 1

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41):

\$ 40.00

Method of Payment: check

Enclosed ☐

Deposit Account ☒

Deposit Account

Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

# 50-1399

Authorization to charge additional fees:

Yes ☒

No ☐

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Bao Tran

Name of Person Signing

Signature

Date

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U.S. Department of Commerce  
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**PATENT**

10/28/95  
11/06/02

**Conveying Party(ies)**

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**Enter additional Conveying Parties**

Name (line 1)

Execution Date  
Month Day Year  
**November 6, 2002**

Name (line 2)

Name (line 1)

Execution Date  
Month Day Year  
**November 6, 2002**

Name (line 2)

Name (line 1)

Execution Date  
Month Day Year

Name (line 2)

**Receiving Party(ies)**

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**Enter additional Receiving Party(ies)**

Name (line 1)

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Name (line 2)

Address (line 1)

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Name (line 1)

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Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

**Patent Number(s)**

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## ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, INVENTOR(S) AMIR ABOLFATHI of Menlo Park, California, Brian K. Asselin of San Jose, California, ERIC KUO of Foster City, California, and Loc X. Phan of San Jose California, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: DENTAL PLIERS FOR FORMING AND REMOVING  
BUMPS ON APPLIANCES

Date(s) of execution of Declaration: November 06, 2002

Filing Date: herewith

Application No.: unassigned; and


WHEREAS, ALIGN TECHNOLOGY, INC., a corporation of the state of Delaware, located at 881 Martin Avenue, Santa Clara, California, 95050, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

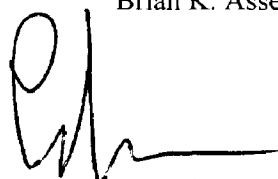
Dated: 11-6-02

  
Amir Abolfathi

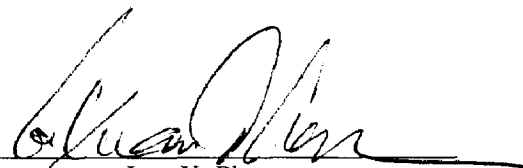
Dated: Nov 6, 2002

  
Brian K. Asselin

Dated: Nov. 6, 2002

  
Eric Kuo

Dated: Nov 6, 2002

  
Loc X. Phan