

Case Name: SP02-233

PATENT ASSIGNMENT COVER SHEET

--- VIA FACSIMILE ---

To the Honorable Commissioner of Patents and Trademarks: Please record the attached documents or copy thereof.

(1) Conveying Party(ies): Joseph E. Canale; Stephen L. Cooch; William L. Haynes; Clayton L. Tuttle, II

Title: SEALING LIGHTING DEVICE COMPONENT ASSEMBLY WITH SOLDER GLASS PREFORM BY USING INFRARED RADIATION

Additional name(s) of conveying party(ies) attached? Yes ☐ No ☒

(2) Receiving Party: Corning Incorporated
Patent Dept.; SP-TI-03
Corning, NY 14831

(3) Nature of conveyance: Assignment executed: February 10, 2003; March 10, 2003,
February 10, 2003; February 4, 2003.

(4) Application number(s) and/or patent number(s) against which this assignment is to be recorded:

A. Patent Application No.(s): 10/284,588
Filing date: October 31, 2002

B. Patent No.(s):
Filing date:

If this document is being filed together with a new application, this assignment is also to be recorded against said application, executed on _____.

(5) Name and address of party to whom correspondence concerning document should be mailed:


Name: Siwen Chen
Reg. No. (see attached)
SP-TI-03
Corning, NY 14831
Phone No.: (607) 248-1253

(6) Total Number of applications and/or patents involved: one

(7) Total Fee = \$40.00

- ☐ Enclosed (Check No.)
☐ Included with filing fee. Check No. _____.
☒ Authorization given by Corning Incorporated to charge deposit account number 03-3325 (attached is a triplicate copy of this page)

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.


Christine M. Samson

3/14/03
Date

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ASSIGNMENT

FOR VALUE RECEIVED, I, as a below named inventor, hereby sell, assign and transfer unto Corning Incorporated, a corporation organized and existing under the laws of the State of New York, having its principal place of business at Corning, New York, (hereinafter CORNING), as assignee, and unto its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to **SEALING LIGHTING DEVICE COMPONENT ASSEMBLY WITH SOLDER GLASS PREFORM BY USING INFRARED RADIATION** and generally described in an application for Letters Patent of the United States, executed by us on

DATE February 10, 2003

DATE March 10, 2003

DATE February 10, 2003

DATE February 4, 2003

respectively, and all the rights and privileges under any and all Letters Patents that may be granted therefor. We request that any and all patents for said inventions be issued to CORNING, its successors, assigns and legal representatives, or to such nominees as CORNING may designate.

We agree that, when requested, we will, without charge to CORNING and at CORNING's expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in CORNING, its successors, assigns and legal representatives or nominees.

We authorize and empower CORNING, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for said Convention or entered as a supplement to, and to invoke and claim such right of priority without further written or oral authorization from us.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of CORNING, or its successors, assigns and legal representatives or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it or entered as a supplement to it.

We covenant with CORNING, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

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Signed at Corning, N.Y., this

2-10-03

Date

3/10/03

Date

2-10-03

Date

2-4-03

Date

Joseph E. Canale
Joseph E. CanaleStephen L. Cooch
Stephen L. CoochWilliam L. Haynes
William L. HaynesClayton L. Tuttle, II
Clayton L. Tuttle, II

State of New York)

: ss.

County of Steuben)

On the 10th day of Feb 2003, before me personally came **Joseph E. Canale** known to be the individual described in, and who executed, the foregoing instrument, and acknowledged that he/she executed the same.State of Illinois
~~New York~~)

: ss.

County of CookOn the 10 day of March 2003, before me personally came **Stephen L. Cooch** known to be the individual described in, and who executed, the foregoing instrument, and acknowledged that he/she executed the same.

State of New York)

: ss.

County of Steuben)

On the 10th day of Feb 2003, before me personally came **William L. Haynes** known to be the individual described in, and who executed, the foregoing instrument, and acknowledged that he/she executed the same.

State of New York)

: ss.

County of Steuben)

On the 4th day of FEBRUARY 2003, before me personally came **Clayton L. Tuttle, II** known to be the individual described in, and who executed, the foregoing instrument, and acknowledged that he/she executed the same.

Notary Public

CHRISTINE M. SAMSON
Notary Public, State of New York
No. 01SA6016665
Qualified in Steuben County
Commission Expires November 23, 2006

Notary Public

OFFICIAL SEAL
RAYMOND PAUL GENELLIE JR
NOTARY PUBLIC, STATE OF ILLINOIS
MY COMMISSION EXPIRES 04/17/03

Notary Public

CHRISTINE M. SAMSON
Notary Public, State of New York
No. 01SA6016665
Qualified in Steuben County
Commission Expires November 23, 2006

Notary Public

Susan M. Hynes
Notary Public State of New York
No. 01HY6023188
Qualified in Steuben County
Commission Expires April 19, 2004

**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE
UNITED STATE PATENT AND TRADEMARK OFFICE**

LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

Siwen Chen is hereby given limited recognition under 37 CFR § 10.9(b) as an employee of Corning Incorporated to prepare and prosecute patent applications in which (i) Corning Incorporated is the assignee of all right, title and interest in the invention claimed in the application; (ii) a wholly-owned subsidiary of Corning Incorporated is the assignee of all right, title and interest in the invention claimed in the application; or (iii) a joint venture of Corning Incorporated is the assignee of all right, title and interest in the invention claimed in the application. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Siwen Chen ceases to lawfully reside in the United States, (ii) Siwen Chen's employment with Corning Incorporated ceases or is terminated, or (iii) Siwen Chen ceases to remain or reside in the United States on an H-1 visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: February 20, 2004



Harry I. Moatz
Director of Enrollment and Discipline