

11-15-2002



102281776

To the Assistant Commissioner for Patent

and the attached original documents or copy thereof.

J1040 U.S. PTO
10/289969

11/07/02

1. Name of conveying party(ies):

Jeffrey R. Hester
Michael J. Miller
Brian L. Morger
Shad H. Thorstenson

11/07/02

Additional names of conveying party(ies) attached?

☐ yes ☒ no

3. Nature of Conveyance

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: November 5, 2002

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Internal Address:

Street Address: New Orchard Road

City Armonk

State New York Zip 10504

Additional name(s) & address(es) attached? ☐ yes ☒ no

4. Application number(s) or patent number(s):

TITLE: METHOD AND APPARATUS FOR OBTAINING DIAGNOSTIC DATA FOR A DEVICE ATTACHED TO A COMPUTER SYSTEM

If this document is being filed together with a new application, the execution date of the application is _____.

A. Patent Application No(s). (Unassigned)

B. Patent No(s).

Docket ROC920020147US1

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

James R. Nock
IBM Corporation - Dept. 917
3605 Highway 52 North
Rochester, MN 55901

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

☒ Total fee due

☒ Any deficiencies in the enclosed fees

8. Deposit account number:

09-0465

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

James R. Nock
Name of Person Signing

James R. Nock
Signature

November 7, 2002
Date

Total number of pages comprising cover sheet: 1

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ASSIGNMENT

Whereas, we

- | | | |
|-----|--|---|
| (1) | Jeffrey R. Hester
County of Dodge | City of Mantorville,
and State of Minnesota, |
| (2) | Michael J. Miller
County of Goodhue | City of Pine Island
and State of Minnesota, |
| (3) | Brian L. Morger
County of Fillmore | City of Chatfield Preston <i>BM</i>
and State of Minnesota, |
| (4) | Shad H. Thorstenson
County of Olmsted | City of Rochester
and State of Minnesota, |

have invented certain improvements in

METHOD AND APPARATUS FOR OBTAINING DIAGNOSTIC DATA FOR A DEVICE ATTACHED TO A COMPUTER SYSTEM

and have executed, respectively, a United States patent application therefor on

Dates

Inventors (1) November 5, 2002, (2) November 5, 2002,

Signed

Declaration (3) November 5, 2002, and (4) November 5, 2002.

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed

Inventor at Rochester, MN, USA
(1) on 11/5, 2002.

Jeffrey R. Hester Inventor
(Jeffrey R. Hester) Signature
First Name Initial Last Name

Inventor
(2) at Rochester, MN, USA
on 11/05, 2002.

Michael J. Miller
(Michael J. Miller)
First Name Initial Last Name
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Inventor
(3) at Rochester, MN, USA
on 11/5, 2002.

Brian L. Morger
(Brian L. Morger)
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Inventor
(4) at Rochester, MN, USA
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Shad H. Thorstenson
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