

Docket No. 68654 (P-LJ 5504)

Form PTO-1595 [C&F Aug. 9, 2002] (Rev. 03/01) OMB No. 0651-0027 (exp. 5/31/2002) Tab settings ⇨ ⇨ ⇨ ▼ ▼ ▼ ▼ ▼ ▼ ▼		<b>RECORDATION FORM COVER SHEET</b> <b>PATENTS ONLY</b>		U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office	
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.					
<b>1. Name of conveying party(ies):</b> 1.) Richard A. Houghten 2.) Adel Nefzi 3.) John M. Ostresh 4.) Clemencia Pinilla Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			<b>2. Name and address of receiving party(ies)</b> Name: <u>Torrey Pines Institute for Molecular Studies</u>  Internal Address: _____  _____  Street Address: <u>3550 General Atomics Court</u>  _____  City: <u>San Diego</u> State: <u>CA</u> Zip: <u>92121</u>  Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>3. Nature of conveyance:</b> <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____  Execution Date: <u>12/17/02 (1 and 4); 12/30/02; 12/16/02</u>					
<b>4. Application number(s) or patent number(s):</b> If this document is being filed together with a new application, the execution date of the application is: _____ A. Patent Application No.(s) <u>10/302,811</u> B. Patent No.(s) _____ _____ Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
<b>5. Name and address of party to whom correspondence concerning document should be mailed:</b> Name: <u>McDermott, Will &amp; Emery</u>  Internal Address: _____  _____  Street Address: <u>4370 La Jolla Village Drive</u>  <u>7th Floor</u>  City: <u>San Diego</u> State: <u>CA</u> Zip: <u>92122</u>			<b>6. Total number of applications and patents involved:</b> <u>1</u>  <b>7. Total fee (37 CFR 3.41)</b> .....\$ <u>40.00</u>  <input type="checkbox"/> Enclosed Any excess or insufficiency should be credited or debited to the deposit account below <input checked="" type="checkbox"/> Authorized to be charged to deposit account Attach duplicate copy of this page if paying by deposit account  <b>8. Deposit account number:</b>  <u>502624</u>		
<b>DO NOT USE THIS SPACE</b>					
<b>9. Signature.</b> Name: <u>Melody E. Clark</u> Reg. No. <u>51,566</u> _____ Person Signing <u>Melody E. Clark</u> Signature <u>03/12/03</u> Date Total number of pages including cover sheet, attachments, and documents: <u>7</u>					

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

ASSIGNMENT

This Assignment is made by Richard A. Houghten of Solana Beach, California, Adel Nefzi of San Diego, California, John M. Ostresh of Encinitas, California and Clemencia Pinilla of Cardiff, California, Assignors, to Torrey Pines Institute for Molecular Studies, Assignee, having a place of business at 3550 General Atomics Court, San Diego, California 92121.

WHEREAS, Assignors are four of the joint inventors of a new and useful METHODS AND COMPOSITIONS FOR DEREPRESSION OF IAP-INHIBITED CASPASE for which an application for United States Letters Patent was filed on November 21, 2002, in the United States Patent and Trademark Office, bearing Serial No. 10/302,811 and identified as Attorney Docket No: P-LJ 5504;

WHEREAS, Assignors believe themselves to be four of the original joint inventors of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, the parties desire to have a recordable instrument assigning the entirety of Assignors' right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in accordance with the obligations to assign the invention and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors sell, assign, and transfer to Assignee, the entirety of Assignors' right, title, and interest in and to said invention,

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said application, any applications entitled to benefit of priority to said application under Title 35, United States Code, Sections 120, 121 or 251, which include divisionals, continuations and reissues, and any Letters Patent that may be granted on said invention or these applications and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties, or otherwise.

Assignors agree that, upon request and without further compensation, but at no expense to Assignors, they and their legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the rights granted herein.

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Assignors authorize and request that any United States or foreign Letters Patent granted for said invention, whether on said application or on any subsequently filed divisional, continuation or reissue application, be issued to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignors have executed this Assignment on the date(s) provided below.

Assignor: Richard A. Houghten

<u>RAH</u>	<u>12/17/02</u>
Signature	Date

STATE OF CALIFORNIA )  
COUNTY OF SAN DIEGO )

On DECEMBER 17, 2002, before me, DONNA M. FREHER-LYONS personally appeared RICHARD A. HOUGHTEN,  
✓ personally known to me - OR -        proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(~~ies~~), and that by his/~~her/their~~ signature(~~s~~) on the instrument the person(~~s~~), or the entity upon behalf of which the person(~~s~~) acted, executed the instrument.

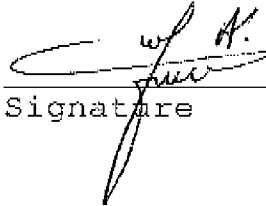
WITNESS by hand and official seal.

Donna M. Freher-Lyons  
(Signature of Notary)



Inventors: Reed et al.  
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Filed: November 21, 2002  
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Assignor: Adel Nefzi



12/30/02

SignatureDate

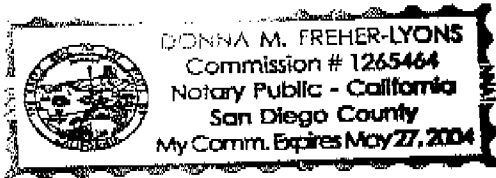
STATE OF CALIFORNIA )  
COUNTY OF SAN DIEGO )

On DECEMBER 30, 2002, before me, DONNA M. FREHER-LYONS  
personally appeared ADEL NEFZI,  
☒ personally known to me ~~OR~~ proved to me on the basis  
~~of satisfactory evidence~~ to be the person(s) whose name(s) is/are  
subscribed to the within instrument and acknowledged to me that  
he/~~she/they~~ executed the same in his/~~her/their~~ authorized  
capacity(~~ies~~), and that by his/~~her/their~~ signature(s) on the  
instrument the person(s), or the entity upon behalf of which the  
person(s) acted, executed the instrument.

WITNESS by hand and official seal.



(Signature of Notary)



Assignor: John M. Ostresh

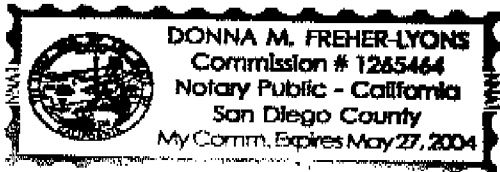
John M. O'Brien 12/16/02  
Signature Date

STATE OF CALIFORNIA )  
COUNTY OF SAN DIEGO )

On DECEMBER 16, 2002, <sup>decl</sup> before me, DONNA M. FRAJER-LYON,  
personally appeared JOHN M. OSTRESH,  
✓ personally known to me — OR —        proved to me on the basis  
of satisfactory evidence to be the person(s) whose name(s) is/are  
subscribed to the within instrument and acknowledged to me that  
he/she/they executed the same in his/her/their authorized  
capacity(ies), and that by his/her/their signature(s) on the  
instrument the person(s), or the entity upon behalf of which the  
person(s) acted, executed the instrument.

WITNESS by hand and official seal.

Donna M. Fisher-Lyons  
(Signature of Notary) 12/16/02



Inventors: Reed et al.  
Serial No.: 10/302,811  
Filed: November 21, 2002  
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Assignor: Clemencia Pinilla

Clemencia Pinilla      12/17/02  
Signature      Date

STATE OF CALIFORNIA )  
COUNTY OF SAN DIEGO )

On DECEMBER 17, 2002, before me, DONNA M. FREHER-LYONS,  
personally appeared CLEMENCIA PINILLA,  
✓ personally known to me OR        proved to me on the basis  
of satisfactory evidence to be the person(s) whose name(s) is/are  
subscribed to the within instrument and acknowledged to me that  
he/she/they executed the same in his/her/their authorized  
capacity(ies), and that by his/her/their signature(s) on the  
instrument the person(s), or the entity upon behalf of which the  
person(s) acted, executed the instrument.

WITNESS by hand and official seal.

Donna M. Freher-Lyons  
(Signature of Notary)

